

Case report of recurrent temporomandibular joint open lock associated with abrupt reduction of displaced articular disk

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This manuscript contains 10 text pages (including abstract but not references) and 9 figures. One hundred reprints requested.



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## 1. Introduction

Anterior articular disk displacement with reduction is a typical feature of temporomandibular disorder (TMD). In some cases with intermittent limited mouth opening, this internal derangement leads to disk displacement without reduction [1]. In such cases, extension of the posterior articular ligament allows forward compression of the displaced disk and gradually increases the range of jaw opening. Imirzalioglu et al. performed a longitudinal MRI study of patients with TMJ closed lock and reported no difference in the TMJ images before and after relief of clinical symptoms [2]. In contrast, asymptomatic latent displacement of the articular disk is common in the general population. A systematic review by Naeije et al. showed that 20–40% of control group participants with no TMD symptoms had some form of articular disk displacement [3].

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In some cases of chronic anterior disk displacement, abrupt recapture of the articular disk disturbs the mandibular head motion during jaw closing, causing the same clinical symptoms as acute open lock. Such cases may go unrecognized on dental examination, especially if the patient is unaware of their history of disk displacement and if appropriate diagnostic imaging is unavailable.

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In this case report, we describe a patient with acute TMJ open lock resulting from recurrence of an articular disk problem that had been successfully treated with placement of a palatal plate 22 years previously.

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## 2. Outline of the case

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### 2.1. Patient (male, aged 23 years at initial visit)

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The patient was aware of a ‘clicking’ in his left TMJ from the age of 16. At 18 years of age, he experienced left-sided TMJ open lock upon yawning, which he relieved independently, although with significant pain. For 5 years the patient

1 frequently experienced open lock of this joint.

2  
3 Upon examination at the initial visit, open lock of the left TMJ occurred with jaw  
4 opening wider than 35 mm. In this open lock, the patient could not adopt intercuspal  
5 position and his jaw locked at 15 mm from his normal occlusal position. Joint lock  
6 could be relieved independently through rapid jaw opening and closing, but was  
7 somewhat painful. The maximum range of jaw opening after TMJ open lock was 56  
8 mm. Tomography showed no TMJ morphologic abnormality on either side. However,  
9 during the left TMJ lock (at 15 mm mouth opening), the left mandibular head was  
10 positioned postero-inferiorly beneath the joint cavity and the articular space was  
11 enlarged (Fig. 1).  
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24 This patient had Angle class I dentition with no crowding and apparently normal  
25 occlusion, although the left maxillary and mandibular canines were slightly discluded  
26 during maximum intercuspation. The left mandibular third molar was lingually  
27 inclined and had a clear occlusal facet buccally (Fig. 2). Examination of the tooth  
28 contacts in dynamic occlusion showed that this occlusal contact interfered with left  
29 lateral excursions (i.e. was a working-side interference).  
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## 41 *2.2. Treatment*

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43 We initially chose to use a maxillary stabilization-type splint to equalize occlusal  
44 contacts, with cuspid guidance applied for lateral dynamic contacts. With this splint in  
45 place, intermittent open lock of the left TMJ was relieved. To obtain a more permanent  
46 improvement of lateral occlusal contact, a metal splint was attached around the  
47 occlusal surfaces from the left maxillary canine to the left first molar, without  
48 disturbing maximum intercuspation. During leftwards excursive movement, the splint  
49 contacted the lower teeth and guided their disclusion by group function (Fig. 3). After  
50 attachment of the metal splint, the previously frequent intermittent open lock did not  
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1 recur. After 5 months, the metal splint was removed and replaced with composite resin  
2 bonded to the palatal surface of the left maxillary canine and to the buccal cusps of  
3 both left maxillary premolars (Fig. 4). The occlusal contacts and lateral cusp angles  
4 applied to the composite resin guide during left excursion were similar to those of the  
5 splint. During the following 5 years, the patient repeatedly experienced abrasion of the  
6 composite resin accompanied by an “awkward feeling” of the left TMJ, at which times  
7 the resin was replaced and the lateral guide readjusted. During this period, the  
8 maxillary and mandibular left third molars were extracted because of unrestorable  
9 caries.

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22 Six years after the initial visit, the abrasive resin was replaced with a palatal plate  
23 containing a short pin that attached to the left maxillary canine. This palatal plate was  
24 made of a gold-platinum alloy and controlled left-sided lateral movements by cuspid  
25 guidance (Fig. 5). After this restoration, the awkwardness in the left TMJ disappeared,  
26 improving the patient’s function.

