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2 **miR-144-3p/miR-451a promotes lymphovascular invasion through repression of**
3 **PTEN/p19 in rectal neuroendocrine tumors**
4 **running head; miR-144-3p/miR-451a promotes LVI in rectal neuroendocrine tumors**

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1 **Abstract**

2
3 **Background and Aim:** Although rectal neuroendocrine tumor (NET-G1) have potential
4 metastatic capability, even among small tumors, no predictive biomarker for invasion and
5 metastasis has been reported. We analyzed microRNA (miRNA) expression profiles in rectal
6 NET-G1 tissues with and without lymphovascular invasion (LVI). Moreover, we then
7 investigated their target genes to clarify the mechanism of invasion/metastasis in NET-G1.

8 **Methods:** miRNA array analysis was performed using 7 rectal NET-G1 tissues with LVI and
9 7 without LVI. miRNA expression was confirmed by quantitative real-time PCR. A NET cell
10 line H727 was transfected with miRNA mimic or target gene small interfering RNA, and
11 migration and invasion assays were performed.

12 **Results:** The expression levels of miR-144-3p and miR-451a were significantly higher in
13 NET-G1 with LVI versus without LVI, as determined by miRNA array analysis and RT-qPCR.
14 A significant correlation was observed between miR-144-3p and miR-451a expression levels,
15 strongly suggesting miR144/451 cluster overexpression in NET-G1 with LVI. Bioinformatic
16 analysis of target genes revealed that miR-144-3p and miR-451a directly interact with PTEN
17 and p19 mRNA, respectively. Immunohistochemistry revealed significantly lower expression
18 of PTEN and p19 in NET-G1 tissues with LVI than in those without LVI. The miR-144-3p and
19 miR-451a mimic significantly increased cell migration/invasion capability, respectively.
20 Knockdown of PTEN and p19 induced significant augmentation of cell invasion and migration
21 capability, respectively.

22 **Conclusions:** Our data suggest that overexpression of miR-144/miR-451 cluster promotes
23 LVI via repression of PTEN and p19 in rectal NET-G1 cells. miR-144/451 cluster may be a
24 novel biomarker for predicting invasion/metastasis in rectal NET-G1.

25 **Keywords:** rectal NET-G1, lymphovascular invasion, miR-144-3p/451a, PTEN, p19

1 **Introduction**

2 Although rectal neuroendocrine tumors (NET)-G1, previously referred to as carcinoid tumors,
3 are relatively rare among patients with digestive tract diseases, they account for 9% to 55%
4 of gastrointestinal NET¹⁻³. Recently, rectal NET-G1 has often been found during colonoscopy,
5 probably due to the increased use of screening colonoscopy and advancement of imaging
6 technologies⁴. Previous studies reported that the metastatic potential of rectal NET-G1 is
7 dependent on the tumor size and depth of invasion^{5, 6} and, therefore, a therapeutic strategy
8 for rectal NET-G1 has been devised; i.e., a small rectal NET-G1 less than 10 mm in size with
9 limited invasion to the submucosal layer is conventionally treated by local resection, including
10 endoscopic resection, because the metastatic capability of such a lesion is very low^{2, 7}.
11 However, it has been reported that a small rectal NET-G1 less than 10 mm in size also has
12 potentially metastatic capability^{8, 9}. Therefore, it is difficult to diagnose metastasis based on
13 the size and invasion depth of tumors. As a result, it is necessary to clarify the mechanism of
14 metastasis in rectal NET-G1 and to find a new biomarker for metastasis.

15 MicroRNAs (miRNAs) are a class of small noncoding RNAs that widely regulate gene
16 expression and play an important role in various biological processes^{10, 11}. Several studies
17 have reported that miRNAs are closely associated with invasion and metastasis in various
18 cancers¹²⁻¹⁴. Only 2 studies have investigated miRNA profiles in colorectal NET tissues.
19 Wang and colleagues performed miRNA microarray analysis on NET-G1 and
20 neuroendocrine carcinoma (NEC) tissues, and compared the miRNA profiles with those of
21 non-neoplastic disease and normal mucosa^{15, 16}. However, they did not investigate the
22 relevance of miRNA in invasion and metastasis of NETs. Mitsuhashi and associates reported
23 that positive status for miR885-5p and CpG island methylator phenotype (CIMP) may be
24 useful as biomarkers for predicting biological malignancy in small rectal NETs¹⁷. However,
25 they did not examine target genes of miR885-5p and, therefore, the underlying mechanism

1 by which miRNA885-5p induces malignant potential is unknown. In the present study, we first
2 compared miRNA expression profiles in rectal NET-G1 with and without lymphovascular
3 invasion (LVI) to identify putative miRNAs involved in LVI and metastasis of rectal NET-G1.
4 We then searched their target genes and investigated the underlying mechanism by which
5 miRNA causes invasion and metastasis of NET cells.

6 7 **Methods**

8 **Patients and tissue samples**

9 This study was approved by the ethics committee of Tokushima University Hospital
10 (Tokushima, Japan). We collected formalin-fixed paraffin-embedded (FFPE) tissues from 33
11 cases of rectal NET-G1 removed by endoscopic resection from January 2012 through March
12 2017. Written informed consent was obtained from all patients. The histological diagnosis of
13 rectal NET-G1 was made independently by 2 pathologists (TF and KT) according to World
14 Health Organization (WHO) 2019 criteria¹⁸. Among 33 cases of rectal NET-G1, we selected
15 7 patients who had rectal NET-G1 tumors with LVI and 7 patients who had rectal NET-G1
16 tumors without LVI for miRNA array analysis. Patient baseline characteristics are shown in
17 Table 1. Chromogranin A and synaptophysin staining were performed to detect NET tumor
18 cells. Tumor cell proliferation activity was evaluated using the Ki-67 labeling index. D2-40
19 and Victoria blue staining were performed to evaluate LVI.

20 21 **Cell line**

22 Since no primary human gastrointestinal NET-G1 cell line is commercially available, a
23 human bronchial NET-G1 cell line (H727) obtained from the American Type Culture
24 Collection (Manassas, VA) was used. Cells were cultured in RPMI1640 medium containing
25 10% fetal bovine serum.

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MiRNA microarray

Total RNA was extracted using a miRNeasy FFPE Kit (Qiagen, Hilden, Germany) after macrodissection of 16- μ m thick rectal NET-G1 tissue slices. A miRNA microarray was performed using SurePrint human miRNA Microarrays rel.21 (Agilent Technologies, Palo Alto, CA), as previously described¹⁹. Raw intensity miRNA data were analyzed by GeneSpring GX12 (Agilent Technologies). The candidate miRNAs were selected under the following conditions: miRNAs with fluorescence intensity of 15 or more, a fold change of 2 or more, and a p-value of less than 0.05 for 4 or more of the 7 specimens.

Quantitative realtime-PCR (qRT-PCR)

Levels of mature miRNA and mRNA were measured employing TaqMan qRT-PCR. The primers and probes used are described in Supplementary Table 1. The relative expression level of each gene was expressed according to the $2^{-\Delta\Delta}$ cycle threshold (Ct) method.

