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2 **Viscoelastic properties of the central region of porcine temporomandibular joint**  
3 **disc in shear stress-relaxation.**

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5 Eva Barrientos<sup>a\*</sup>, Fernandez Pelayo<sup>a</sup>, Eiji Tanaka<sup>b</sup>, María Jesús Lamela-Rey<sup>a</sup>, Alfonso  
6 Fernández-Canteli<sup>a</sup>

7  
8 <sup>a</sup> Department of Construction and Manufacturing Engineering, University of Oviedo,  
9 Gijón, Spain

10 <sup>b</sup> Department of Orthodontics and Dentofacial Orthopedics, Institute of Biomedical  
11 Sciences, Tokushima University Graduate School, Tokushima, Japan

12  
13 **\*Corresponding Author:**

14 Eva Barrientos  
15 Department of Construction and Manufacturing Engineering  
16 University of Oviedo, Gijón, Spain.

17 E-mail: [uo194227@uniovi.es](mailto:uo194227@uniovi.es)

18

1    **Abstract**

2    In this study, shear relaxation properties of the porcine temporomandibular joint (TMJ)  
3    disc are investigated. Previous studies have shown that, in fatigue failure and damage  
4    of cartilage and fibrocartilage, shear loads could be one of the biggest contributors to  
5    the failure. The aim of the present study is to develop an evaluation method to study  
6    shear properties of the disc and to do a mathematical characterization of it. For the  
7    experiments, twelve porcine discs were used. Each disc was dissected from the TMJ  
8    and, then, static strain control tests were carried out to obtain the shear relaxation  
9    modulus for the central region of the discs. From the results, it was found that the disc  
10   presents a viscoelastic behavior under shear loads. Relaxation modulus decreased  
11   with time. Shear relaxation was 10% of the instantaneous stress, which implies that  
12   the viscous properties of the disc cannot be neglected. The present results lead to a  
13   better understanding of the discs mechanical behavior under realistic TMJ working  
14   conditions.

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16   **Keywords:** Temporomandibular Joint; Soft Tissues; Viscoelasticity; Biomechanical  
17   Characterization; Experimental Techniques; Shear.

18

## 1        **1. Introduction**

2        Synovial joints allow various degrees of relative motion among the bones to be  
3        regulated by muscles attached to the latter (Widegren et al., 2000). Daily activity  
4        accompanies joint motion resulting in joint loads. The temporomandibular joint (TMJ),  
5        a diarthrodial synovial joint, enables large relative movements between the temporal  
6        bone and the mandibular condyle (Rees, 1954; Scapino et al., 2006). Within the joint,  
7        both the articular surfaces of the condyle and temporal bone are covered by a thin  
8        fibro-cartilaginous layer showing a very low coefficient of friction (Tanaka et al., 2004b).  
9        A dense fibrocartilaginous articular disc is located between the bones in each TMJ.  
10       The disc provides a largely passive movable articular surface accommodating the  
11       traslatory movement made by the condyle (Koolstra and Tanaka, 2009).  
12       The TMJ disc has an important load-bearing, stress absorbing and joint stabilizing  
13       function (Barrientos et al., 2016; Fernández et al., 2013; Tanaka et al., 2008; Tanaka  
14       and Eijden, 2003). The disc is subject to various types of loading, such as sustained  
15       loading during clenching and intermittent loading during mastication (Hattori-Hara et  
16       al., 2014; Hirose et al., 2006; Tanaka et al., 2007). Stresses are divided into  
17       compression, tension and shear components. During every type of loading the disc  
18       undergoes a deformation while internal forces arise within the tissue. The  
19       viscoelasticity of such a material, as that of the disc, is the principal factor of energy  
20       dissipation (Fung, 1969). These types of tissues show different mechanism of energy  
21       dissipation that are result of the different phases in their structure: interstitial fluid flow  
22       within and through the matrix and relaxation of the solid matrix (collagen fibers and  
23       proteoglycans). Without strain energy dissipation, storage of the exceeding strain  
24       energy can lead to breakage of the articular disc and other components of the TMJ  
25       (Tanaka et al., 1999).

1 Since shear stress can result in fatigue, damage and deformation of cartilage,  
2 investigation of shear properties in synovial joints is of particular interest (Spirt et al.,  
3 2005; Zhu et al., 1993, 1994). Gallo et al. (2000) suggest that, during mastication,  
4 fatigue failure of the TMJ disc could result from shear stresses caused by medio-lateral  
5 translation of stress location. Therefore, data on the shear modulus might contribute to  
6 a better understanding of secondary tissue damage, such as perforation or thinning of  
7 the disc due to long-term exposure to severe loadings. It has been reported that the  
8 shear stress in cartilage is very sensitive to the frequency and direction of the loading  
9 and to the amount of compressive strain (Mow et al., 1992). However, in the literature  
10 few studies are available in which the viscoelastic properties of the TMJ disc are  
11 measured in shear stress-relaxation.

12 This paper may provide better insight about the possible mechanism leading to tissue  
13 fatigue and failure due to shear. Therefore, in this study the viscoelastic properties of  
14 porcine TMJ disc are investigated under shear stress relaxation, aiming at advancing  
15 in the design of biomimetic disc substitutes and in the understanding of the pathological  
16 conditions of the TMJ disc.

17

## 18 **2. Materials and Methods**

19 In this study, twelve healthy-looking TMJ discs from 6 pigs (age: approx. 6–7 months,  
20 gender not specified) were obtained at a local slaughterhouse (Noreña, Asturias,  
21 Spain). The protocol of the experiment was approved by the Animal Care and Use  
22 Committee at the University of Oviedo, Spain. The discs were carefully dissected  
23 immediately after the sacrifice, introduced in hermetic containers immersed in a  
24 physiologic saline solution (NaCl 0.09 g/100 ml), and frozen at -25 °C for 3 days until  
25 the experiment was initiated for testing (Allen and Athanasiou, 2005; Calvo-Gallego et

1 al., 2017). The discs were completely unfrozen in a refrigerator at 3-4 °C and, then,  
2 allow to reach room temperature (20 °C) before testing. Using a cylindrical 4.0 mm  
3 diameter tissue punch, two experimental specimens were dissected from the central  
4 region of each disc (see Figure 1).

5 Although previous studies have shown region-dependent mechanical properties  
6 (Fernández et al., 2013), this study is only focused on the central region, mainly due  
7 to the complexity of extracting two specimens with the necessary dimensions of the  
8 rest of regions.

9 All the specimens were tested in a DMA Instrument (RSA3, T.A. Instruments, USA) in  
10 unconfined shear using a shear tool (see Figure 2) at room temperature (20 °C). The  
11 loading was applied in the antero-posterior direction, since mechanical properties of  
12 the disc, due to fiber distribution, will also be direction-dependent.

13 As mentioned before, two specimens of each disc were cut. In Figure 2, it can be seen  
14 that the shear-tool has a sandwich configuration and samples need to be placed at  
15 both sides of the tool. In order to test shear in antero-posterior direction, the fibers of  
16 the specimens need to be aligned with the movement of the tool (vertical direction),  
17 according to Figure 3.

18 To avoid the specimens' slippage during shear loading, 600 grit sandpaper was glued  
19 to the surfaces of the shear tool. Additionally, the selected inner part of the shear tool  
20 would allow testing 2 mm thick specimens. Taking into account the average thickness  
21 value for the discs,  $1.84 \pm 0.11$  mm, and the real gap for testing, 1.750 mm (subtracting  
22 the sandpaper sheet thickness), an average initial value of 5% pre-strain in the  
23 compression direction was applied before testing. After previous step, a 3-min  
24 preconditioning test was performed with 1% sinusoidal strain before the subsequent  
25 shear stress relaxation test. The shear strain was applied to the specimens moving the

1 lower part of the tool in the axial direction of the machine (vertical direction in Figure 2  
2 and 3). Shear strain levels of the TMJ disc produced under ordinary mandibular  
3 movement have not been reported. Previous studies do not show consensus for shear  
4 strain (Lai et al., 1998; Tanaka et al., 2004a). Due to the limitations of testing the  
5 specimens under shear conditions, i.e. very low loads for strain values lower than 5%  
6 or problems of slippage for strain values larger than 10%, tests were carried out at  
7 strain levels of 5% and 8% in order to obtain the corresponding relaxation modulus.  
8 The specific level of shear strain was produced under an instantaneous strain step and  
9 kept constant during 120 seconds for each stress relaxation test keeping the same test  
10 procedure used in previous studies (Barrientos et al., 2016).  
11 To apply and maintain the initial value of strain during the relaxation test, the DMTA  
12 machine is equipped with a motor driven by an air bearing system, which applies the  
13 corresponding displacement at a very high rate once the strain is commanded before  
14 testing (T.A.Instruments, 2001). Loads were measured simultaneously under the  
15 specified constant strain.

16

### 17 **3. Results**

#### 18 **3.1 Viscoelastic properties of porcine TMJ disc in shear stress relaxation**

19 From the experimental tests, the mean and standard deviation of the shear modulus  
20 of the TMJ disc at convenient times were calculated. The resulting curves for the 5 and  
21 8 % strain levels are presented in Figure 4 (left and right plots, respectively).

22 For comparison proposals both averaged curves are plotted in Figure 5. From Figure  
23 5, a higher shear modulus is observed for the 8 % strain level. From the results (Figure  
24 5), a dependence of the relaxation modulus,  $G(t)$ , with applied strain can be observed,  
25 which is in agreement with the TMJ disc behaviour previously observed (Lamela et al.,

1 2011).

2 The shear modulus obtained for both strain levels (see Figure 5) presents a large  
3 relaxation ratio. For 1 s, the shear modulus decreases about 70% while a 90 %  
4 reduction is observed for 100 s.

5

### 6 **3.2 TMJ shear relaxation model**

7 Due to its simplicity, even though other models could be used, generalized Maxwell  
8 model was used to fit the experimental data to the viscoelastic model represented in  
9 Figure 6, as a combination of spring and dashpot elements (Tschoegl, 2012), which  
10 can be modelled using the Prony's series model given by the equation:

$$G(t) = G_0 \left[ 1 - \sum_{i=1}^{n_t} g_i \left( 1 - \exp\left(-\frac{t}{\tau_i}\right) \right) \right] \quad (1)$$

11 where  $g_i$  and  $\tau_i$  are the Prony parameters and  $G_0$  is the instantaneous shear  
12 modulus.

13 To simplify the material model, as well as to take into account the dependence of the  
14  $G(t)$  with the applied strain, a unique set of Prony parameters was used to fit both  
15 shear modulus curves. This procedure profits from the fact that a simple vertical shift  
16 is observed between both material curves (see Figure 5) which could be interpreted as  
17 a proportional shift of  $G(t)$  with the strain.

18 Two steps were used for fitting the material model. Firstly, the shear curves for the  
19 TMJ are averaged and, next, the generalized Maxwell model was applied to fit the  
20 averaged curve by means of the Prony series equation (1).

21 To fit adequately the experimental data, 8 Prony terms were necessary being the R-  
22 square 0.994. The parameters of the Prony series presented in Table 1 define the  
23 normalized viscoelastic curve for the material, as a function of the instantaneous

1 modulus of the material,  $G_0$ . In this way, the curves for the 5% and the 8% strains are  
 2 gained from the fitted model, simply, by multiplying in each case equation (1), by the  
 3 corresponding instantaneous modulus. Accordingly,  $G_0^{5\%} = 1.6205e + 04$  kPa and  
 4  $G_0^{8\%} = 1.8883e + 04$  kPa, for the 5 % and the 8 % shear modulus curves, respectively.  
 5 The Prony series parameters with higher precision are included in the appendix.

6 Table 1. Prony series parameters ( $R^2=0.994$ ) for the normalized TMJ shear modulus  
 7 curve.

$\tau_i$	$G_i$
3.17e-02	4.14e-01
1.00e-01	7.90e-02
3.19e-01	6.26e-02
1.01e+00	6.36e-02
3.21e+00	5.68e-02
1.01e+01	7.36e-02
3.22e+01	6.65e-02
1.02e+02	1.44e-01

8 The experimental and the analytical curves (using equation (1)) are presented in Figure  
 9 7. The maximum error between the experimental results and the proposed model are  
 10 less than a 2% for both curves.