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Figure 6 shows mandibular border movement pathways after attachment of the  
palatal plate, measured using a digital jaw-tracking device (MM-JI, Tokushima  
University) [4]. The range of maximum jaw opening measured from the incisal edges  
was 58.1 mm, and the length of the condylar path at the kinematic condylar points was  
20.3 mm on the left and 20.1 mm on the right. Mandibular border movements were  
wide and symmetrical, with no restriction or difficulty in executing jaw movements.

### 2.3. Patient (same individual, at 51 years of age)

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The patient had no open lock recurrence for 22 years after attachment of the  
palatal plate until his TMJ locked again while he was traveling overseas. Unlike  
previous episodes, this lock could not be relieved independently and occlusal position  
could not be achieved. Because jaw closing was intensely painful in the left TMJ, the

1 patient was restricted to a liquid diet. Five days after this recurrence, the patient  
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3 returned to our hospital for treatment.  
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5 Occlusal contacts were seen only in the right molar region, with appreciable  
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7 clearance between teeth on the left side (i.e. a left-sided open bite). Intraoral  
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9 examination showed no remarkable change in dentition and the palatal plate remained  
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11 firmly attached to the left maxillary canine. Before visiting our hospital, the patient  
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13 had taken NSAIDs and had undergone an unsuccessful attempt at manipulative  
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15 repositioning at a dental clinic. MRI examination showed enlargement of the left TMJ  
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17 articular space, with the left articular disk located on the mandibular head and no sign  
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19 of anterior disk displacement. Conversely, the right TMJ articular disk was clearly  
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21 anteriorly displaced (Fig. 7, upper pictures).  
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#### 28 *2.4. Treatment*

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30 Because conventional manipulative and pharmacological treatments were  
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32 unsuccessful, we attempted a pumping manipulation technique with arthrocentesis.  
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34 Lidocaine hydrochloride (2%) without epinephrine was injected into the upper  
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36 articular space of the left TMJ. The synovial fluid aspirated from the articular space  
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38 was colorless and clear. After application of lidocaine hydrochloride, manipulative  
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40 repositioning was performed, during which the left TMJ was reduced with a clear joint  
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42 sound, and intra-articular dexamethasone was applied. The patient subsequently has a  
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44 good prognosis and has experienced no recurrence of his TMJ open lock. The lower  
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46 pictures in Figure 7 show MRI results 14 months after arthrocentesis, demonstrating a  
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48 decrease in the articular space and clear anterior displacement of the articular disk of  
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50 the left TMJ. Despite bilateral disk displacement, the patient had no difficulty in jaw  
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52 opening.  
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59 Figure 8 shows the mandibular border movement pathway recorded on the same  
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1 day as the MRI with a six-degree-of-freedom jaw tracking device with magnetic sensor  
2 (CS-III, Tokushima University) [5]. The maximum range of jaw opening at the incisal  
3 edges was 50.1 mm, and the length of the condylar path at the kinematic condylar  
4 points was 17.7 mm on the left and 17.7 mm on the right. Thus, the maximum range of  
5 jaw movement was reduced slightly from 22 years previously and the jaw border  
6 movement occurred over a wide, smooth pathway with no sign of restriction.  
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### 14 15 16 17 **3. Discussion**