Target gene and pathway analyses

Ingenuity Pathway Analysis (IPA) (<http://www.ingenuity.com>), miRDB (<http://www.mirdb.org>), and TargetScan (<http://www.targetscan.org/>) were used to predict the target genes of miRNAs. Functional pathways related to the differentially expressed genes were assessed by IPA.

Overexpression and knockdown experiments

H727 cells were transfected with miR-144-3p mimic or miR-451a mimic (mirVana miRNA mimic[®], Life Technologies, Karlsruhe, Germany) to overexpress each miRNA using Lipofectamine RNAiMAX (Invitrogen, Carlsbad, CA), as described previously¹⁹. Similarly,

1 H727 cells were transfected with small interfering RNA (siRNA) targeting PTEN (Cell
2 Signaling Technology, Inc.) or CDKN2D/p19 gene (Silencer Select s2851, Ambion, Austin,
3 TX) to knockdown each gene, as described previously¹⁹.

4
5 Details of cell invasion assay, wound healing assay, immunohistochemistry and statistics
6 are provided in Supplementary information.

7 8 **Results**

9 **Comparison of miRNA expression profile between rectal NET-G1 with and without LVI**

10 To explore miRNAs that are closely associated with LVI, we first performed miRNA
11 microarray analysis and compared the miRNA expression profiles between 7 rectal NET-G1
12 tissues with LVI and 7 tissues without LVI. Baseline characteristics of the patients with NET-
13 G1 with and without LVI are summarized in Table 1. No significant differences in gender, age,
14 and tumor size were observed between the 2 groups. The miRNAs in the LVI (+) group that
15 were significantly higher or lower than those in the LVI (-) group, are listed in Supplementary
16 Table 2. Of these 8 miRNAs, we selected the 5 miRNAs with the highest fold change; i.e.,
17 miR-144-3p, miR-451a, miR-486, miR-551b-3p, and miR-10b-5p. The average fold changes
18 of these miRNAs were 52.13, 10.56, 3.99, 2.82, and 2.29 respectively.

19 20 **Validation of miRNA expression by qRT-PCR**

21 To validate the differential expression of the 5 miRNAs in rectal NET-G1 tissues, we
22 performed qRT-PCR using an additional 5 rectal NET-G1 tissues with LVI and 5 NET-G1
23 tissues without LVI. The levels of miR-144-3p and miR-451a in rectal NET-G1 tissues with
24 LVI were significantly higher than in those without LVI (respectively $p < 0.05$; Fig.1a,b). The
25 levels of miR-486 and miR-10b in NET-G1 with LVI (+) were higher than in those with LVI (-),

1 but did not reach a statistically significant difference ($p=0.08$ and $p=0.08$ respectively;
2 Fig.1c,e). In contrast, the levels of miR-551b-3p in NET-G1 with LVI (+) was similar to those
3 in LVI (-) ($p=0.40$; Fig. 1d). Therefore, we selected miR-144-3p and miR-451a as putative
4 miRNAs involved in the invasion/metastasis of rectal NET-G1 in the following experiments.

5 Since miR-144-3p and miR-451 are reported to be up-regulated as a miR144/451 cluster
6 in insulinoma²⁰, we examined the correlation of expression levels between miR-144-3p and
7 miR-451a. A significant correlation was observed between miR-144-3p and miR-451a levels
8 (Fig.1f; $p<0.01$), indicating their up-regulation as a miR144/451 cluster gene in NET-G1 cells.

9 In addition, although miR-144-5p, miR-4732-3p, and miR-4732-5p were reportedly related
10 to the miR144/451 cluster²¹, there was no significant increase in their expression levels of
11 the microarray data (Supplementary Table 3).

12 13 **Putative target genes of miR-144-3p and miR-451a**

14 To search for putative target genes of miR-144-3p and miR-451a, we first performed
15 Ingenuity Pathway Analysis (IPA) using the keywords “MIR144” or “MIR451”, and found the
16 respective candidate target genes; CD34, CELF2, PTEN, and VCAN for miR-144-3p and p19
17 (CDKN2D) and CELF2 for miR-451a. The IPA networks, which associated with “Invasion of
18 cells” and “Cell cycle progression” for these genes, are shown in Supplementary Figure 1.
19 PTEN and CELF2 were identified by miRDB and TargetScan analysis as genes that are
20 directly bound to miR-144-3p. However, the score of PTEN was much higher than that of
21 CELF2, suggesting PTEN as a much better target for miR-144-3p. In fact, the 2917 to 2923
22 sequence in the 3'- untranslated region (UTR) of the PTEN gene completely matched the
23 ACAGUAU sequence of miR-144-3p (Fig.2). On the other hand, only p19 was identified as a
24 gene which directly binds to miR-451a. The 240 to 246 sequence in the 3'-UTR of p19

1 completely matched the AACCGUU sequence of miR-451a. Thus, these genes were
2 postulated to be the most likely candidate targets of the miRNAs.

4 **Decreased expression of PTEN and p19 in rectal NET-G1 with LVI**

5 To examine the protein expression of the 2 target genes, we performed
6 immunohistochemical staining for PTEN and p19 using 5 rectal NET-G1 with LVI and 5
7 rectal NET-G1 without LVI. Representative staining patterns are shown in Figure 3. PTEN
8 was strongly stained in the nucleus as well as the cytosol of NET-G1 cells without LVI, but
9 showed almost no staining in NET-G1 cells with LVI (Fig.3a). Quantitative analysis of
10 staining for PTEN, showed that the immunohistochemical score in the LVI(+) group was
11 significantly lower than in the LVI(-) group (1.1 ± 0.9 vs 8.5 ± 2.0 ; $p < 0.01$; Fig.3b). Similarly,
12 p19 showed obvious staining in the cytoplasm of NET-G1 cells without LVI, but was very
13 faintly stained in NET-G1 cells with LVI (Fig.3c). Quantitative analysis of staining for p19
14 showed that the score in LVI(+) group was significantly lower than in the LVI(-) group
15 (2.4 ± 0.5 vs 7.2 ± 4.5 , $p < 0.05$; Fig.3d). These results suggest that miR-144-3p and miR-451a
16 inhibited PTEN and p19 expression, respectively, through repression of those mRNAs in
17 rectal NET-G1 with LVI.

19 **Decreased levels of PTEN and p19 mRNAs in an H727 NET cell line transfected with 20 miR-144-3p mimic or miR-451a mimic**

21 To further confirm the interaction of miR-144-3p with PTEN and interaction of miR-451a
22 with p19, respectively, we performed transfection experiments using an H727 NET cell line.
23 Because both miR-144-3p and miR-451a expression levels in H727 cells were very low as
24 revealed by qRT-PCR (Supplementary Table 4), we transfected miR-144-3p or miR-451a
25 mimics into H727 cells and evaluated mRNA expression levels of PTEN and p19 with qRT-

1 PCR. Sufficient expression of miR-144-3p in H727 cells transfected with miR-144-3p mimic
2 was confirmed by qRT-PCR (data not shown). Levels of PTEN mRNA expression in H727
3 cells transfected with miR-144-3p mimic were significantly decreased to 32 ± 6 % of those
4 in control cells ($p < 0.01$; Fig.4a). Similarly, sufficient expression of miR-451a in H727 cells
5 transfected with miR-451a mimic was confirmed by qRT-PCR (data not shown). The levels
6 of p19 mRNA in H727 cells transfected with miR-451a mimic were significantly decreased
7 to 46 ± 7 % of those in control cells ($p < 0.01$; Fig.4b). Thus, we confirmed that miR-144-3p
8 and miR-451a inhibited transcription of their respective target genes in H727 cells.