11

#### 12 **4. Discussion**

13 Fatigue failure and damage of joint tissues, including both disc and cartilage, may be  
 14 more linked to repeated and prolonged extension and shear motions than to the joint  
 15 compression applied (Iatridis and ap Gwynn, 2004; Tanaka et al., 2003). Even when  
 16 the disc slides along smooth temporal cartilage during jaw movements, shear loading



1 of the disc and cartilage has been considered to be negligible due to almost zero  
2 friction. However, several authors support the evidence that the disc and cartilage are  
3 subjected to shear stress. For example, after prolonged clenching and grinding, only  
4 solid contact may exist between the disc and cartilages, without boundary lubrication  
5 between them, resulting in considerable shear stress (Forster and Fisher, 1999, 1996;  
6 Tanaka et al., 2001). Few studies of the behaviour of the TMJ disc under dynamic  
7 shear loads were performed in the past (Juran et al., 2013; Koolstra et al., 2007;  
8 Tanaka et al., 2004a, 2003) to evaluate the mechanical properties of the disc at  
9 different strain rates and frequencies. The present study is, as far as we know, the first,  
10 in which the shear relaxation properties of the TMJ disc in shear stress relaxation were  
11 examined. Wu et al. (2015) investigated the intrinsic viscoelastic shear properties in  
12 porcine TMJ disc, but in contrast to the present study, they applied a rotational shear  
13 loading. The present design might reproduce the actual environment in the TMJ disc.  
14 Previous studies have shown that due to morphology, function and diet, pig discs are  
15 the closest to human discs making them an appropriate model for TMJ studies  
16 (Bermejo et al., 1993; Kalpakci et al., 2011). In this study, relaxation viscoelastic  
17 behaviour of cut porcine specimens is evaluated in antero-posterior direction at 5 and  
18 8% shear strain levels. As a result, the instantaneous shear moduli were increased  
19 with increasing applied strain. This evidences a dependence with strain of the  
20 behaviour of the disc which is in good agreement with the general mechanical  
21 behaviour observed previously in the TMJ disc (Lamela et al., 2011; Tanaka and Eijden,  
22 2003). The possible explanation for this increment is the stretching of collagen fibers in  
23 antero-posterior direction (Barrientos et al., 2016; Lamela et al., 2011; Tanaka et al.,  
24 2003). Furthermore, present results show that the relaxed stress of the porcine TMJ  
25 disc was approximately 10% of the instantaneous stress irrespective of shear strain

1 amplitude. This indicates that energy-dissipation function takes place in the TMJ disc.  
2 Without the energy dissipation capacity of the disc, TMJ components including bony  
3 components and soft tissue probably fail resulting in the tissue rupture. Thus far, it is  
4 concluded that the TMJ disc plays an important role as a stress bumper during complex  
5 mandibular movements.

6 When comparing the compression relaxation tests (Barrientos et al., 2016; Lamela et  
7 al., 2011) with the shear relaxation tests, the present results clearly show that  
8 compression relaxation modulus is 10 times higher than shear relaxation modulus.  
9 Adam et al. (2015) investigated an image-based modelling study on the bovine caudal  
10 disc, and concluded that shear resistance between lamellae confers disc mechanical  
11 resistance to compression. This points out the relationship between shear and  
12 compressive properties of the TMJ disc. Moreover, the present results reveal that the  
13 porcine TMJ discs exhibited shorter relaxation times under shear stress relaxation than  
14 under compressive stress relaxation. This may be due to the difference of an outflow  
15 of interstitial fluid caused by pressurization of the compressed area. During shear  
16 stress relaxation, the fluid within the disc is likely to move along the stretching collagen  
17 fibers; however, during compressive stress relaxation, the disc maintains a fluid  
18 pressure because of sustained interstitial fluids within the disc. Since the load bearing  
19 functions of cartilaginous tissues are mainly provided by the viscoelastic property of  
20 collagen fiber network and the osmotic pressure due to the presence of proteoglycans  
21 (Hardingham and Fosang, 1992), the large proteoglycans and the related chondroitin  
22 sulfate might be more important to counteract compression and shear, while the  
23 collagen fibers are more important to counteract tension (Tanaka and Eijden, 2003).  
24 Mow et al. (1980) reported about the biphasic theory, this theory is suitable for better  
25 understanding of the mechanisms involved in energy dissipation. Due to the highly

1 heterogeneous structure of the TMJ disc, the viscoelastic approach used in this study  
2 gives a global understanding of the mechanical properties of the disc rather than the  
3 material constitutive law.

4 In literature, authors have used different models to characterize the viscoelastic  
5 properties of the TMJ disc (Allen and Athanasiou, 2006; Tanaka and Eijden, 2003). For  
6 large displacements, other models could be more appropriate (Fung, 1969). In this  
7 study, a generalized Maxwell model, based on Prony's series, was applied to  
8 characterize the shear relaxation modulus of the material. Although the TMJ disc  
9 presents a strain-dependent behavior, almost the same relaxation rate is observed for  
10 the strain levels applied in the experiments (see Figure 5). This fact allows a unique  
11 viscoelastic model to be fitted where the instantaneous modulus,  $G_0$ , at the  
12 corresponding strain level must be used. The results obtained with the proposed Prony  
13 series model can be considered adequate for the shear relaxation modulus of the TMJ  
14 disc showing errors under 2%.

15 To be consistent with previous studies and allowed comparison (Barrientos et al., 2016;  
16 Fernández et al., 2013), some testing conditions, such relaxation time and temperature,  
17 and model parameters were chosen. Temperature affects mechanical results as higher  
18 temperatures reduce stiffness and strength of the discs (Detamore and Athanasiou,  
19 2003).

20 In conclusion, the relaxation properties of the porcine disc were determined under  
21 shear in this study. A new methodology to test the disc under relaxation shear  
22 conditions was proposed. The study shows that the viscoelastic properties of the disc  
23 under shear loads cannot be neglected. Shear properties of the disc in antero-posterior  
24 direction were characterized using a unique Maxwell model. Nevertheless, this study  
25 is a first step in the shear characterization of the TMJ discs and further studies are

1 needed to conclude on the shear behavior of the disc in medio-lateral direction, cyclic  
2 loads, pre-compression and region dependencies.

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8

9 **Conflict of interest statement**

10 We wish to confirm that there are no known conflicts of interest associated with this  
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13

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- 25

1      **6. Appendix A**

2      Table 1. Prony Series coefficients for the TMJ Shear modulus with higher precision

$\tau_i$	$G_i$
3.171801782714793e-02	4.146791885739055e-01
1.006032675003927e-01	7.901525169602446e-02
3.190936295865514e-01	6.262266247153189e-02
1.012101763417593e+00	6.369962544203969e-02
3.210186241700431e+00	5.687840666168365e-02
1.018207464791342e+01	7.366328040806444e-02
3.229552316589736e+01	6.652140489569733e-02
1.024350000000000e+02	1.443664636944322e-01

3

Figure 1. Area where the specimens were cut and fiber direction.

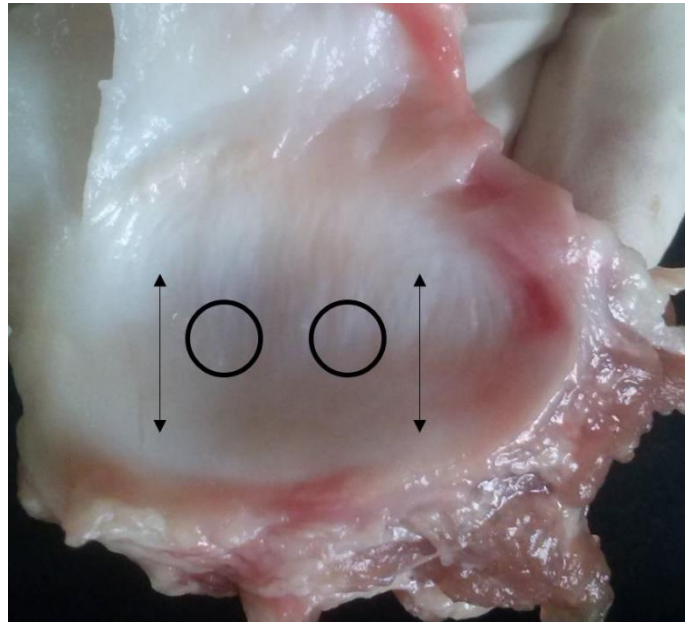
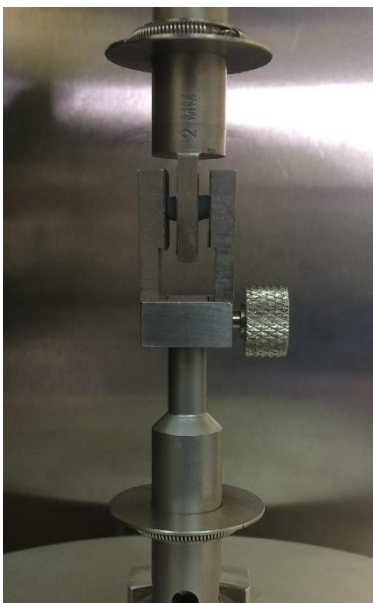
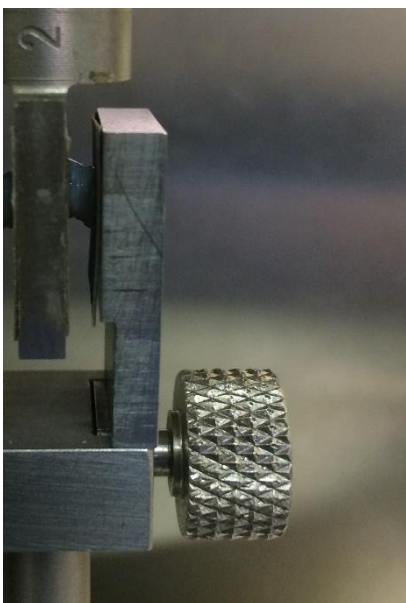


Figure 2. Specimens inside the test tool before test (left) and detail of a specimen after strain was applied (right).

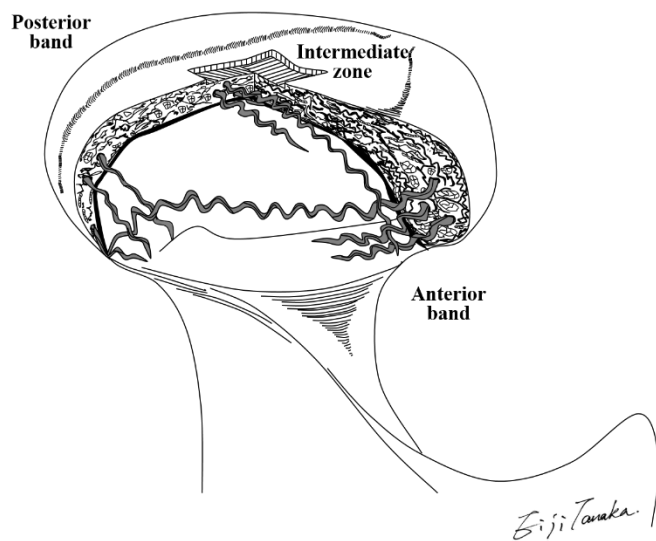


(left)

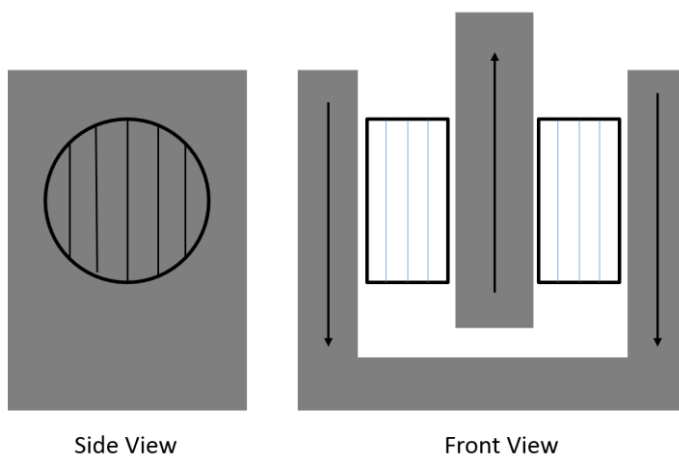


(right)

Figure 3. Fiber distribution of discs (left) and direction of fibers in the tool during antero-posterior testing (right).