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19 TMJ dislocation is typically an anterior dislocation that occurs during wide  
20 yawning and/or excessive mouth opening. It appears when the mandibular head  
21 displaces from its normal position and becomes trapped in the upper anterior space of  
22 the articular eminence [6]. However, TMJ with internal derangement causes more  
23 complex pathology in some patients that resulting in acute inability to close the jaw. In  
24 such cases, the mandibular head is entrapped in a lagging articular disk (which  
25 normally moves together with the mandibular head) and cannot slide back under the  
26 articular fossa. Thus, the TMJ is locked in the open-mouth position [7,8]. Kai et al  
27 classified the pathology of this jaw according to its closing inability (i.e., open lock),  
28 using three grades based on the dynamic relationship between the articular disk and the  
29 mandibular head [7]. The patient in this case report had a 7-year history of left TMJ  
30 noise at his initial visit at the age of 23, suggesting that the left articular disk was  
31 anteriorly displaced before the intermittent open lock occurred. However, the patient  
32 had achieved stable maximum intercuspation despite this displacement. The initial  
33 open lock occurred with sudden articular disk recapture associated with wide jaw  
34 opening during yawning, which locked the mandibular head in its return to the  
35 condylar position at intercuspal position. Therefore, it was suspected that this  
36 23-year-old patient had Kai's grade 2 open lock as he exhibited an inability to close his  
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1 mouth with self-reduction. He was treated successfully, but after experiencing relief  
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3 for more than 20 years, at 51 years of age his problem returned as grade 3 open lock.  
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5 He again displayed an inability to close his mouth and could not perform  
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7 self-reduction.  
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10 In this case report, the occlusal treatment that applied for the patient at 23 years  
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12 of age was effective to prevent open-locks. Kai et al. reported that conservative  
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14 treatment such as placement of an occlusal splint was effective for recurrent open lock  
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16 and suspected that muscular disturbance was the fundamental cause of this problem [7].  
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18 It could be suspected that the patient of the current case report might have muscular  
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20 disturbance and the occlusal treatment was effective to improve muscular condition.  
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22 Another possible explanation that could exhibit the effect of occlusal treatment was  
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24 reported by Arai et al [9]. They studied experimental alteration of lateral guidance  
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26 using metal plates attached to the upper dentition and reported that during clenching at  
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28 the experimental guidance (attached to the posterior molar), the mandibular head on  
29  
30 the working side (on which guidance was attached) shifted downwards, whereas that  
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32 on the non-working side moved upwards. Downward movement of the mandibular  
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34 head provides traction force for the TMJ, while upward movement causes compression  
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36 force, both of which are associated with mechanical loading of TMJ components and  
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38 would be affected by articular disk dysfunction. In particular, excessive lateral  
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40 guidance on the posterior teeth may cause working-side traction forces. In the current  
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42 case, we found a clear occlusal facet on the buccal surface of the left mandibular third  
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44 molar. Consistent with Arai, we speculated that posterior occlusal contacts during  
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46 lateral guidance had produced downward traction force on the left TMJ, causing  
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48 unintentional recapture of the displaced articular disk. Adjustment of the left lateral  
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50 guide remedied the intermittent lock and the patient regained the ability to open his  
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52 mouth wider than 50 mm without open lock.  
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1 Sawada et al. reported a case of habitual intermittent open lock effectively  
2 treated, as in the current case, with adjustment of anterior guidance using a metal  
3 appliance attached to the mandibular first premolar on the side of the open lock [10].  
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5 That patient also exhibited sleep bruxism, and the authors concluded that nocturnal  
6 grinding motion associated with inappropriate occlusal guidance caused repeated open  