10 **Effect of miRNA mimics on cell migration and invasion capability**

11 To prove that miR-144-3p and miR-451a are closely associated with the migration/invasion
12 capability of rectal NET-G1, we assessed cell migration/invasion capability using H727 cells
13 transfected with miR-144-3p or miR-451a mimic. Representative images of the wound
14 healing assay are shown in Figure 4c. The migration distance of H727 cells transfected with
15 miR-144-3p or miR-451a mimic was significantly greater than that of control cells ($p < 0.05$
16 respectively). Quantitative analysis revealed that the migration distance of H727 cells
17 transfected with miR-144-3p or miR-451a mimic (0.54 ± 0.13 or 0.85 ± 0.11) was significantly
18 greater than that of control cells (0.27 ± 0.12 , $p < 0.05$ respectively; Fig.4d). In the invasion
19 assay analysis, the fluorescence intensity of invading cells in H727 cells transfected with miR-
20 144-3p ($13.5 \times 10^5 \pm 1.8 \times 10^5$) or miR-451a mimic ($14.9 \times 10^5 \pm 3.1 \times 10^5$) was significantly higher
21 than that in control cells ($10.5 \times 10^5 \pm 0.5 \times 10^5$; $p < 0.05$ respectively; Fig.4e). These results
22 suggest that miR-144-3p and miR-451a enhanced the migration and invasion capability in
23 NET cells.

25 **Knockdown of PTEN and p19 genes enhanced cell migration and invasion capability**

1 We then knocked down the PTEN or p19 gene in H727 cells and investigated the change
2 in cell migration and invasion capability. The relative levels of PTEN and P19 mRNA in
3 H727 cells transfected with siRNA were reduced to $2.9 \pm 1.8\%$ and $10.2 \pm 3.6\%$, respectively,
4 of that in control cells (Fig.5a,b). Representative images of the wound healing assay are
5 shown in Figure 5c. The migration distance in H727 cells transfected with PTEN siRNA or
6 p19 siRNA was significantly greater than that in control cells. Quantitative analysis revealed
7 that the migration distance for H727 cells transfected with PTEN siRNA (0.82 ± 0.10) or p19
8 siRNA (0.82 ± 0.07) was significantly higher than that of control cells (0.61 ± 0.11 ; $p < 0.05$
9 respectively; Fig.5d). In the invasion assay analysis, the fluorescence intensity of invading
10 cells in H727 cells transfected with PTEN siRNA ($13.3 \times 10^5 \pm 0.9 \times 10^5$) or p19 siRNA (14.0×10^5
11 $\pm 1.0 \times 10^5$) was significantly higher than that in control cells ($11.8 \times 10^5 \pm 1.3 \times 10^5$; $p < 0.05$
12 respectively; Fig.5e). These results suggest that miR-144-3p and miR-451a enhanced
13 migration and invasion capability probably through repression of PTEN and p19, respectively,
14 in NET cells.

16 Discussion

17 In this study, we found overexpression of miR-144-3p and miR-451a as a miRNA144/451
18 cluster in rectal NET-G1 tissues with LVI, as demonstrated using miRNA microarray analysis
19 and qRT-PCR. We also revealed that miR-144-3p and miR-451a inhibited mRNA
20 transcription of PTEN and p19, respectively, as target genes. Additionally, we demonstrated
21 that overexpression of miR-144-3p and miR-451a significantly enhanced cell migration/
22 invasion capability in NET cells. Conversely, knockdown of PTEN or p19 gene in NET cells
23 significantly augmented cell migration/invasion capability. Thus, our data suggest that miR-
24 144-3p and miR-451a (miRNA144/451 cluster) caused LVI in rectal NET-G1 cells probably
25 through repression of PTEN and p19, leading to subsequent metastasis and poor prognosis.

1 This is the first report showing that miR-144/451 cluster plays a pivotal role possibly via
2 inhibition of PTEN and p19 in LVI of rectal NET-G1.

3 It has been reported that miR-144-3p is upregulated in several kinds of cancers
4 including thyroid and breast cancers, and is closely associated with malignant progression
5 (i.e., invasion and metastasis) and poor prognosis^{22, 23}. Similarly, miR-451a was reportedly
6 upregulated in several types of cancers including gastric, prostate, and esophageal
7 cancers, in association with a poor prognosis^{24, 25}. Our finding that miR-144-3p and miR-
8 451 were overexpressed in rectal NET-G1 with LVI is consistent with those previous
9 reports. Namely, miR-144-3p and miR-451a serve as oncogenic miRNAs associated with
10 invasion and metastasis, possibly leading to a poor prognosis in rectal NET-G1.

11 It is noteworthy that miR144-3p and miR-451a were up-regulated as an miRNA cluster
12 in NET-G1 cells. miR-144-3p and miR-451a were reported to be located on chromosome
13 17 at 17q11.2, only 100 bp away from each other²⁶. In general, miRNA cluster is reportedly
14 more stable and reliable than individual miRNAs to serve as an oncogenic miRNA or
15 oncosuppressive miRNA^{21, 27}. Recently, it has been reported that miR144 and miR451
16 (miR144/451) are transcribed as one pri-microRNA during erythropoiesis²⁸. It has also
17 been reported that miR144/451 expression is repressed by transcription factor RUNX1,
18 whereas the cluster transcription is positively regulated by transcription factors GATA1 and
19 TAL1 in hematopoietic cells²⁹. Although the mechanism by which miR144/451 expression is
20 regulated in NET cells is currently unknown, it is possible that these transcription factors
21 may affect expression of the cluster in rectal NET cells.

22 There has been only a single study showing that miR-144-3p and miR-451a are up-
23 regulated as a cluster in tumors; Jiang and associates reported that miR144/451 cluster
24 was up-regulated in insulinoma compared with normal islet cells, suggesting the importance
25 of the miR144/451 cluster in the development of insulinoma²⁰. We found overexpression of

1 miR144/451 cluster in NET-G1 tissues. Interestingly, Wu and associates reported that 9
2 miRNAs were significantly upregulated in bromocriptine-resistant prolactinoma compared
3 with bromocriptine-sensitive prolactinoma³⁰; miR-144 and miR-451a were included in those
4 miRNAs. In this context, it is plausible that miR-144/451 cluster may play an important role
5 as oncogenic miRNAs in the development and malignant progression of endocrine tumors
6 such as insulinoma, prolactinoma, and NET-G1 cells.