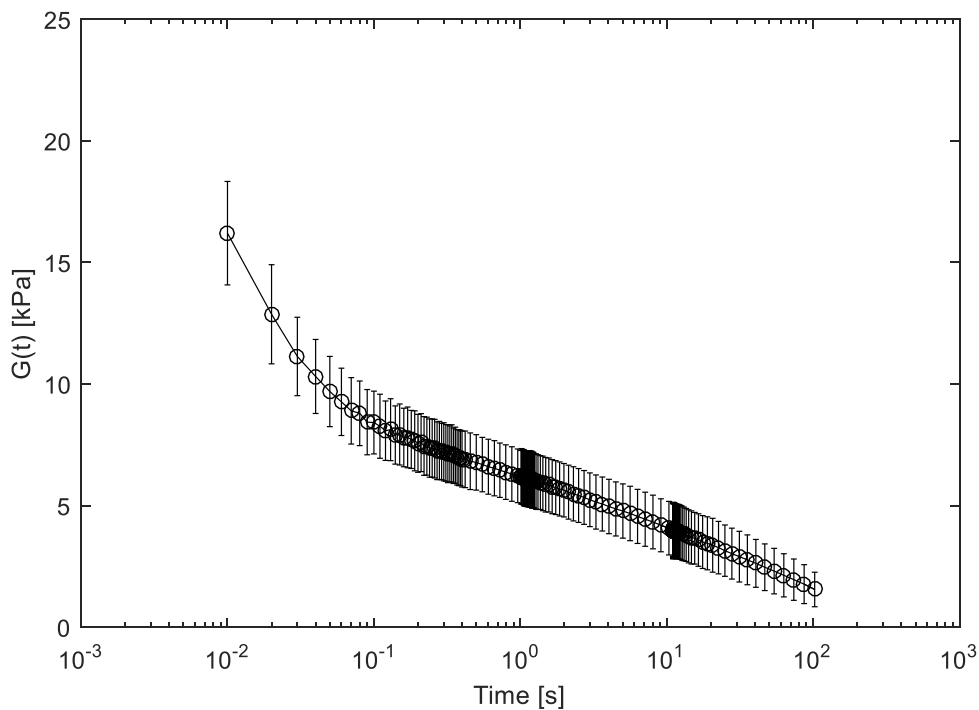


(left)

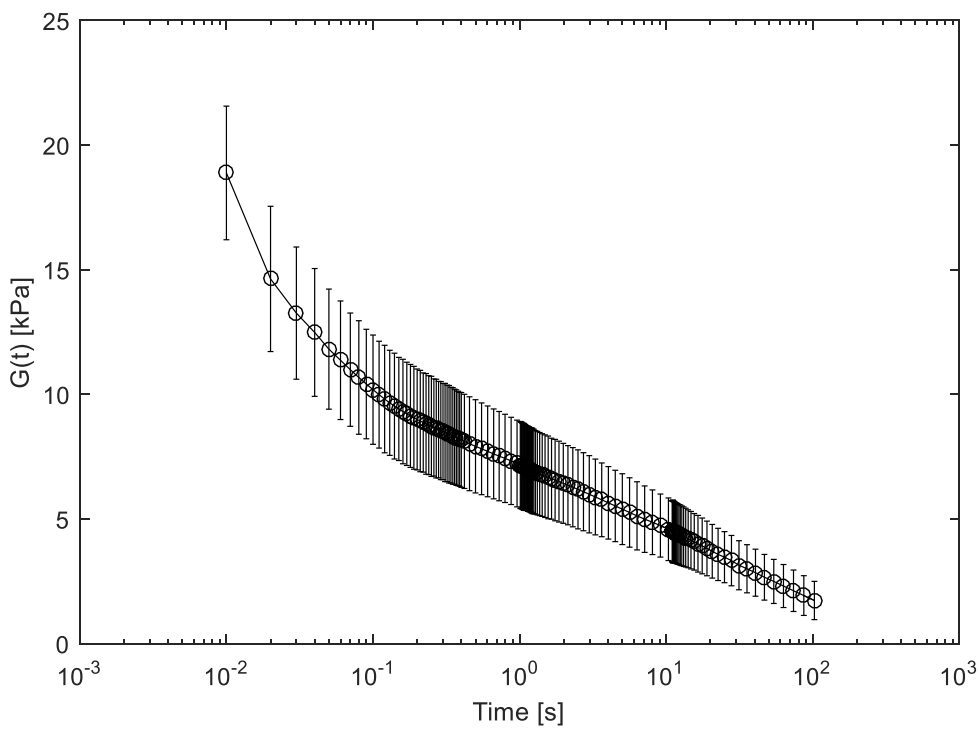


(right)

Figure 4. Shear relaxation modulus for the TMJ disc at  $\varepsilon = 5\%$  (left) and  $\varepsilon = 8\%$  (right).



(left plot)



(right plot)

Figure 5. Average shear relaxation modulus for the TMJ.

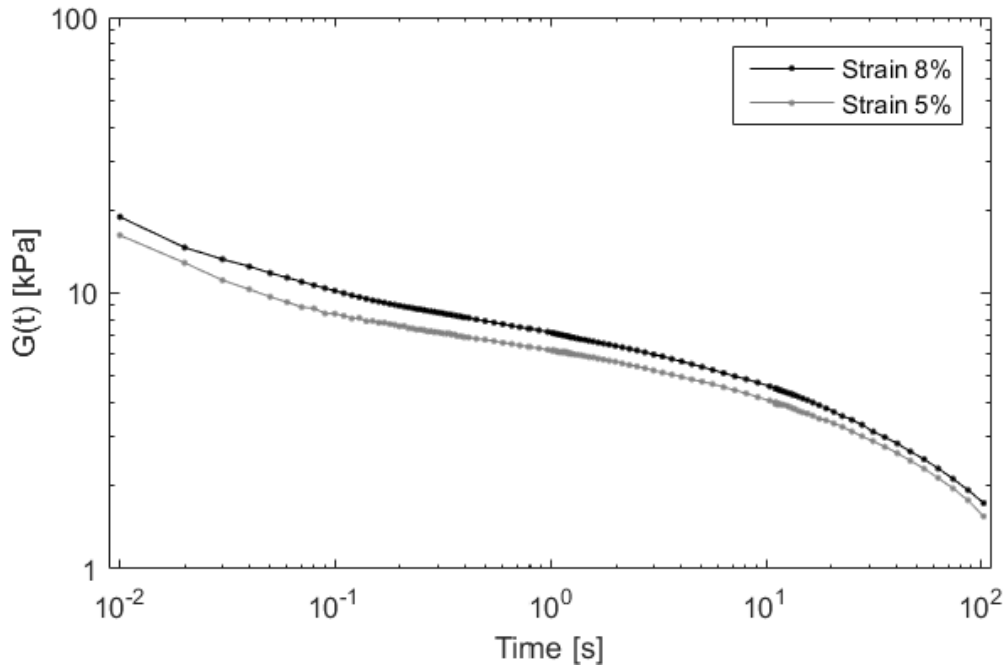


Figure 6. Representation of the generalized Maxwell model.

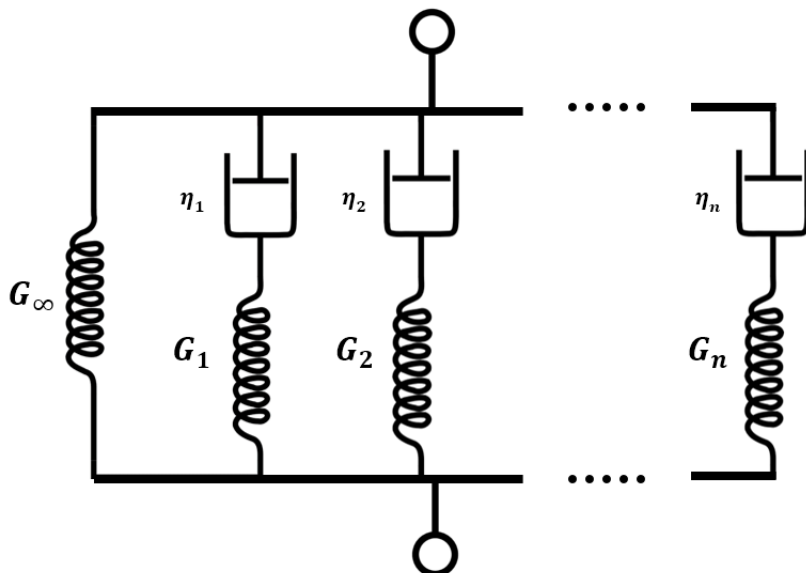
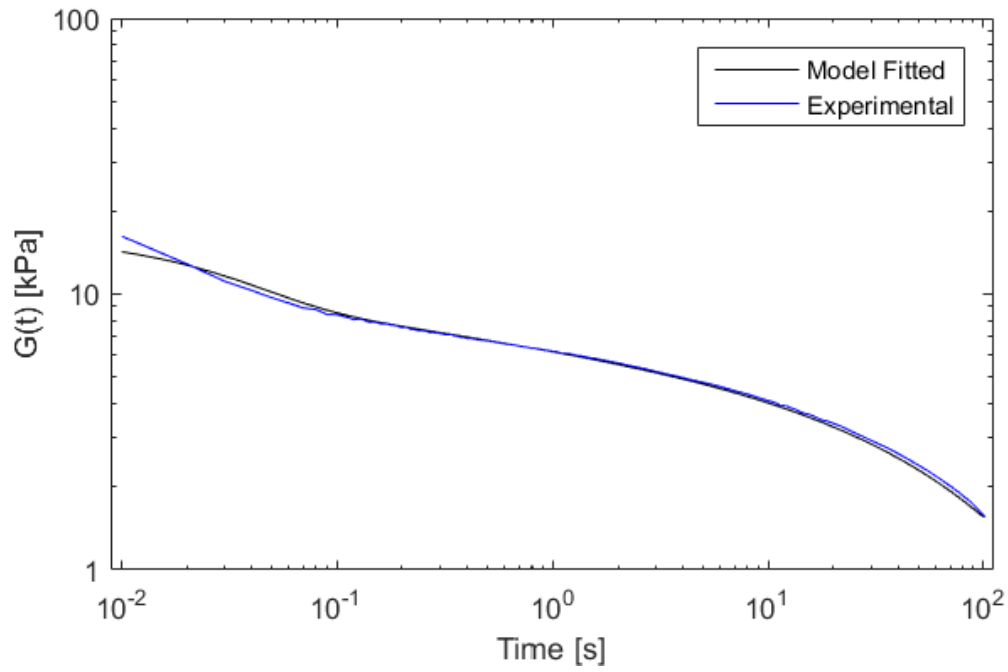
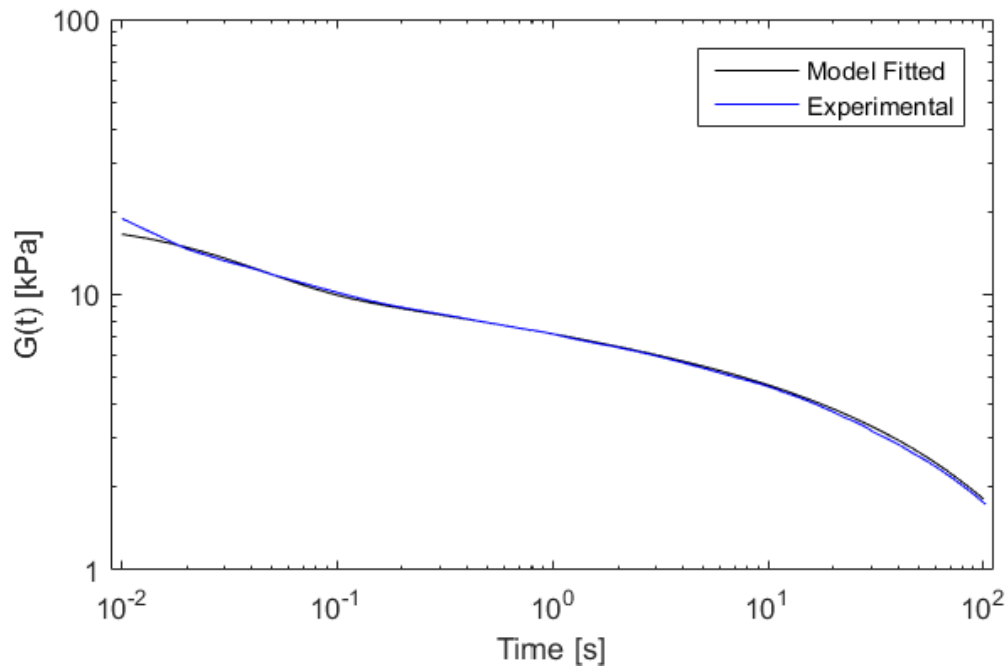


Figure 7. Experimental and analytical (using Eq. (1)) curves for the TMJ shear modulus for 5% (left) and 8% (right).