7 lock. The patient described in the current case report did not report sleep bruxism, but  
8 such patients are sometimes unaware of their nocturnal behavior. It is possible that this  
9 patient also had sleep bruxism that affected his joint problem.  
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19 For the patient at age 23 years, alternation of occlusal guidance was performed  
20 by attaching new anterior guidance on the maxillary teeth. In general, occlusal  
21 adjustment is applied for eliminating occlusal interference such as that found on this  
22 patient's mandibular third molar. But if the interference is found on natural teeth, it is  
23 impossible to regain the original tooth shape and substance once it is eliminated. In the  
24 current case report, we used occlusal splint to equalize occlusal contacts at first. Then  
25 we avoided occlusal interference at mandibular third molar by attaching new anterior  
26 guidance. If the guidance was not effective, we could regain original teeth shape by  
27 removing the attached guidance without losing tooth substance. In some cases, such  
28 diagnostic application of new guidance with temporary materials would be useful to  
29 verify the effect of occlusal treatment. Especially for the cases that involving  
30 irreversible alternation of occlusal condition, such step-by-step procedures will be  
31 required to confirm the effect of occlusal treatment.  
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50 In the case described in Sawada's report, the working side mandibular head  
51 shifted back and downward during the initial phase of lateral excursion [10]. After  
52 attachment of the metal appliance, the working side mandibular head shifted upward  
53 and they suspected that mandibular head movement directed away from the joint  
54 cavity might have caused instability of the mandibular head, leading to open lock.  
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1 Figure 9 shows the sagittal view of the working side condylar pathway during lateral  
2 excursion before and after attachment of the palatal plate in our patient. Within 2 mm  
3 of the intercuspal position, the left mandibular head exhibited a shorter pathway than  
4 the right mandibular head. After attachment of the metal plate, the left mandibular  
5 head shifted in an anterior and downward direction, taking a similar pathway to the  
6 opposite side mandibular head. Our patient did not exhibit the same type of condylar  
7 pathway as the patient described in Sawada's report, but altered anterior guidance did  
8 result in a change in the condylar pathway. So it remains unclear what type of condylar  
9 pathway might or might not induce the onset of open lock. But both of these cases  
10 suggest that occlusal interference from posterior teeth can cause open lock and that  
11 modification of anterior guidance could be a conservative treatment option.  
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26 While the typical open lock exhibits posterior displacement of the articular disk  
27 [7,8], MRI images of our patient during open lock at age 51 showed normal articular  
28 disk position on the mandibular head (Fig. 7, upper pictures). Because this patient had  
29 a long history of anterior disk displacement, it was suspected that the articular disk had  
30 deformed and thickened irreversibly and that abrupt trapping of the mandibular head  
31 by the thickened disk caused open lock. After open lock was relieved with  
32 arthrocentesis, clear anterior displacement of the disk was found in this joint (Fig. 7,  
33 lower picture). There was no restriction in mandibular head movement despite anterior  
34 disk displacement (Fig. 8). Tomographs taken at age 23 illustrated only the hard tissues  
35 and provided no information to evaluate articular disk displacement. However, the  
36 original records of hard tissues and the left condylar pathway were almost identical to  
37 the more recent MRI and jaw movement records, suggesting that articular disk  
38 displacement was pre-existent at the initial visit. Although it is an anatomical  
39 abnormality, anteriorly displaced articular disk position was functionally adequate for  
40 this patient.  
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1 Patients with habitual open lock have generally been treated with limitation of  
2 jaw opening by chin cap or intermaxillary fixation. In patients with a long history of  
3 open lock and the possible complication of organic degeneration of the TMJ, invasive  
4 treatments such as open reduction have been adopted [10]. However, posterior tooth  
5 interference, which was found in the current case and in Sawada's patient [10], can  
6 potentially induce open lock and occlusal factors must be considered in such cases.  
7 Because alteration of anterior guidance is a far less invasive procedure than surgical  
8 treatment for patients with occlusal interference, occlusal treatment should be  
9 considered prior to surgical treatment.  
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21 When all conventional procedures are unsuccessful, invasive treatment should be  
22 pursued. The patient described in the current case underwent a pumping manipulation  
23 technique that displaced the synovial fluid by injecting local anesthetic into the  
24 articular space. Because this technique is less invasive than other surgical treatments  
25 for TMJ dislocation, this method is the first-line option for cases where conventional  
26 methods are ineffective. But even in such cases, posterior tooth interference that may  
27 induce open lock should be carefully avoided.  
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#### 41 **4. Conclusion**