7 There have been some contradictory studies on miR-144 or miR-451a in colorectal
8 cancers. Iwaya and associates reported that downregulation of miR-144 leads to a
9 poor prognosis in colorectal cancer patients via activation of the mTOR signaling
10 pathway³¹. Ruhl and associates reported that miR-451a inhibited colorectal cancer
11 proliferation³². Moreover, Gao and associates reported that silenced expression of miR-
12 144/451 cluster is closely associated with cell migration, invasion, and proliferation in
13 esophageal cancer. These findings suggest that miR-144-3p and miR-451a promote
14 invasion and metastasis in NET-G1 cells but may inhibit progression of colorectal cancer
15 cells and esophageal cancer cells. That is, miR-144-3p and miR-451a may serve as an
16 oncogenic miRNA or oncosuppressor miRNA in a cancer tissue-specific manner.

17 We bioinformatically identified PTEN as a target gene of miR-144-3p, and revealed their
18 close association in a NET cell line. PTEN is a tumor suppressor protein that negatively
19 regulates phosphatidylinositol 3-kinase (PI3K), and consequently AKT/mTOR pathway.
20 PTEN is also reportedly involved in regulation of cell apoptosis, cell cycle entry, cell
21 proliferation, and cell adhesion. PTEN is ubiquitously expressed in humans, and its
22 inactivation is associated with carcinogenesis and cancer progression³³. In fact, previous
23 studies have reported that low expression of PTEN is closely related with tumor progression
24 and aggressive biological behavior in NETs^{34, 35}. Although in those studies, miRNAs were not
25 examined in the tumors, miR-144-3p might be overexpressed, thereby possibly inhibiting

1 PTEN expression. Importantly, it is now well recognized that PI3K/AKT/mTOR pathway is
2 activated in advanced gastrointestinal and pancreatic NETs. Therefore, the mTOR specific
3 inhibitor everolimus was found to be effective on those NETs in clinical studies and is
4 currently recommended as first-line treatment for NETs³⁶. This fact is quite compatible with
5 our finding that the miR144-PTEN axis activates PI3K/AKT/mTOR pathway in potentially
6 malignant rectal NETs.

7 We also identified p19, a member of the Inhibitor of CDK4 (INK4) family, as a target of
8 miR-451a. Downregulation of p19 is reported to promote cancer development in several kinds
9 of cancers including testicular cancer³⁷ and osteosarcoma³⁸. Our finding that miR-451a
10 inhibited p19 mRNA expression, thereby serving as an oncogenic miRNA, is consistent with
11 a previous study²⁰. Thus, our data indicated that miR-144-3p and miR-451a were
12 overexpressed as a cluster, and resultant down-regulation of PTEN and p19 cooperatively
13 promoted migration/invasion capability in rectal NET-G1 cells. Importantly, the tumor size
14 examined in this study was smaller than 10 mm, but the malignant or benign features of NET-
15 G1 may be distinguishable by applying liquid biopsy with miR-144/451 cluster (miR-144-3p
16 and miR-451a).

17 There were 3 limitations in this study. First, only a small number of NET-G1 tissues
18 were examined for miRNA expression. The partial discrepancy between the microarray and
19 qRT-PCR data may be partly explained by the small sample size as well as different
20 samples used. Although rectal NET-G1 is not a common disease, the data obtained in this
21 study should be confirmed in a greater number of cases in the future. Second, we used
22 NET-G1 tissues with and without LVI to compare miRNA expression, but did not use
23 metastatic and non-metastatic lymph node tissues. Because of the low prevalence of lymph
24 node metastasis (0%-5.5%) in rectal NET-G1^{2, 8}, it was difficult to obtain those tissues.
25 Third, due to the lack of commercial availability, we could not use a primary human

1 gastrointestinal NET-G1 cell line and instead used a human bronchial NET-G1 cell line. Our
2 results should be confirmed using a rectal NET-G1 cell line if one is established in the
3 future.

4 In conclusion, our study demonstrated that miR-144-3p and miR-451a were
5 upregulated as a cluster in rectal NET-G1 cells. The miR144-3p and miR-451a expression
6 was strongly associated with migration/invasion capability in rectal NET-G1 through
7 repression of PTEN and p19. Thus, liquid biopsy of the miR-144-3p and miR-451a may be
8 a novel biomarker for predicting metastasis in patients with rectal NET-G1.

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29

30

1 **Figure legends**

2 **Figure 1**

3 Relative expression levels of 5 miRNAs in rectal neuroendocrine tumor (NET)-G1 tumors with
4 and without LVI. Total RNAs were extracted from formalin-fixed paraffin-embedded (FFPE)
5 sections of NET-G1 tumor LVI (+) (n=5) and tumor LVI(-) (n=5), and expression levels of
6 miRNAs were determined by Taqman quantitative reverse transcription-polymerase chain
7 reaction (RT-qPCR). (a) miR-144-3p, (b) miR-451a, (c) miR-486, (d) miR-551b-3p and (e)
8 miR-10b. Each miRNA expression level was normalized to U6. (f) The correlation coefficient
9 between miR-144-3p and miR-451a was 0.9879, and the p value was <0.0001. miRNA,
10 microRNA; LVI, lymphovascular invasion; P value was analyzed using Mann–Whitney U test.

11

12 **Figure 2**

13 Predicted consequential pairing of miR-144-3p and the target regions of phosphatase and
14 tensin homolog (PTEN), and miR-451a and the target regions of p19. TargetScan was
15 performed to predict the target of miR-144-3p and miR-451a. The UAUGACA sequence in
16 miR-144-3p completely matched the 3'-untranslated region of the PTEN gene. Similarly, the
17 UUGCCAA sequence in miR-451a completely matched the 3'-untranslated region of the p19
18 gene.

19

20 **Figure 3**

21 Immunohistochemical staining for PTEN and p19 in rectal neuroendocrine tumor (NET-G1)
22 with and without LVI. Representative staining patterns by labelled streptavidin-biotin (LSAB)
23 of PTEN (a) and p19 (c) are shown. Original magnification; ×200. Immunohistochemical
24 score for PTEN (b) and p19 (d). The immunohistochemical score was calculated by
25 multiplication of the positive cells proportion score (0-4) and staining intensity score (0-3), as

1 described in Supplementary information. PTEN, phosphatase and tensin homolog; LVI,
2 lymphovascular invasion. * $p < 0.05$ by Mann–Whitney U test.

3 4 **Figure 4**

5 Effect of miRNA mimics on cell migration and invasion capability. H727 cells (1.5×10^6) in 6-
6 well plates were transfected with miR-144-3p or miR-451a mimic as well as miRNA mimic-
7 NC at a final concentration of 30 nM using the Lipofectamine RNAiMAX. (a) Levels of PTEN
8 mRNA in H727 cells transfected with miR-144-3p mimic or mimic-NC were determined by
9 Taqman real-time PCR. (b) Levels of p19 mRNA in H727 cells transfected with miR-451a
10 mimic or mimic-NC were determined by Taqman real-time PCR. All experiments were
11 performed in sextuplicate. H727 cells were transfected with miR-144-3p mimic, miR-451a
12 mimic, or mimic-NC, and wound healing assay and invasion assay were performed. (c)
13 Representative images of the wound healing assay after 96 h are shown. (d) Migration
14 distance was measured at 5 points per samples and expressed as a mean \pm standard
15 deviation (SD) of triplicates. (e) The in vitro invasion assay was carried out using a CultreCoat
16 96-well Boyden Chamber Assay Kit. The fluorescence intensity for each group was measured
17 and expressed as mean \pm SD of triplicates. PTEN, phosphatase and tensin homolog; mRNA,
18 messenger RNA; NC, negative control. * $p < 0.05$ by Student's t test. ** $p < 0.05$ by Dunnett's
19 test.