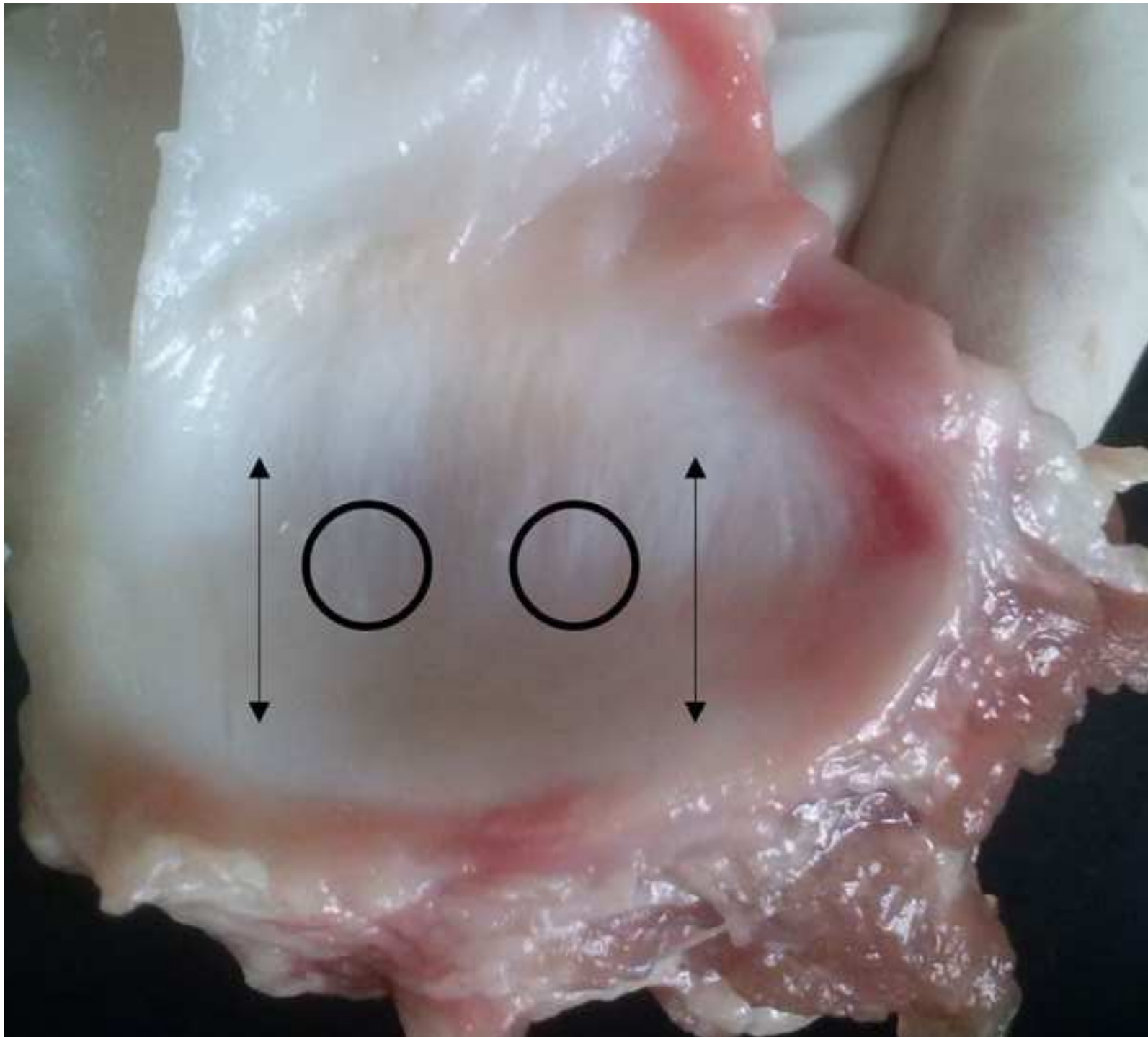


Level 5% (left)

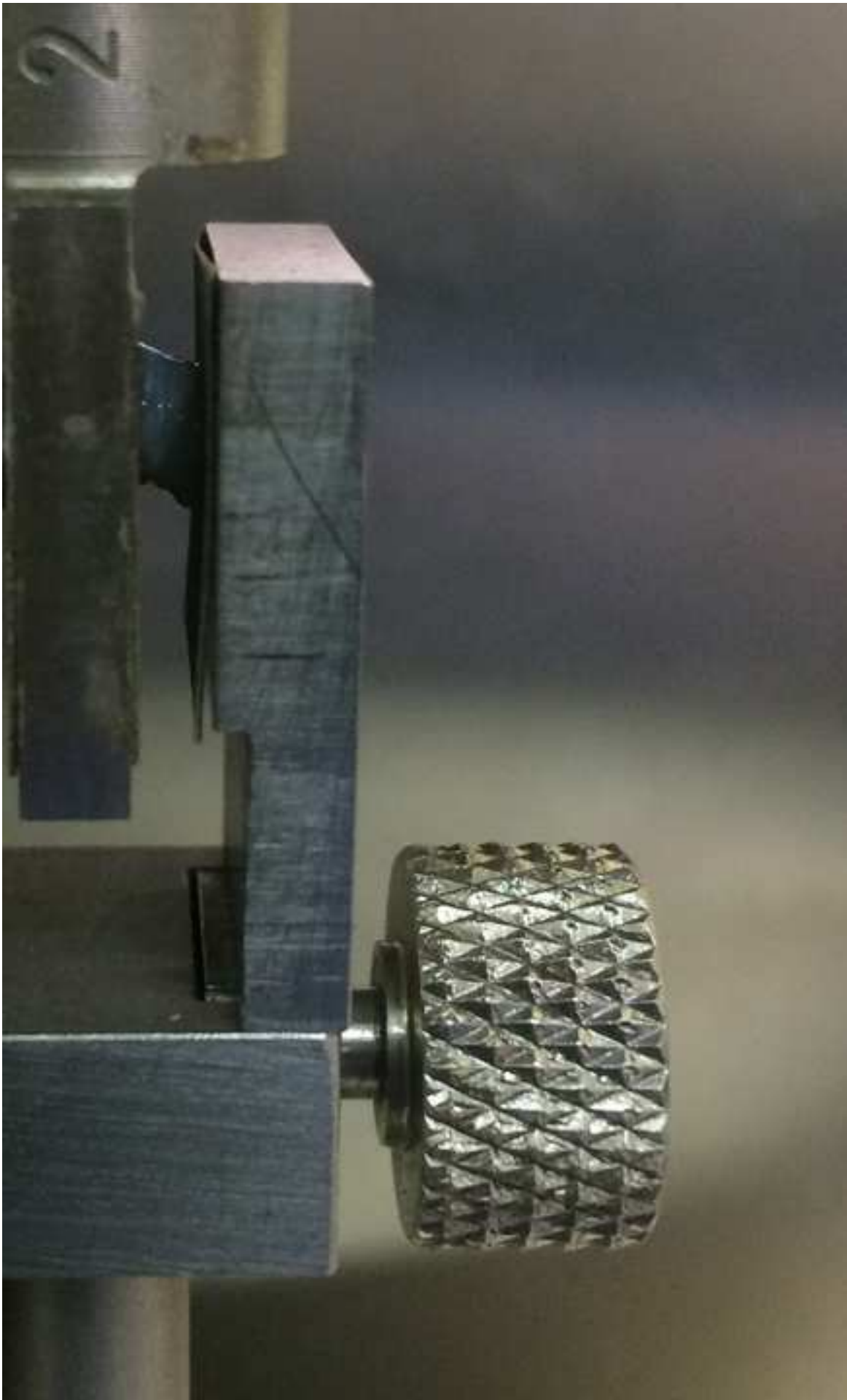


Level 8% (right)