42 We conclude that TMJ open lock can occur without warning following abrupt  
43 reduction of a displaced articular disk in patients with chronic internal derangement of  
44 the TMJ and that posterior tooth interference is considered a predisposing factor for  
45 this disorder.  
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#### 55 **Conflict of interest statement:**

56 All authors have no conflict of interest concerning the present manuscript.  
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1 Figure legends

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3 Fig. 1 – Tomogram images of the patient’s TMJ at 23 years of age. The upper images  
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5 were taken in the intercuspal position without open lock. No abnormal morphology is  
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7 evident. The lower image shows the left TMJ during open lock at 15 mm from the  
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9 occlusal position, with associated enlargement of the articular space.  
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14 Fig. 2 – Intra-oral image of the patient at 23 years of age. Image shows occlusal facet  
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16 on the buccal surface of left mandibular third molar (indicated by arrow head).  
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22 Fig. 3 – Metal splint attached to the left maxillary dentition.  
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26 Fig. 4 – Composite resin guidance placed on canine and buccal cusps of premolars.  
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31 Fig. 5 – Palatal plate attached to left maxillary canine, providing cuspid guidance to  
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33 left lateral movements.  
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38 Fig. 6 – Jaw border movement pathways at the incisal point and kinematic condylar  
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40 points after applying the palatal plate.  
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45 Fig. 7 – MRI analysis of the TMJ. Upper images were taken at age 51, during left TMJ  
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47 open lock. Lower images show the patient in intercuspal position, 14 months after the  
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49 pumping manipulation treatment. Differences in the joint space and articular disk  
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51 location can be seen in the left TMJ.  
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57 Fig. 8 – Jaw border movement pathways at the incisor point and kinematic condylar  
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59 points 14 months after manipulation treatment.  
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Fig. 9 – Sagittal view of working side condylar pathway before and after attaching palatal plate on maxillary left canine. Circle and rhombus marks are corresponding jaw position with maximum intercuspation and 2 mm lateral positions at incisal pathway. There was a clear difference in the length of the condylar pathway between these two points after attachment of the palatal plate.