20 21 **Figure 5**

22 Cell migration and invasion capability of H727 cells with knock down of the PTEN or p19
23 gene. (a, b) PTEN or p19 gene was knocked down in H727 cells using siRNA, and wound
24 healing and invasion assays were subsequently performed. (c) Representative images of the
25 wound healing assay after 96 h are shown. (d) Migration distance was measured at 5 points

1 per samples and expressed as a mean \pm standard deviation (SD) of triplicates. (e) The in
2 vitro invasion assay was carried out using a CultreCoat 96-well Boyden Chamber Assay Kit.
3 The fluorescence intensity for each group was measured and expressed as the mean \pm SD
4 of triplicates. PTEN phosphatase and tensin homolog, siRNA small interfering RNA. * $p < 0.05$
5 by Mann–Whitney U test. ** $p < 0.05$ by Dunnett’s test.

6

7 **Supporting information**

8 **Supplementary Figure 1**

9 Functional network analysis of miR-144-3p and miR-451a and target genes by Ingenuity
10 Pathway Analysis (IPA). The network analysis of miR-144-3p and miR451a and their putative
11 target genes which associated with “Invasion of cells” and “Cell cycle progression” was
12 performed. Among the 4 putative target genes for miR-144-3p (CD34, VCAN, PTEN and
13 CELF2), VCAN, PTEN and CELF2 were associated with these functions. Both the 2 putative
14 target genes (CELF2 and p19 (CDKN2D)) for miR-451a were associated with these functions.

15

Table 1: Baseline characteristics of the patients with rectal NET-G1

	Case	Gender	Age(y)	Tumor size	ly	v
LVI (+)	1	M	74	9 mm	-	+
	2	M	46	6 mm	+	+
	3	M	50	6 mm	+	+
	4	M	59	6 mm	+	+
	5	M	51	5 mm	-	+
	6	F	45	8 mm	+	-
	7	M	72	5 mm	+	-
LVI (-)	8	F	46	7 mm	-	-
	9	F	51	7 mm	-	-
	10	M	62	7 mm	-	-
	11	F	56	6 mm	-	-
	12	M	35	7 mm	-	-
	13	M	54	7 mm	-	-
	14	F	55	6 mm	-	-