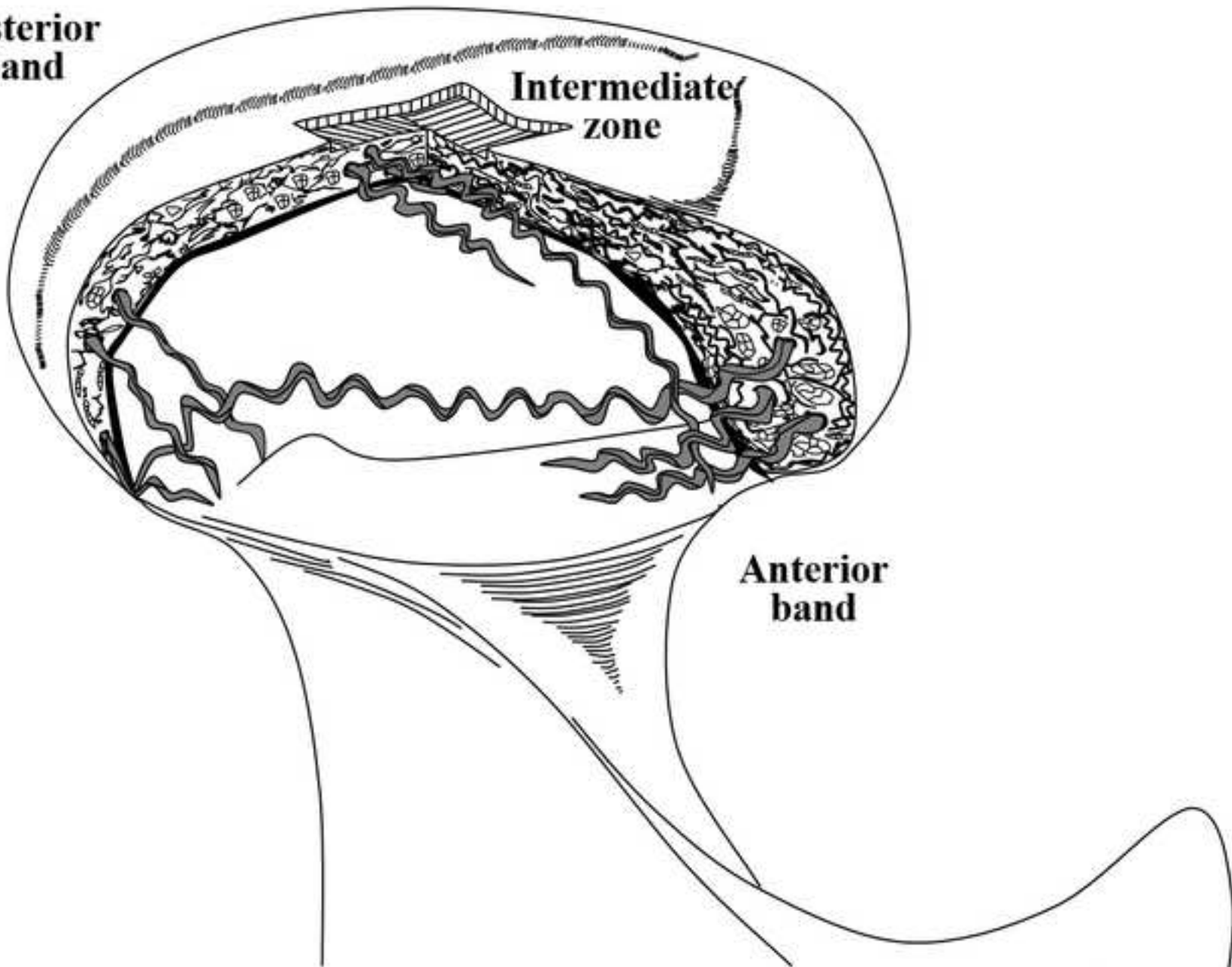




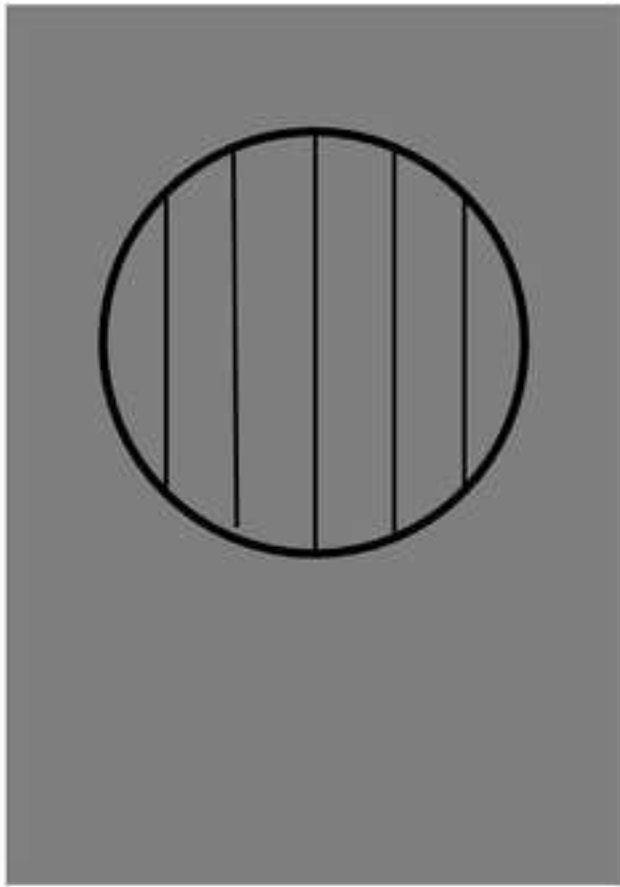
**Posterior  
band**

**Intermediate  
zone**

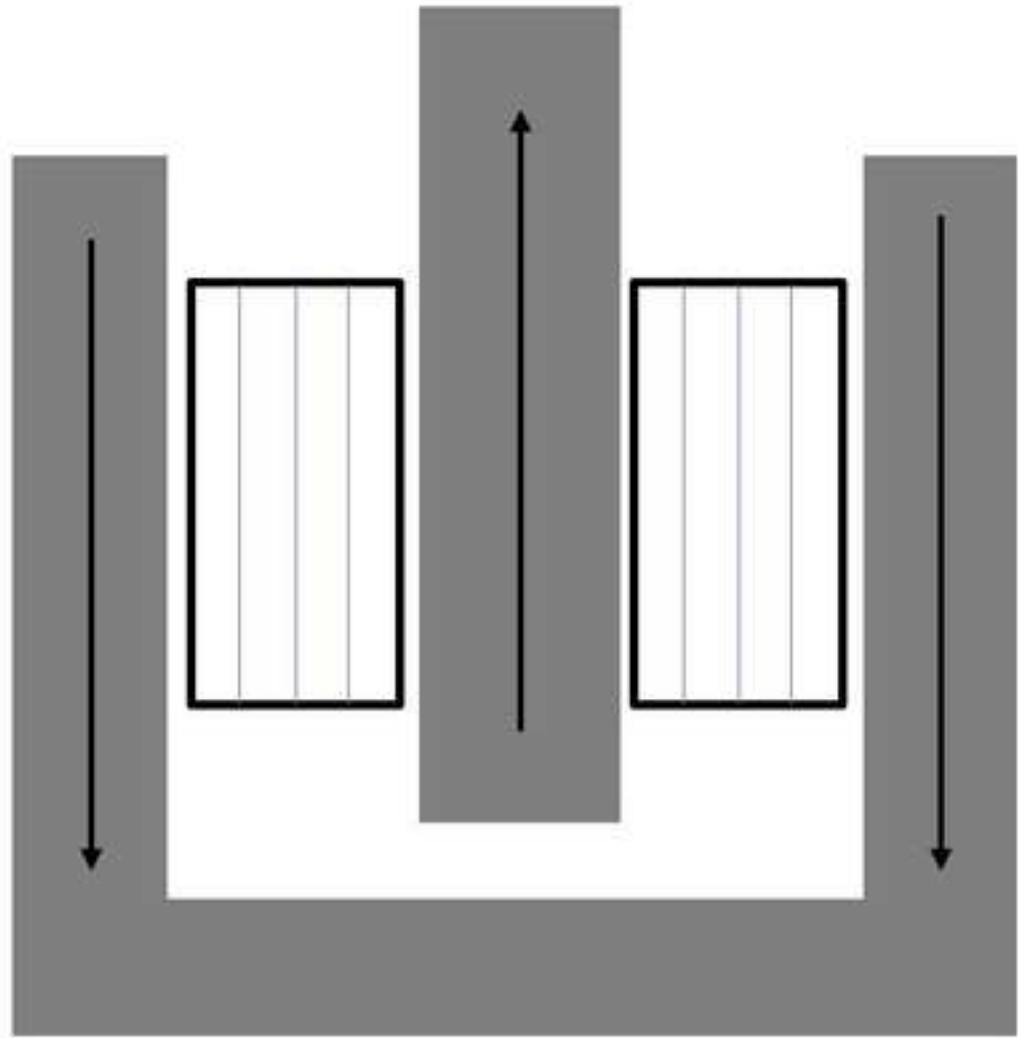
**Anterior  
band**



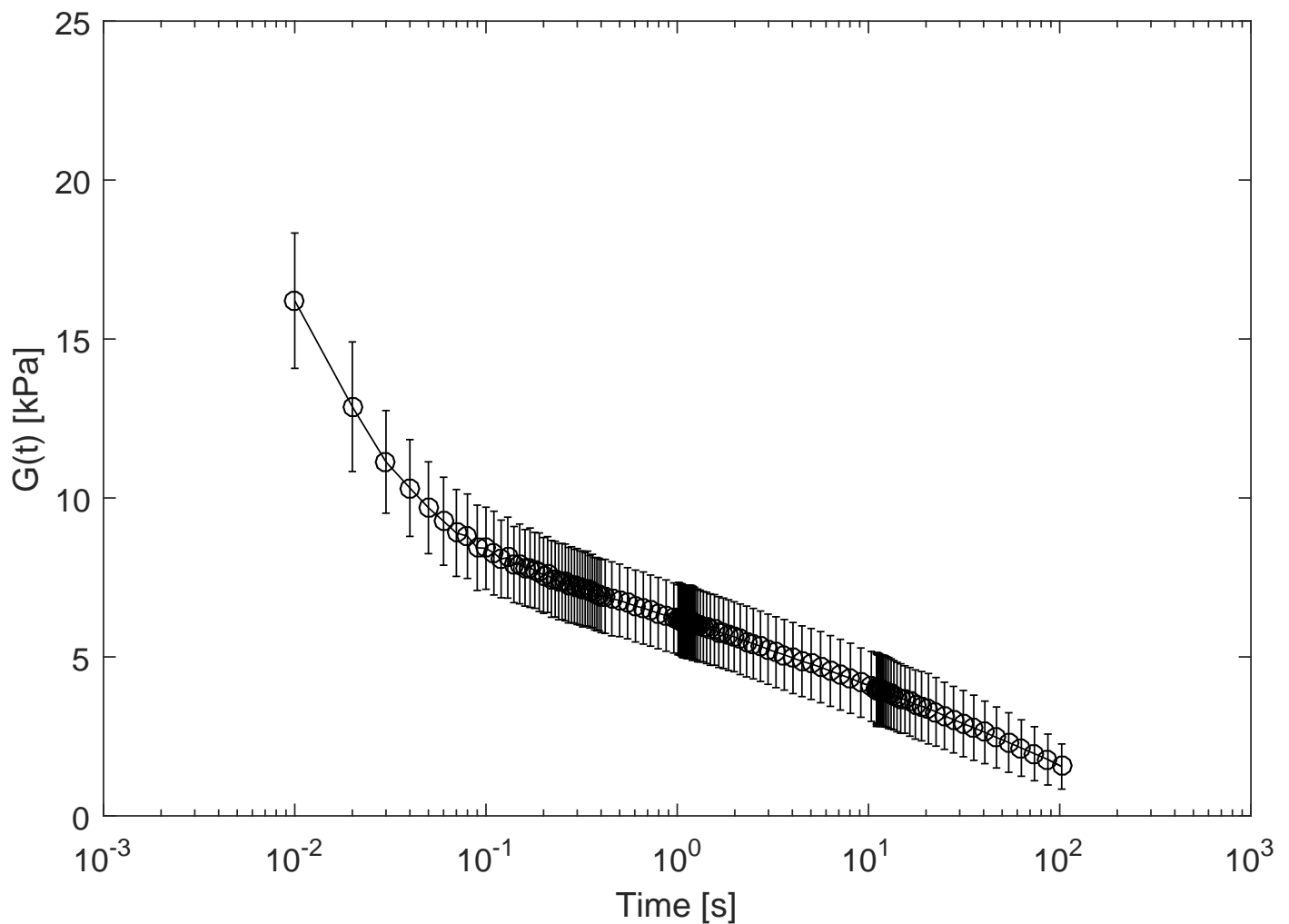
*Biji Tanaka.*

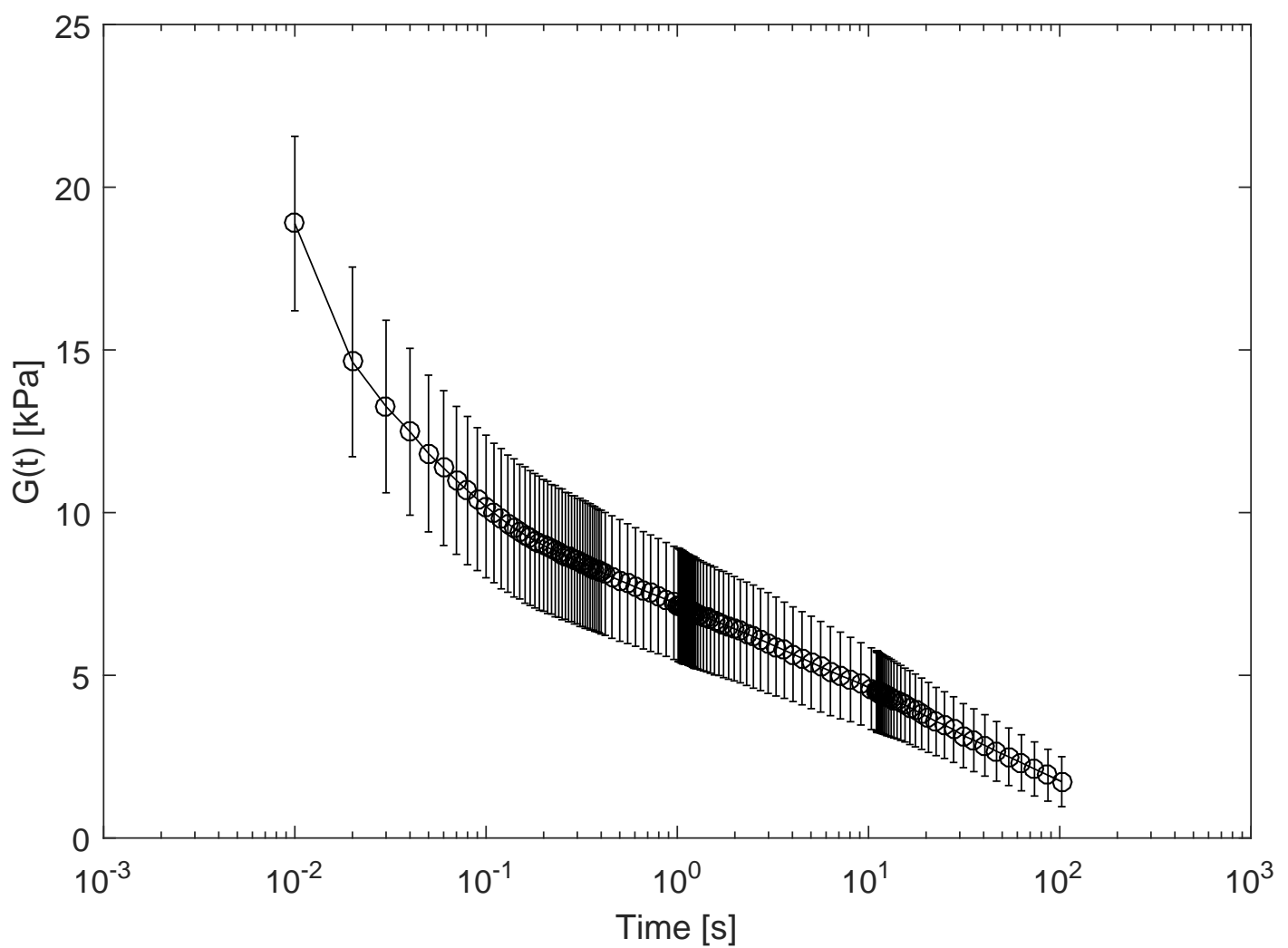


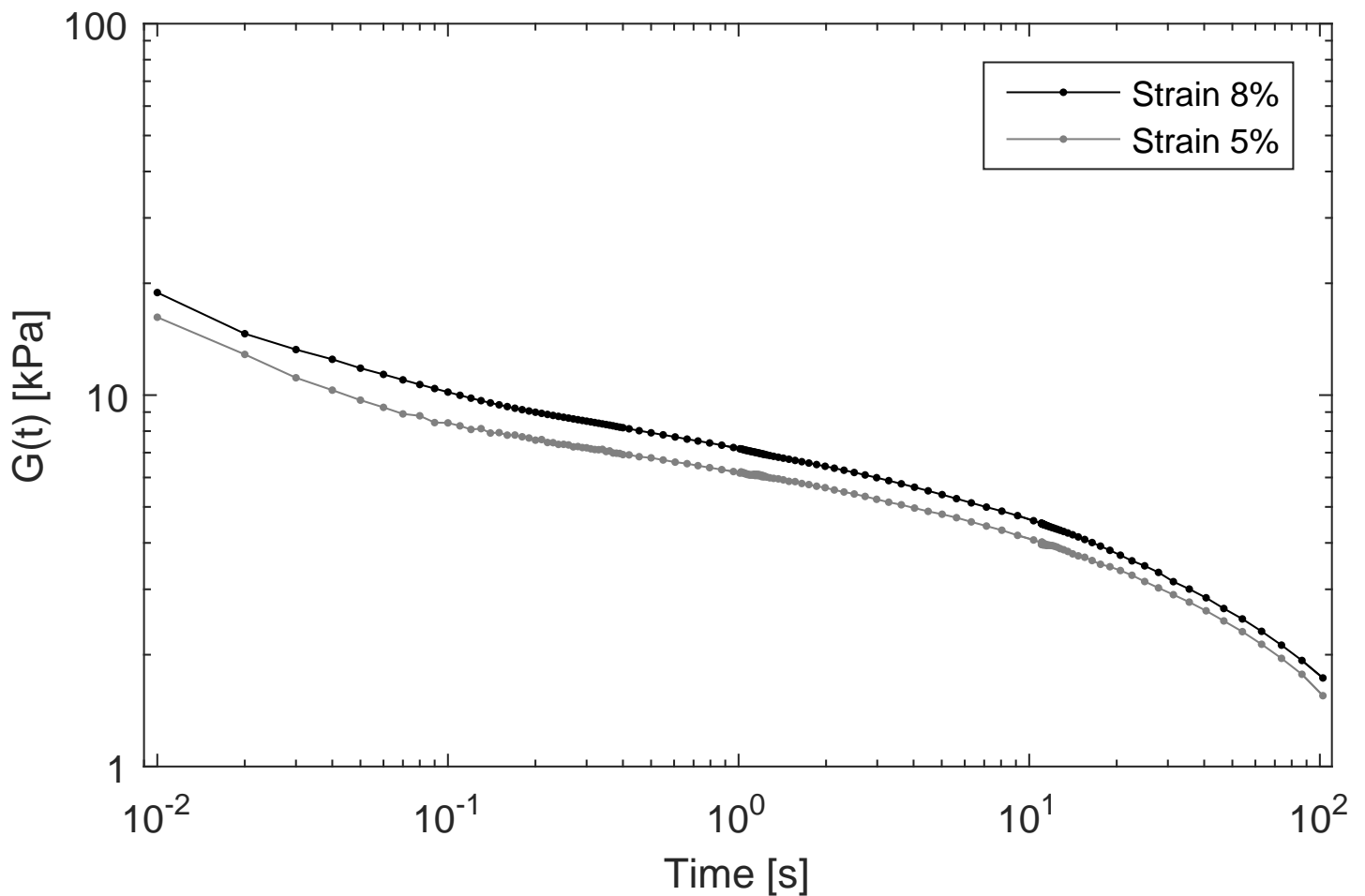
Side View



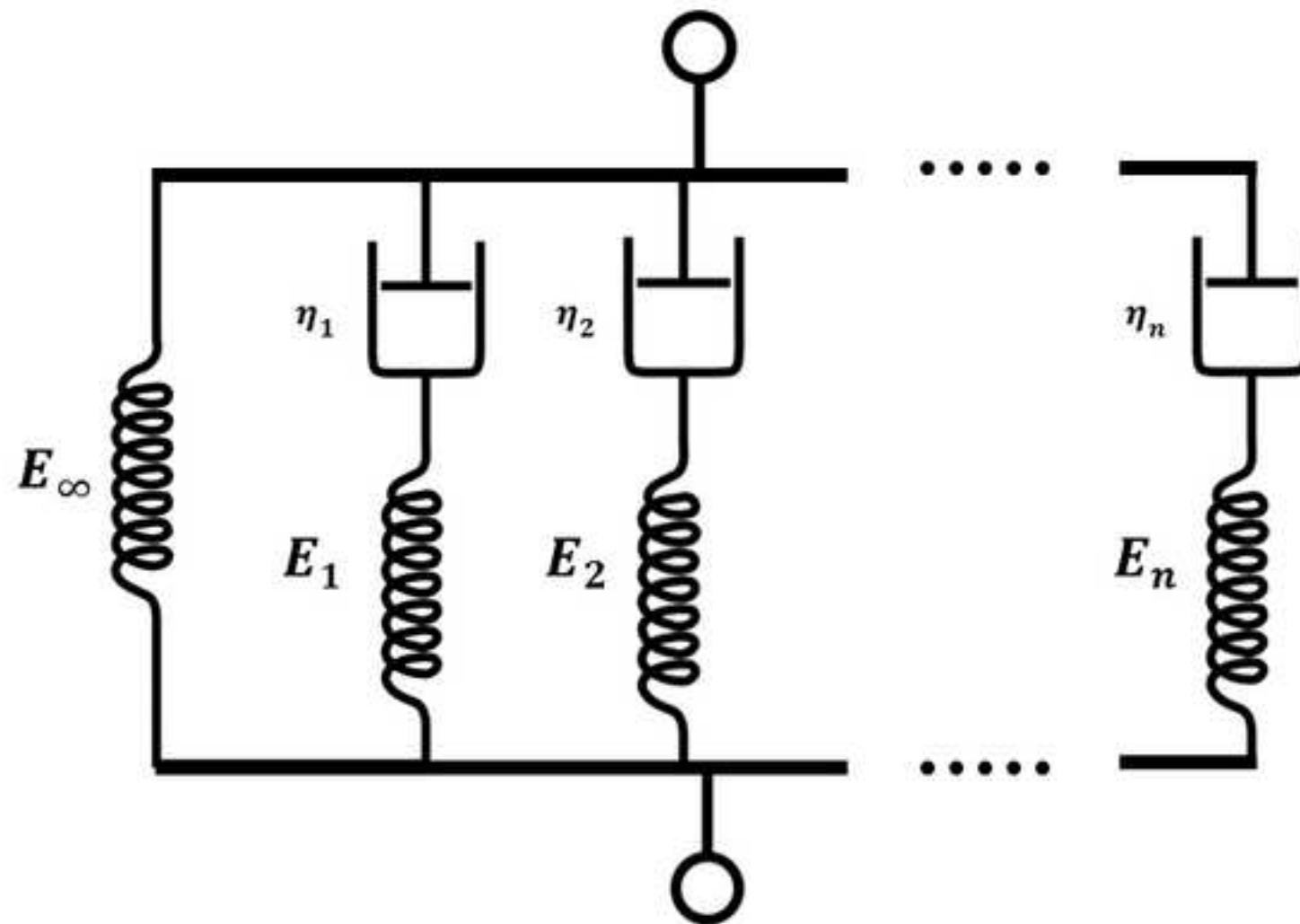
Front View

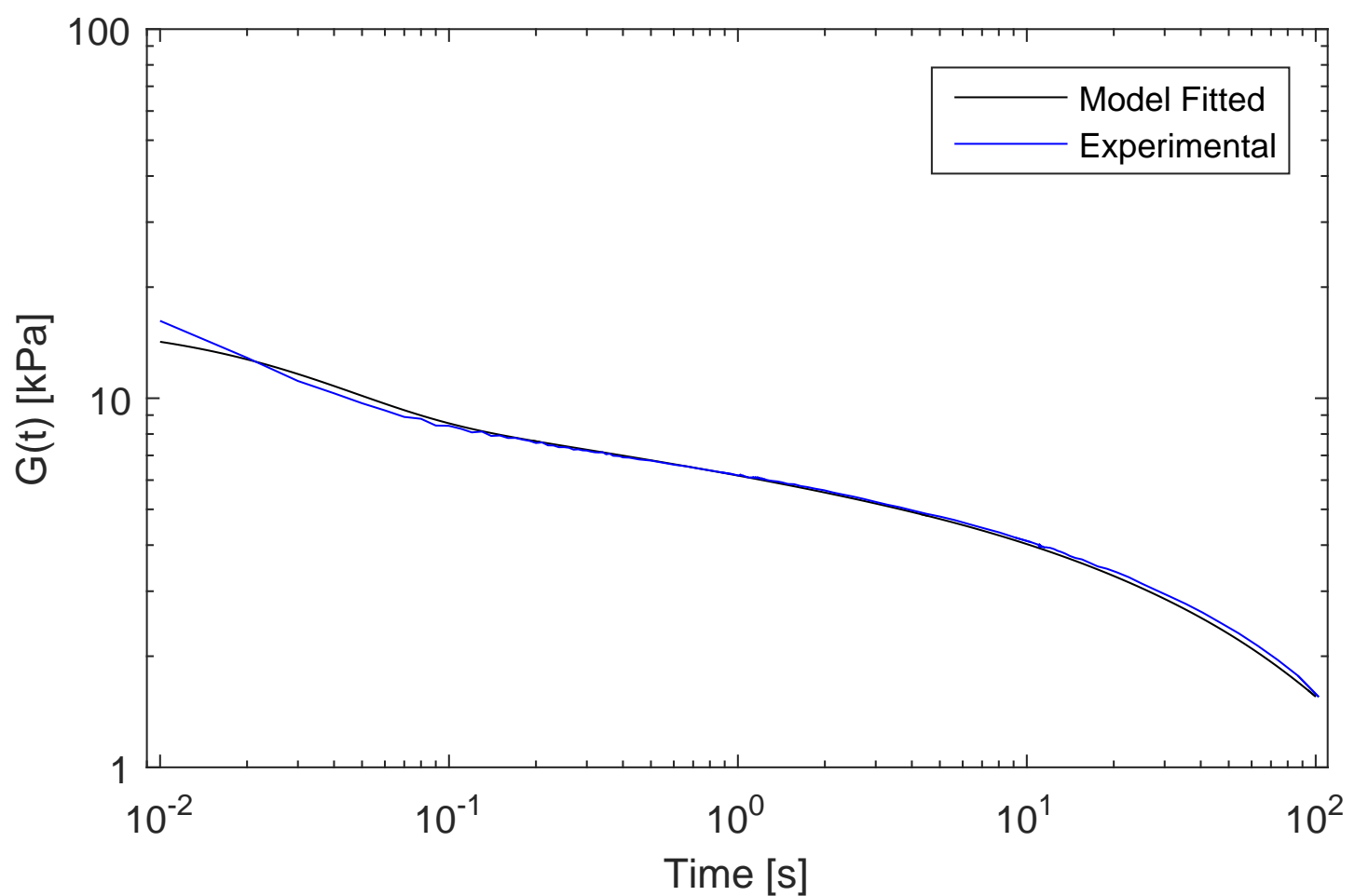












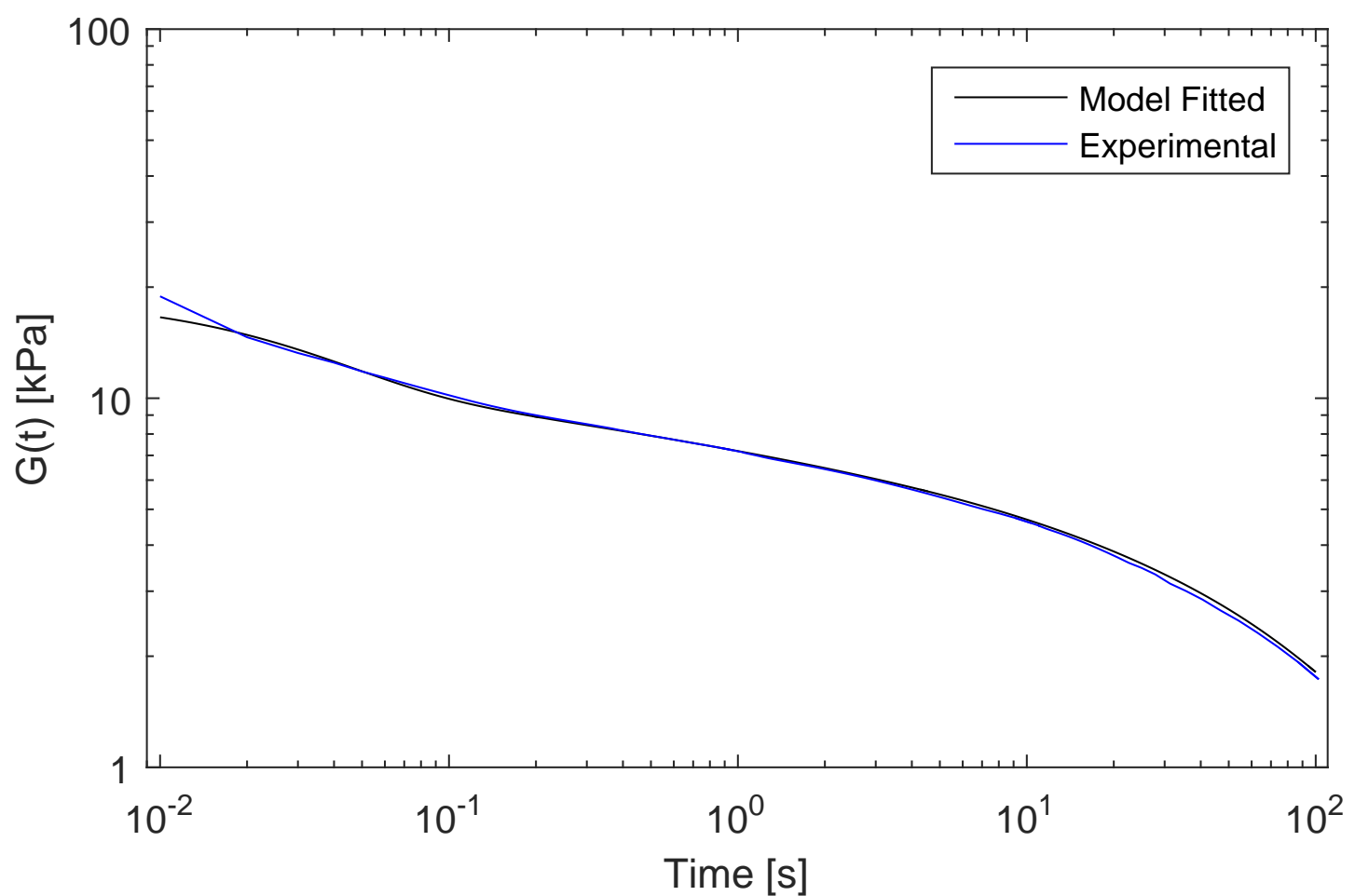


Table1

$\tau_i$	$G_i$
3.17e-02	4.14e-01
1.00e-01	7.90e-02
3.19e-01	6.26e-02
1.01e+00	6.36e-02
3.21e+00	5.68e-02
1.01e+01	7.36e-02
3.22e+01	6.65e-02
1.02e+02	1.44e-01

$\tau_i$	$G_i$
3.171801782714793e-02	4.146791885739055e-01
1.006032675003927e-01	7.901525169602446e-02
3.190936295865514e-01	6.262266247153189e-02
1.012101763417593e+00	6.369962544203969e-02
3.210186241700431e+00	5.687840666168365e-02
1.018207464791342e+01	7.366328040806444e-02
3.229552316589736e+01	6.652140489569733e-02
1.024350000000000e+02	1.443664636944322e-01

1  
2 **Viscoelastic properties of the central region of porcine temporomandibular joint**  
3 **disc in shear stress-relaxation.**

4  
5 Eva Barrientos<sup>a\*</sup>, Fernandez Pelayo<sup>a</sup>, Eiji Tanaka<sup>b</sup>, María Jesús Lamela-Rey<sup>a</sup>, Alfonso  
6 Fernández-Canteli<sup>a</sup>

7  
8 <sup>a</sup> Department of Construction and Manufacturing Engineering, University of Oviedo,  
9 Gijón, Spain

10 <sup>b</sup> Department of Orthodontics and Dentofacial Orthopedics, Institute of Biomedical  
11 Sciences, Tokushima University Graduate School, Tokushima, Japan

12  
13 **\*Corresponding Author:**

14 Eva Barrientos  
15 Department of Construction and Manufacturing Engineering  
16 University of Oviedo, Gijón, Spain.

17 E-mail: [uo194227@uniovi.es](mailto:uo194227@uniovi.es)

18

1 **Abstract**

2 In this study, shear relaxation properties of the porcine temporomandibular joint (TMJ)  
3 disc are investigated. Previous studies have shown that, in fatigue failure and damage  
4 of cartilage and fibrocartilage, shear loads could be one of the biggest contributors to  
5 the failure. The aim of the present study is to develop an evaluation method to study  
6 shear properties of the disc and to do a mathematical characterization of it. For the  
7 experiments, twelve porcine discs were used. Each disc was dissected from the TMJ  
8 and, then, static strain control tests were carried out to obtain the shear relaxation  
9 modulus for the central region of the discs. From the results, it was found that the disc  
10 presents a viscoelastic behavior under shear loads. Relaxation modulus decreased  