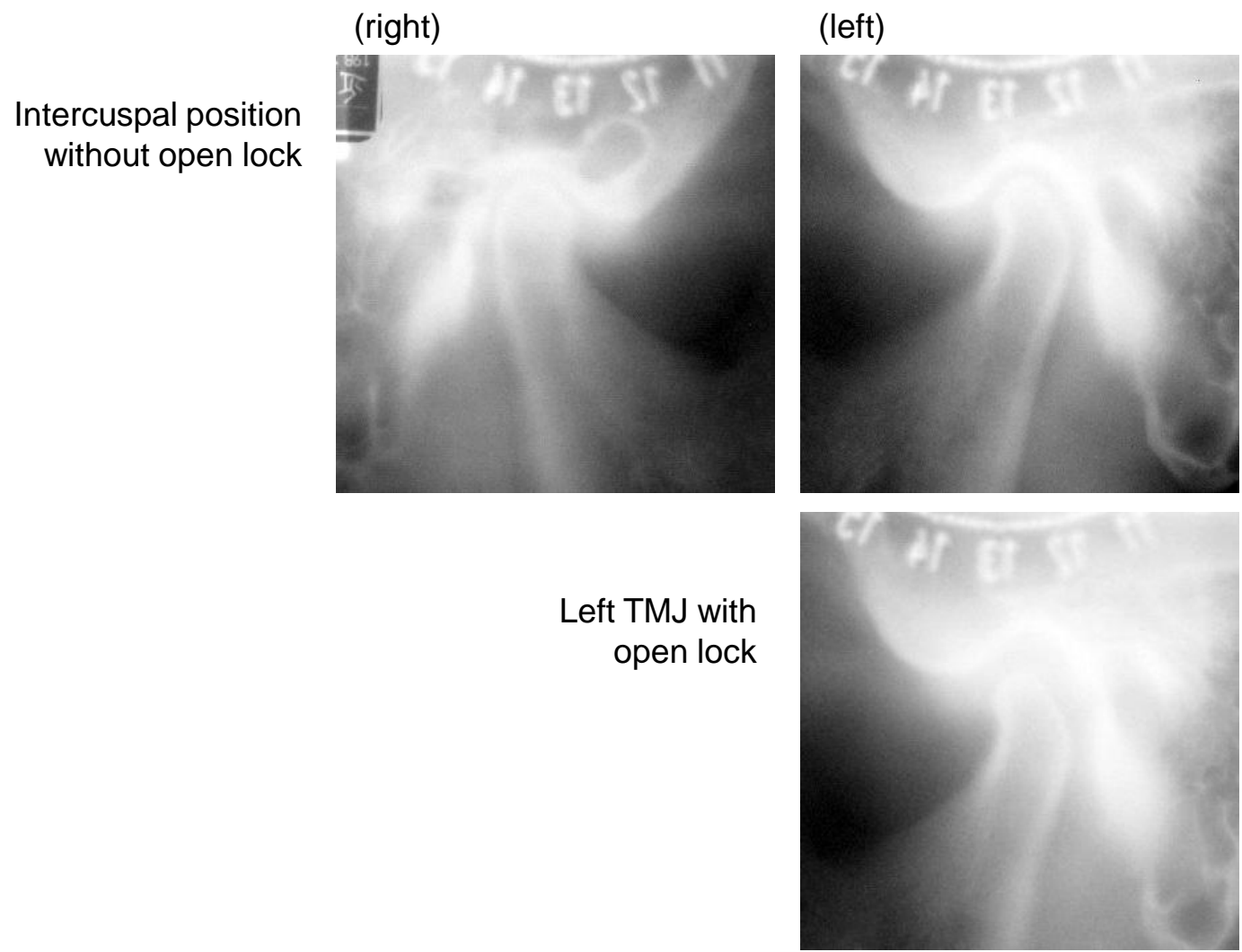


Figure 1

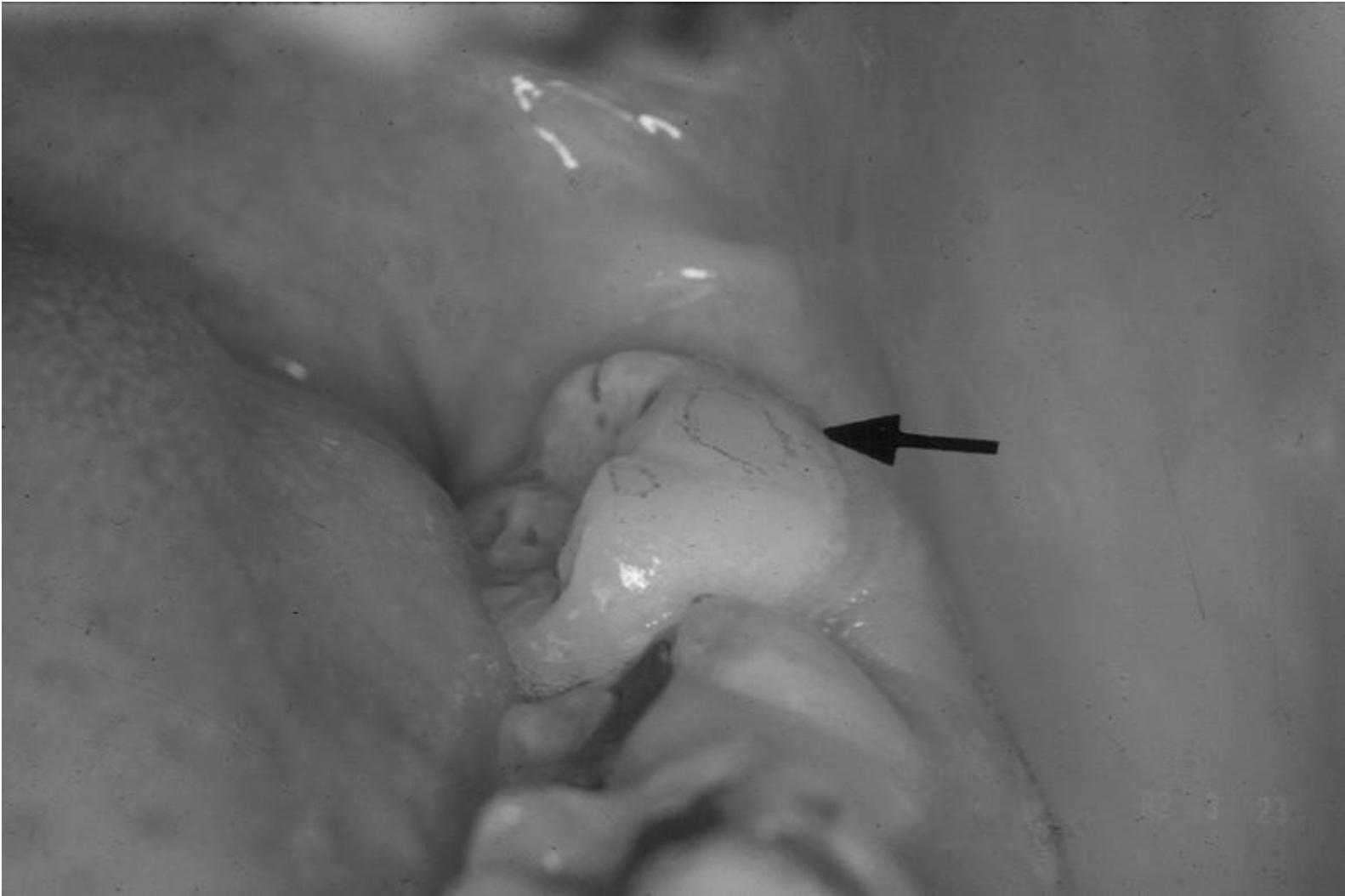


Figure 2



Figure 3

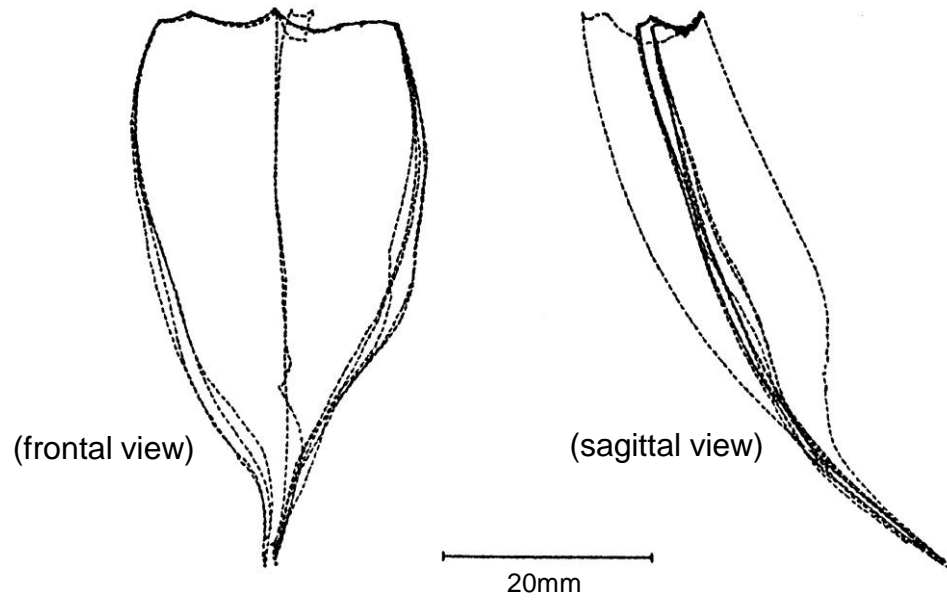


Figure 4



Figure 5

Incisal path