Ly lymphatic vessel invasion, v vein invasion, LVI lymphovascular invasion

Figure1

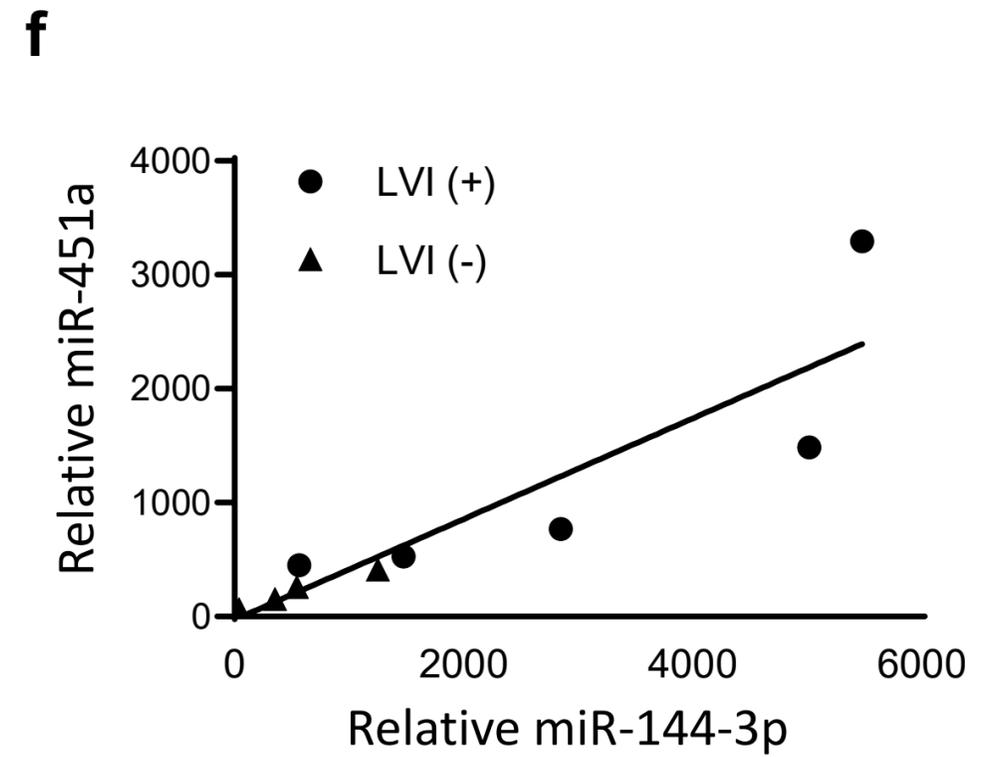
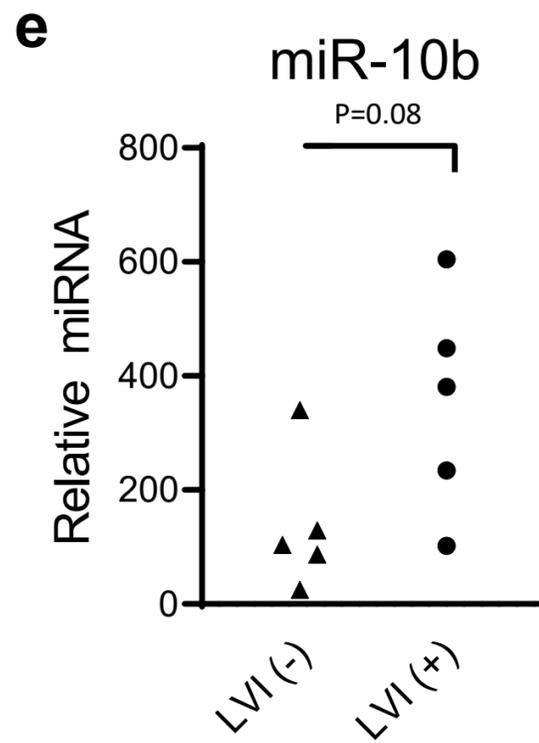
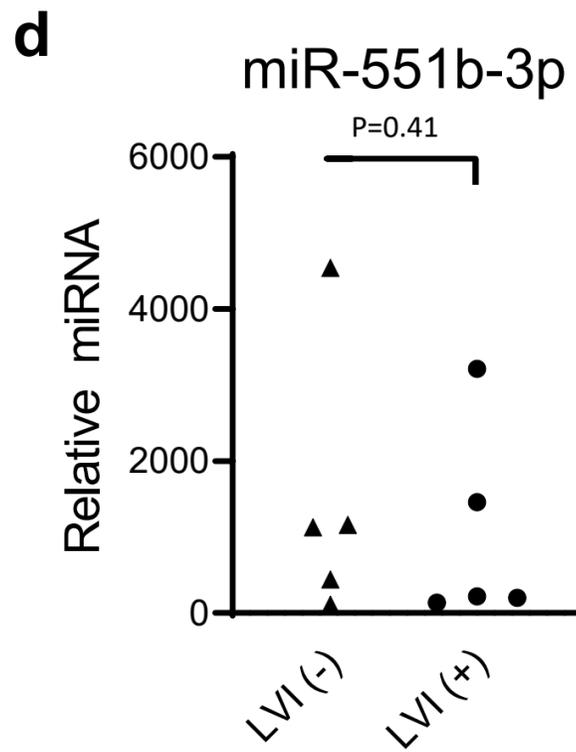
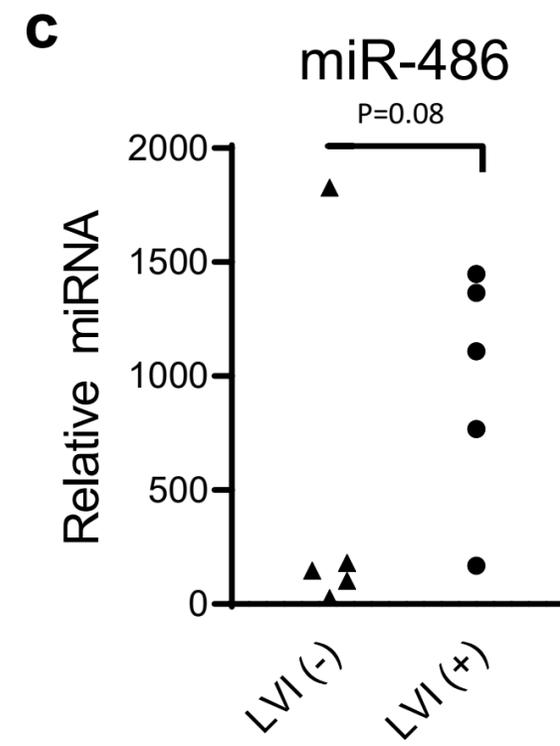
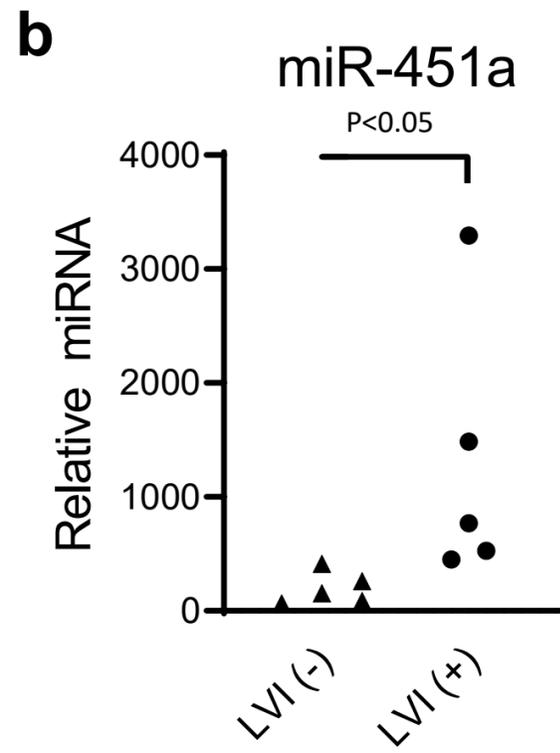
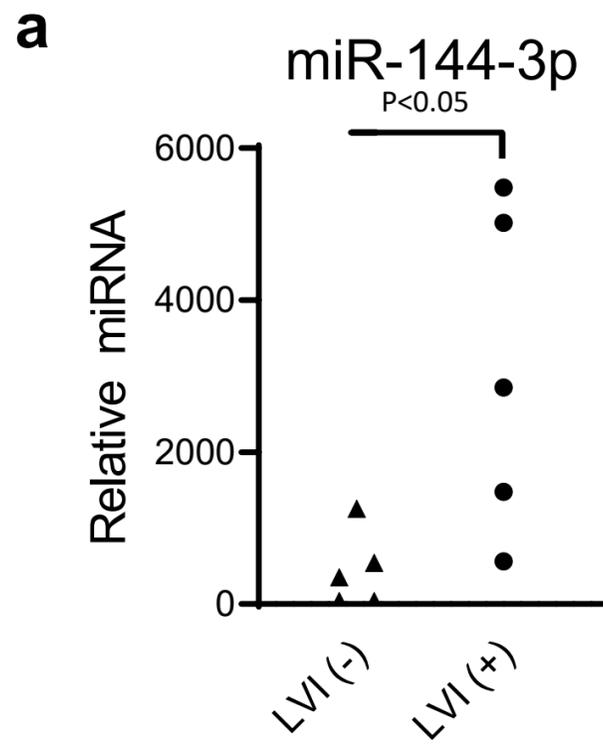


Figure2

Predicted consequential pairing of target region (upper)
and miRNA (lower)

Position 2917-2923 of PTEN 3' UTR
miR-144-3p

```
5' ...CUCGUUUACCUUUAAAUACUGUU... 3'
      | | | | |
3' - UCAUGUAGUAGAU AUGACAU - 5'
```

Position 240-246 of CDKN2D (p19) 3' UTR
miR-451a

```
5' ...GGCCACAGCCACCUAAAACGGUUC... 3'
      | | | | |
3' - UUGAGUCAUUACCAUUGCCAAA - 5'
```

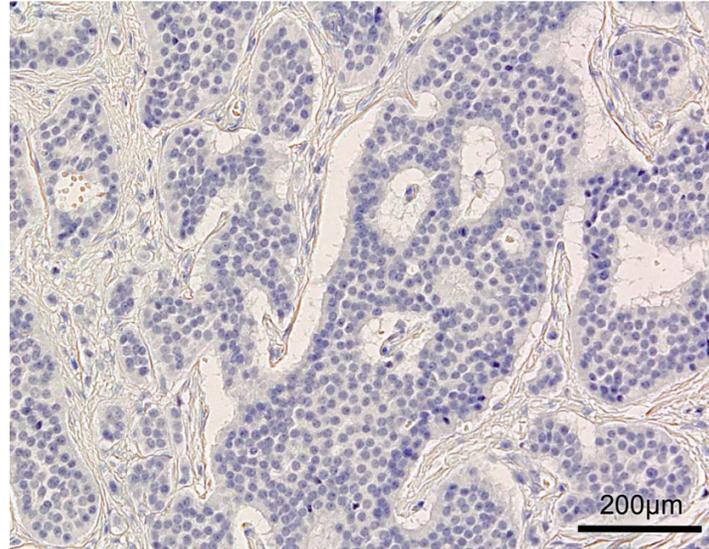
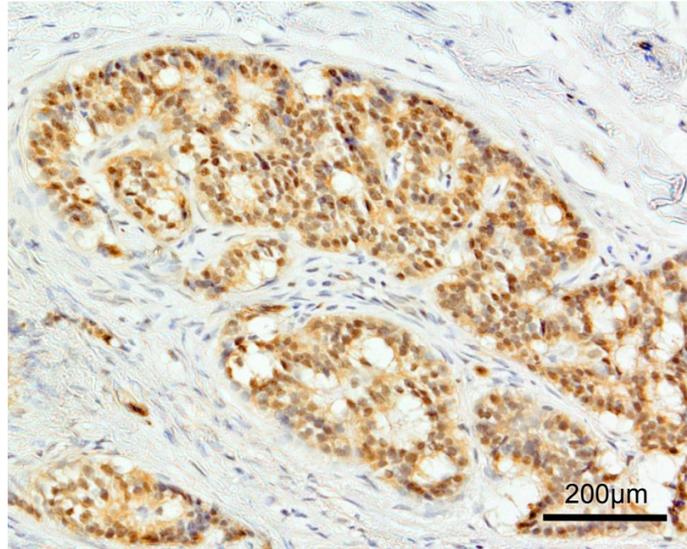
Figure 3