11 with time. Shear relaxation was 10% of the instantaneous stress, which implies that  
12 the viscous properties of the disc cannot be neglected. The present results lead to a  
13 better understanding of the discs mechanical behavior under realistic TMJ working  
14 conditions.

15

16 **Keywords:** Temporomandibular Joint; Soft Tissues; Viscoelasticity; Biomechanical  
17 Characterization; Experimental Techniques; Shear.

18

## 1. Introduction

Synovial joints allow various degrees of relative motion among the bones to be regulated by muscles attached to the latter (Widegren et al., 2000). Daily activity accompanies joint motion resulting in joint loads. The temporomandibular joint (TMJ), a diarthrodial synovial joint, enables large relative movements between the temporal bone and the mandibular condyle (Rees, 1954; Scapino et al., 2006). Within the joint, both the articular surfaces of the condyle and temporal bone are covered by a thin fibro-cartilaginous layer showing a very low coefficient of friction (Tanaka et al., 2004b). A dense fibrocartilaginous articular disc is located between the bones in each TMJ. The disc provides a largely passive movable articular surface accommodating the traslatory movement made by the condyle (Koolstra and Tanaka, 2009).

The TMJ disc has an important load-bearing, stress absorbing and joint stabilizing function (Barrientos et al., 2016; Fernández et al., 2013; Tanaka et al., 2008; Tanaka and Eijden, 2003). The disc is subject to various types of loading, such as sustained loading during clenching and intermittent loading during mastication (Hattori-Hara et al., 2014; Hirose et al., 2006; Tanaka et al., 2007). Stresses are divided into compression, tension and shear components. During every type of loading the disc undergoes a deformation while internal forces arise within the tissue. The viscoelasticity of such a material, as that of the disc, is the principal factor of energy dissipation (Fung, 1969). These types of tissues show different mechanism of energy dissipation that are result of the different phases in their structure: interstitial fluid flow within and through the matrix and relaxation of the solid matrix (collagen fibers and proteoglycans). Without strain energy dissipation, storage of the exceeding strain energy can lead to breakage of the articular disc and other components of the TMJ (Tanaka et al., 1999).



1 Since shear stress can result in fatigue, damage and deformation of cartilage,  
2 investigation of shear properties in synovial joints is of particular interest (Spirt et al.,  
3 2005; Zhu et al., 1993, 1994). Gallo et al. (2000) suggest that, during mastication,  