Condylar path

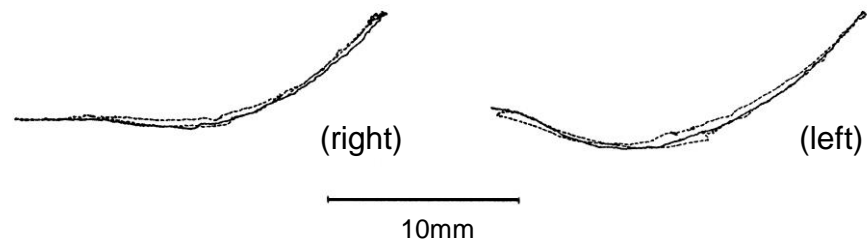
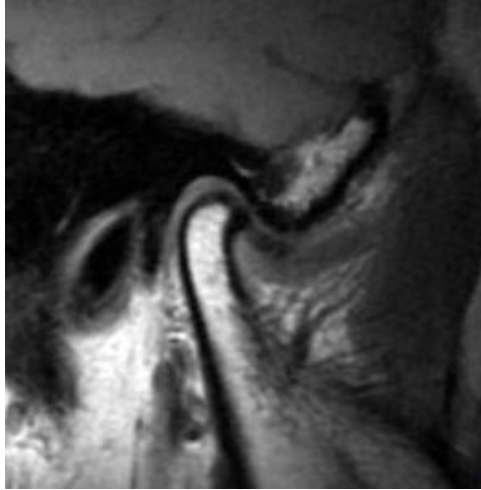


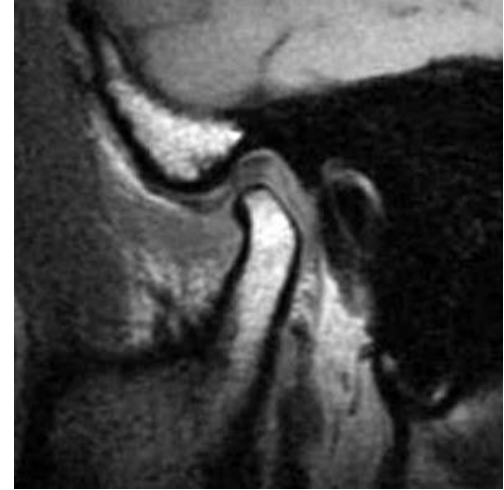
Figure 6

Left TMJ with  
open lock

(right)



(left)