a

LVI (-)

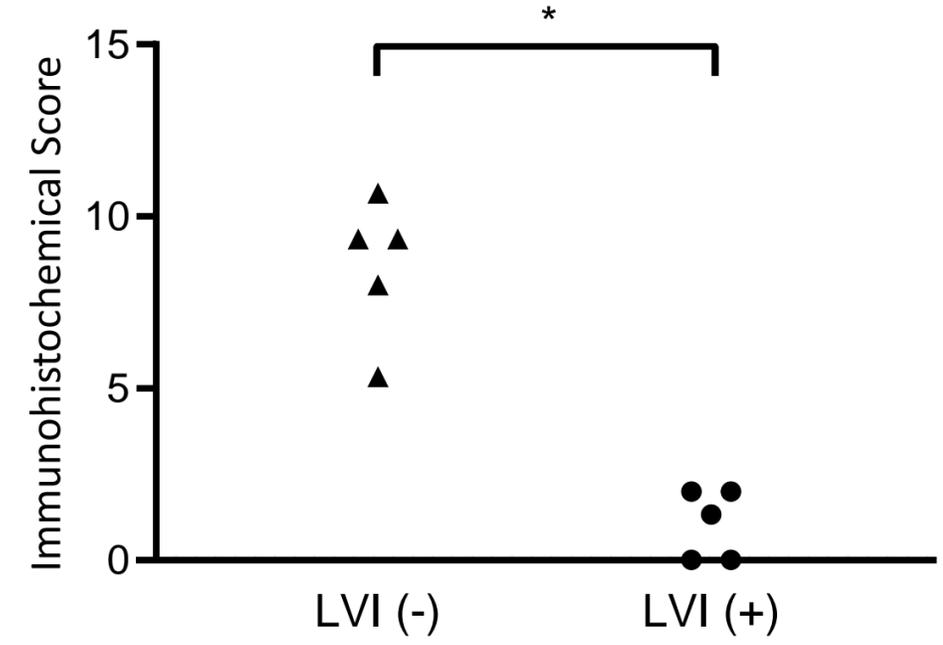
LVI (+)

PTEN



b

PTEN

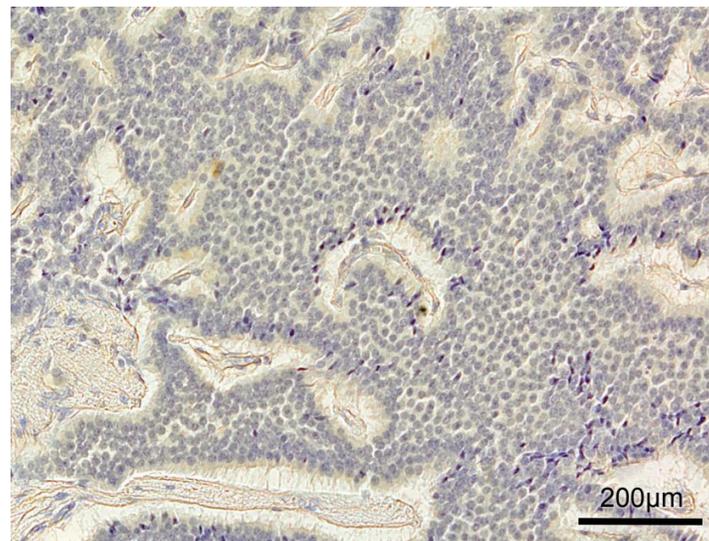
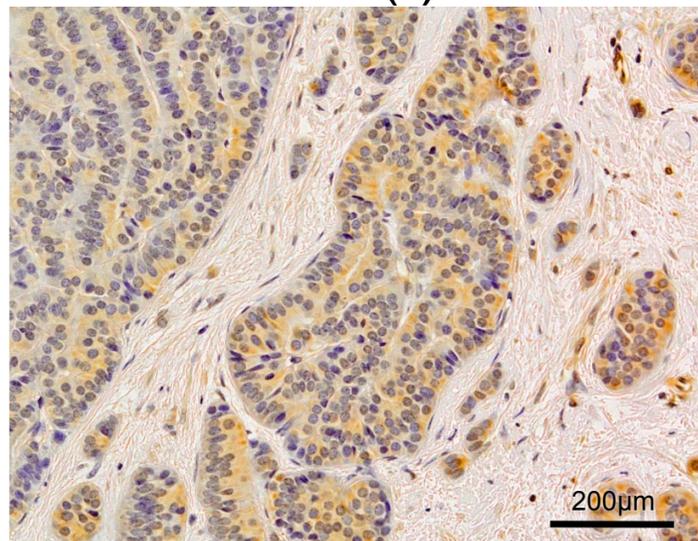


c

LVI (-)

LVI (+)