4 fatigue failure of the TMJ disc could result from shear stresses caused by medio-lateral  
5 translation of stress location. Therefore, data on the shear modulus might contribute to  
6 a better understanding of secondary tissue damage, such as perforation or thinning of  
7 the disc due to long-term exposure to severe loadings. It has been reported that the  
8 shear stress in cartilage is very sensitive to the frequency and direction of the loading  
9 and to the amount of compressive strain (Mow et al., 1992). However, in the literature  
10 few studies are available in which the viscoelastic properties of the TMJ disc are  
11 measured in shear stress-relaxation.

12 This paper may provide better insight about the possible mechanism leading to tissue  
13 fatigue and failure due to shear. Therefore, in this study the viscoelastic properties of  
14 porcine TMJ disc are investigated under shear stress relaxation, aiming at advancing  
15 in the design of biomimetic disc substitutes and in the understanding of the pathological  
16 conditions of the TMJ disc.

17

## 18 **2. Materials and Methods**

19 In this study, twelve healthy-looking TMJ discs from 6 pigs (age: approx. 6–7 months,  
20 gender not specified) were obtained at a local slaughterhouse (Noreña, Asturias,  
21 Spain). The protocol of the experiment was approved by the Animal Care and Use  
22 Committee at the University of Oviedo, Spain. The discs were carefully dissected  
23 immediately after the sacrifice, introduced in hermetic containers immersed in a  
24 physiologic saline solution (NaCl 0.09 g/100 ml), and frozen at -25 °C for 3 days until  
25 the experiment was initiated for testing (Allen and Athanasiou, 2005; Calvo-Gallego et

1 al., 2017). The discs were completely unfrozen in a refrigerator at 3-4 °C and, then,  
2 allow to reach room temperature (20 °C) before testing. Using a cylindrical 4.0 mm  
3 diameter tissue punch, two experimental specimens were dissected from the central  
4 region of each disc (see Figure 1).

5 Although previous studies have shown region-dependent mechanical properties  
6 (Fernández et al., 2013), this study is only focused on the central region, mainly due  
7 to the complexity of extracting two specimens with the necessary dimensions of the  
8 rest of regions.

9 All the specimens were tested in a DMA Instrument (RSA3, T.A. Instruments, USA) in  
10 unconfined shear using a shear tool (see Figure 2) at room temperature (20 °C). The  
11 loading was applied in the antero-posterior direction, since mechanical properties of  
12 the disc, due to fiber distribution, will also be direction-dependent.