Intercuspal position  
without open lock

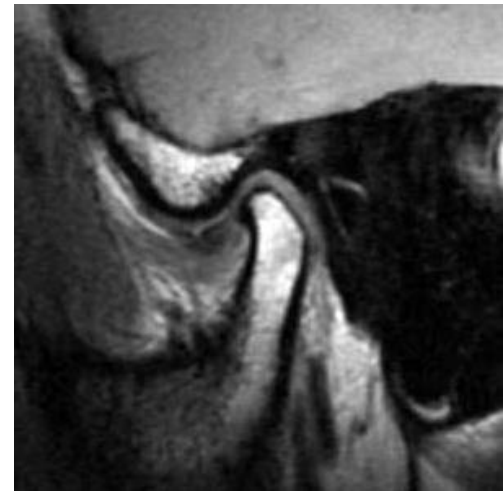
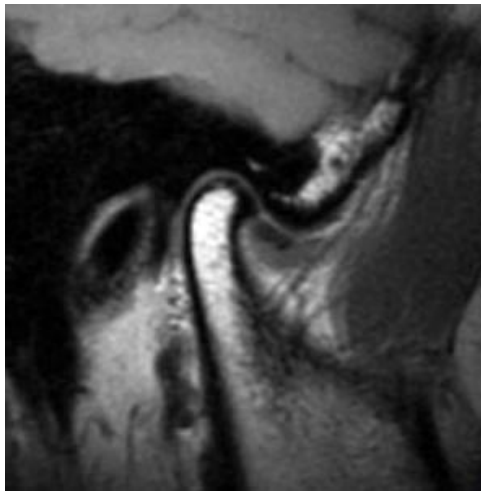
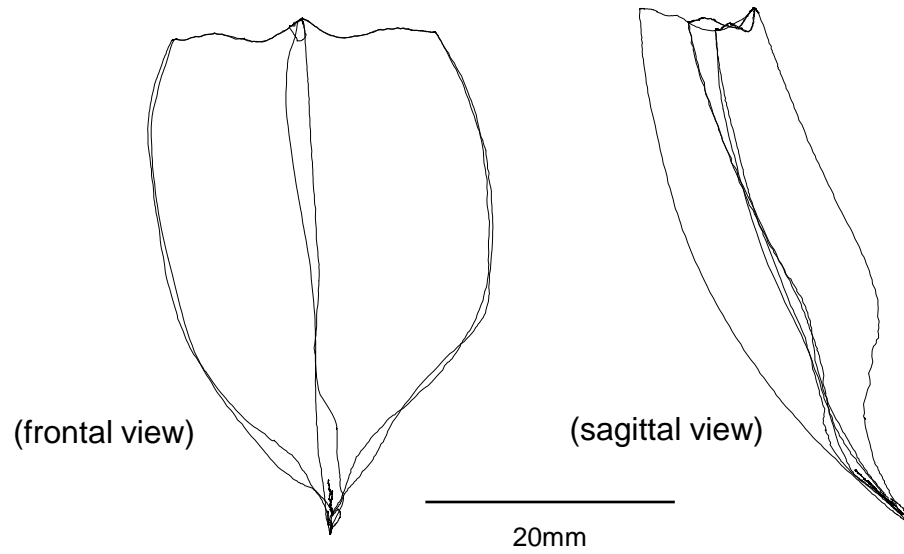


Figure 7

Incisal path



Condylar path

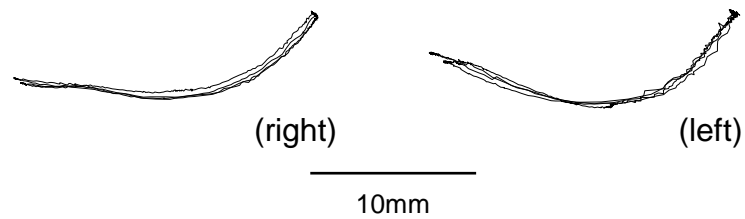
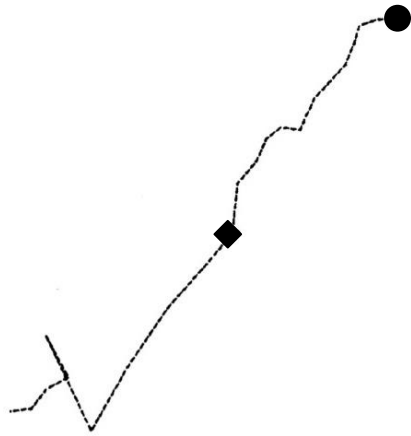


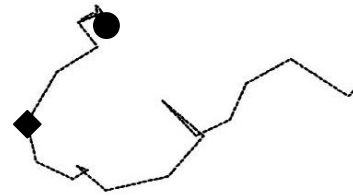
Figure 8



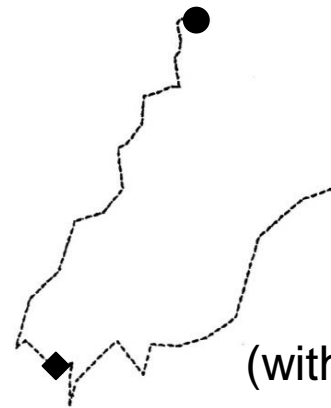
Right condylar path



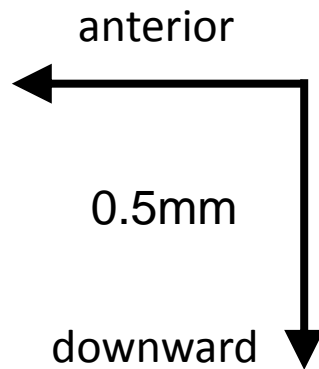
Left condylar path



(without palatal plate)



(with palatal plate)



● ICP

◆ 2 mm lateral point

Figure 9