13 As mentioned before, two specimens of each disc were cut. In Figure 2, it can be seen  
14 that the shear-tool has a sandwich configuration and samples need to be placed at  
15 both sides of the tool. In order to test shear in antero-posterior direction, the fibers of  
16 the specimens need to be aligned with the movement of the tool (vertical direction),  
17 according to Figure 3.

18 To avoid the specimens' slippage during shear loading, 600 grit sandpaper was glued  
19 to the surfaces of the shear tool. Additionally, the selected inner part of the shear tool  
20 would allow testing 2 mm thick specimens. Taking into account the average thickness  
21 value for the discs,  $1.84 \pm 0.11$  mm, and the real gap for testing, 1.750 mm (subtracting  
22 the sandpaper sheet thickness), an average initial value of 5% pre-strain in the  
23 compression direction was applied before testing. After previous step, a 3-min  
24 preconditioning test was performed with 1% sinusoidal strain before the subsequent  
25 shear stress relaxation test. The shear strain was applied to the specimens moving the

1 lower part of the tool in the axial direction of the machine (vertical direction in Figure 2  
2 and 3). Shear strain levels of the TMJ disc produced under ordinary mandibular  
3 movement have not been reported. Previous studies do not show consensus for shear  
4 strain (Lai et al., 1998; Tanaka et al., 2004a). Due to the limitations of testing the  
5 specimens under shear conditions, i.e. very low loads for strain values lower than 5%  
6 or problems of slippage for strain values larger than 10%, tests were carried out at  
7 strain levels of 5% and 8% in order to obtain the corresponding relaxation modulus.  
8 The specific level of shear strain was produced under an instantaneous strain step and  
9 kept constant during 120 seconds for each stress relaxation test keeping the same test  
10 procedure used in previous studies (Barrientos et al., 2016).  
11 To apply and maintain the initial value of strain during the relaxation test, the DMTA  
12 machine is equipped with a motor driven by an air bearing system, which applies the  
13 corresponding displacement at a very high rate once the strain is commanded before  
14 testing (T.A.Instruments, 2001). Loads were measured simultaneously under the  
15 specified constant strain.

16

### 17 **3. Results**

#### 18 **3.1 Viscoelastic properties of porcine TMJ disc in shear stress relaxation**

19 From the experimental tests, the mean and standard deviation of the shear modulus  
20 of the TMJ disc at convenient times were calculated. The resulting curves for the 5 and  
21 8 % strain levels are presented in Figure 4 (left and right plots, respectively).

22 For comparison proposals both averaged curves are plotted in Figure 5. From Figure  
23 5, a higher shear modulus is observed for the 8 % strain level. From the results (Figure  
24 5), a dependence of the relaxation modulus,  $G(t)$ , with applied strain can be observed,  
25 which is in agreement with the TMJ disc behaviour previously observed (Lamela et al.,

1 2011).

2 The shear modulus obtained for both strain levels (see Figure 5) presents a large  
3 relaxation ratio. For 1 s, the shear modulus decreases about 70% while a 90 %  
4 reduction is observed for 100 s.

5

### 6 **3.2 TMJ shear relaxation model**

7 Due to its simplicity, even though other models could be used, generalized Maxwell  
8 model was used to fit the experimental data to the viscoelastic model represented in  
9 Figure 6, as a combination of spring and dashpot elements (Tschoegl, 2012), which  
10 can be modelled using the Prony's series model given by the equation:

$$G(t) = G_0 \left[ 1 - \sum_{i=1}^{n_t} g_i \left( 1 - \exp\left(-\frac{t}{\tau_i}\right) \right) \right] \quad (1)$$

11 where  $g_i$  and  $\tau_i$  are the Prony parameters and  $G_0$  is the instantaneous shear  
12 modulus.

13 To simplify the material model, as well as to take into account the dependence of the  
14  $G(t)$  with the applied strain, a unique set of Prony parameters was used to fit both  
15 shear modulus curves. This procedure profits from the fact that a simple vertical shift  
16 is observed between both material curves (see Figure 5) which could be interpreted as  
17 a proportional shift of  $G(t)$  with the strain.

18 Two steps were used for fitting the material model. Firstly, the shear curves for the  
19 TMJ are averaged and, next, the generalized Maxwell model was applied to fit the  
20 averaged curve by means of the Prony series equation (1).

21 To fit adequately the experimental data, 8 Prony terms were necessary being the R-  
22 square 0.994. The parameters of the Prony series presented in Table 1 define the  
23 normalized viscoelastic curve for the material, as a function of the instantaneous

1 modulus of the material,  $G_0$ . In this way, the curves for the 5% and the 8% strains are  
 2 gained from the fitted model, simply, by multiplying in each case equation (1), by the  
 3 corresponding instantaneous modulus. Accordingly,  $G_0^{5\%} = 1.6205e + 04$  kPa and  
 4  $G_0^{8\%} = 1.8883e + 04$  kPa, for the 5 % and the 8 % shear modulus curves, respectively.  
 5 The Prony series parameters with higher precision are included in the appendix.

6 Table 1. Prony series parameters ( $R^2=0.994$ ) for the normalized TMJ shear modulus  
 7 curve.

$\tau_i$	$G_i$
3.17e-02	4.14e-01
1.00e-01	7.90e-02
3.19e-01	6.26e-02
1.01e+00	6.36e-02
3.21e+00	5.68e-02
1.01e+01	7.36e-02
3.22e+01	6.65e-02
1.02e+02	1.44e-01

8 The experimental and the analytical curves (using equation (1)) are presented in Figure  
 9 7. The maximum error between the experimental results and the proposed model are  
 10 less than a 2% for both curves.

11

#### 12 **4. Discussion**

13 Fatigue failure and damage of joint tissues, including both disc and cartilage, may be  
 14 more linked to repeated and prolonged extension and shear motions than to the joint  
 15 compression applied (Iatridis and ap Gwynn, 2004; Tanaka et al., 2003). Even when  
 16 the disc slides along smooth temporal cartilage during jaw movements, shear loading

1 of the disc and cartilage has been considered to be negligible due to almost zero  
2 friction. However, several authors support the evidence that the disc and cartilage are  
3 subjected to shear stress. For example, after prolonged clenching and grinding, only  
4 solid contact may exist between the disc and cartilages, without boundary lubrication  
5 between them, resulting in considerable shear stress (Forster and Fisher, 1999, 1996;  
6 Tanaka et al., 2001). Few studies of the behaviour of the TMJ disc under dynamic  
7 shear loads were performed in the past (Juran et al., 2013; Koolstra et al., 2007;  
8 Tanaka et al., 2004a, 2003) to evaluate the mechanical properties of the disc at  
9 different strain rates and frequencies. The present study is, as far as we know, the first,  
10 in which the shear relaxation properties of the TMJ disc in shear stress relaxation were  
11 examined. Wu et al. (2015) investigated the intrinsic viscoelastic shear properties in  
12 porcine TMJ disc, but in contrast to the present study, they applied a rotational shear  
13 loading. The present design might reproduce the actual environment in the TMJ disc.  
14 Previous studies have shown that due to morphology, function and diet, pig discs are  
15 the closest to human discs making them an appropriate model for TMJ studies  
16 (Bermejo et al., 1993; Kalpakci et al., 2011). In this study, relaxation viscoelastic  
17 behaviour of cut porcine specimens is evaluated in antero-posterior direction at 5 and  
18 8% shear strain levels. As a result, the instantaneous shear moduli were increased  
19 with increasing applied strain. This evidences a dependence with strain of the  
20 behaviour of the disc which is in good agreement with the general mechanical  
21 behaviour observed previously in the TMJ disc (Lamela et al., 2011; Tanaka and Eijden,  
22 2003). The possible explanation for this increment is the stretching of collagen fibers in  
23 antero-posterior direction (Barrientos et al., 2016; Lamela et al., 2011; Tanaka et al.,  
24 2003). Furthermore, present results show that the relaxed stress of the porcine TMJ  
25 disc was approximately 10% of the instantaneous stress irrespective of shear strain

1 amplitude. This indicates that energy-dissipation function takes place in the TMJ disc.  
2 Without the energy dissipation capacity of the disc, TMJ components including bony  
3 components and soft tissue probably fail resulting in the tissue rupture. Thus far, it is  
4 concluded that the TMJ disc plays an important role as a stress bumper during complex  
5 mandibular movements.

6 When comparing the compression relaxation tests (Barrientos et al., 2016; Lamela et  
7 al., 2011) with the shear relaxation tests, the present results clearly show that  
8 compression relaxation modulus is 10 times higher than shear relaxation modulus.  
9 Adam et al. (2015) investigated an image-based modelling study on the bovine caudal  
10 disc, and concluded that shear resistance between lamellae confers disc mechanical  
11 resistance to compression. This points out the relationship between shear and  
12 compressive properties of the TMJ disc. Moreover, the present results reveal that the  
13 porcine TMJ discs exhibited shorter relaxation times under shear stress relaxation than  
14 under compressive stress relaxation. This may be due to the difference of an outflow  
15 of interstitial fluid caused by pressurization of the compressed area. During shear  
16 stress relaxation, the fluid within the disc is likely to move along the stretching collagen  
17 fibers; however, during compressive stress relaxation, the disc maintains a fluid  
18 pressure because of sustained interstitial fluids within the disc. Since the load bearing  
19 functions of cartilaginous tissues are mainly provided by the viscoelastic property of  
20 collagen fiber network and the osmotic pressure due to the presence of proteoglycans  
21 (Hardingham and Fosang, 1992), the large proteoglycans and the related chondroitin  
22 sulfate might be more important to counteract compression and shear, while the  
23 collagen fibers are more important to counteract tension (Tanaka and Eijden, 2003).  
24 Mow et al., (1980) reported about the biphasic theory, this theory is suitable for better  
25 understanding of the mechanisms involved in energy dissipation. Due to the highly

1 heterogeneous structure of the TMJ disc, the viscoelastic approach used in this study  
2 gives a global understanding of the mechanical properties of the disc rather than the  
3 material constitutive law.

4 In literature, authors have used different models to characterize the viscoelastic  
5 properties of the TMJ disc (Allen and Athanasiou, 2006; Tanaka and Eijden, 2003). For  
6 large displacements, other models could be more appropriate (Fung, 1969). In this  
7 study, a generalized Maxwell model, based on Prony's series, was applied to  
8 characterize the shear relaxation modulus of the material. Although the TMJ disc  
9 presents a strain-dependent behavior, almost the same relaxation rate is observed for  
10 the strain levels applied in the experiments (see Figure 5). This fact allows a unique  
11 viscoelastic model to be fitted where the instantaneous modulus,  $G_0$ , at the  
12 corresponding strain level must be used. The results obtained with the proposed Prony  
13 series model can be considered adequate for the shear relaxation modulus of the TMJ  
14 disc showing errors under 2%.

15 To be consistent with previous studies and allowed comparison (Barrientos et al., 2016;  
16 Fernández et al., 2013), some testing conditions, such relaxation time and temperature,  
17 and model parameters were chosen. Temperature affects mechanical results as higher  
18 temperatures reduce stiffness and strength of the discs (Detamore and Athanasiou,  
19 2003).

20 In conclusion, the relaxation properties of the porcine disc were determined under  
21 shear in this study. A new methodology to test the disc under relaxation shear  
22 conditions was proposed. The study shows that the viscoelastic properties of the disc  
23 under shear loads cannot be neglected. Shear properties of the disc in antero-posterior  
24 direction were characterized using a unique Maxwell model. Nevertheless, this study  
25 is a first step in the shear characterization of the TMJ discs and further studies are



1 needed to conclude on the shear behavior of the disc in medio-lateral direction, cyclic  
2 loads, pre-compression and region dependencies.

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1      **7. Appendix A**

2      Table 1. Prony Series coefficients for the TMJ Shear modulus with higher precision

$\tau_i$	$G_i$
3.171801782714793e-02	4.146791885739055e-01
1.006032675003927e-01	7.901525169602446e-02
3.190936295865514e-01	6.262266247153189e-02
1.012101763417593e+00	6.369962544203969e-02
3.210186241700431e+00	5.687840666168365e-02
1.018207464791342e+01	7.366328040806444e-02
3.229552316589736e+01	6.652140489569733e-02
1.024350000000000e+02	1.443664636944322e-01

3