p19



d

p19

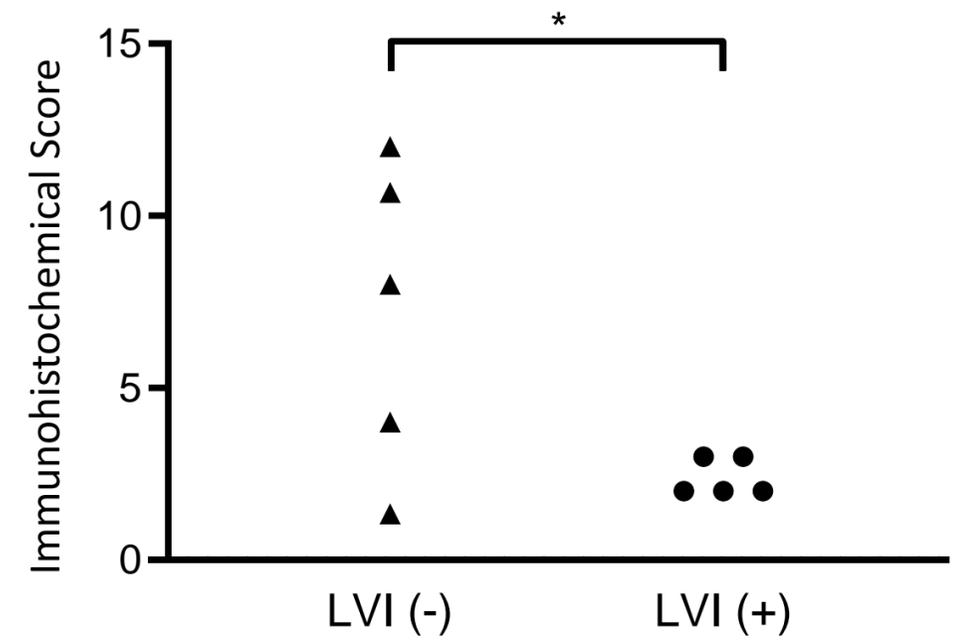


Figure4

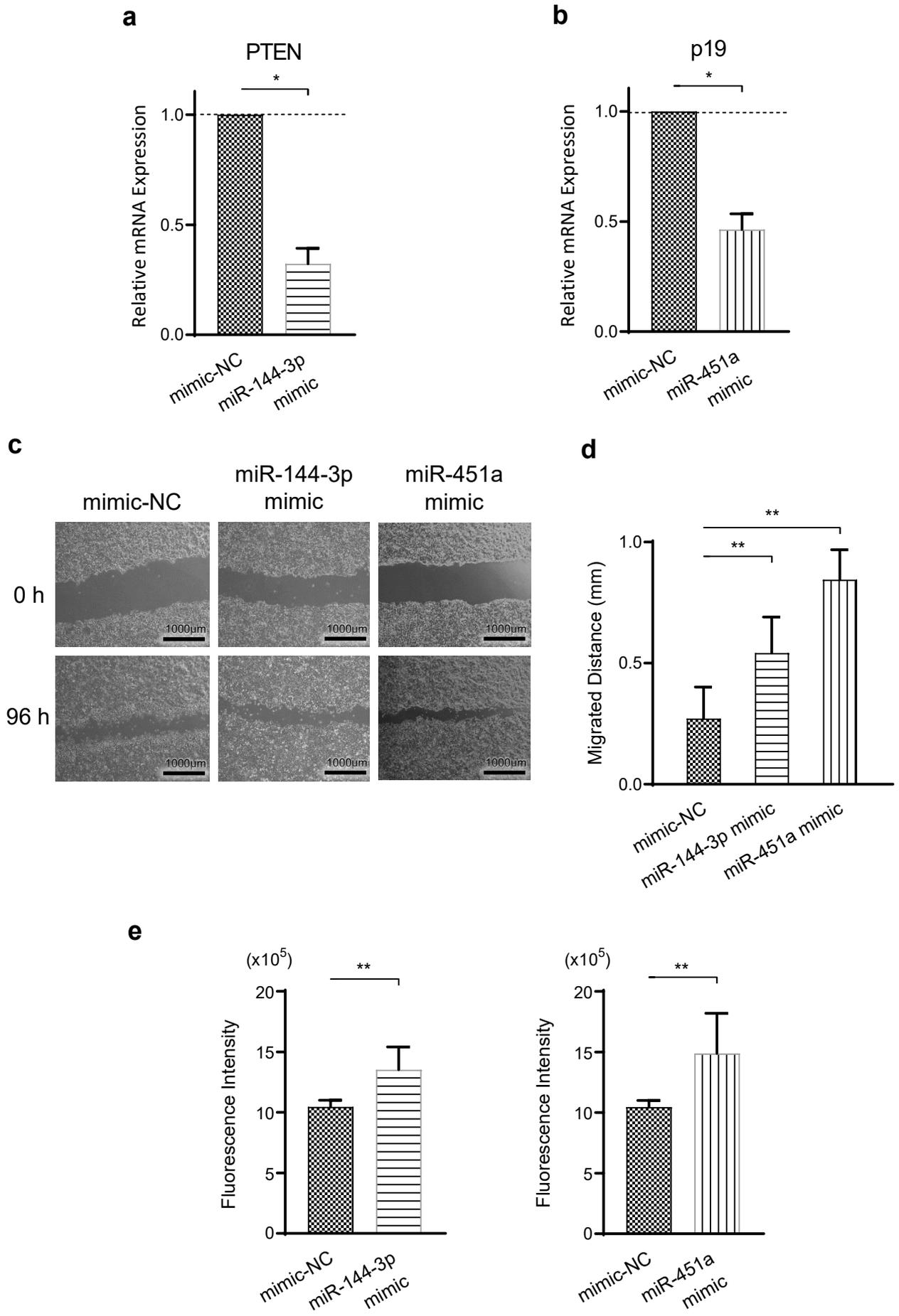
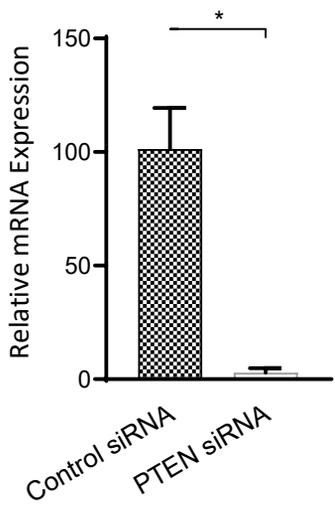
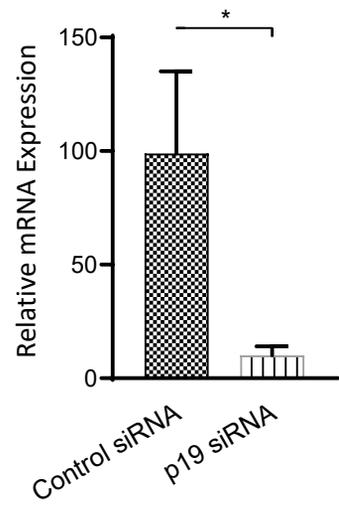


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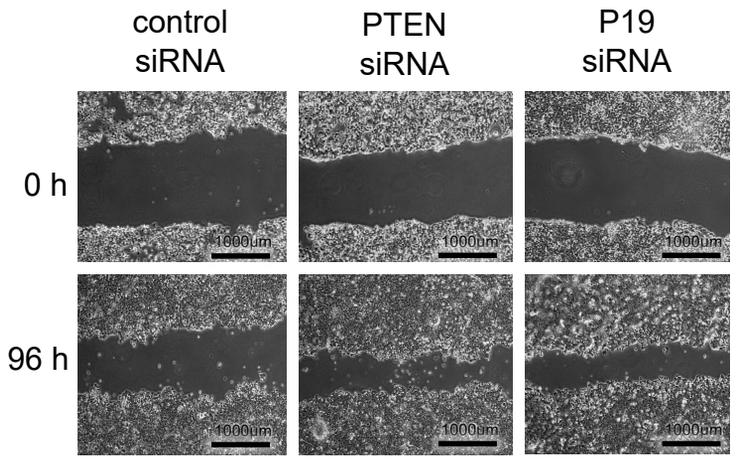
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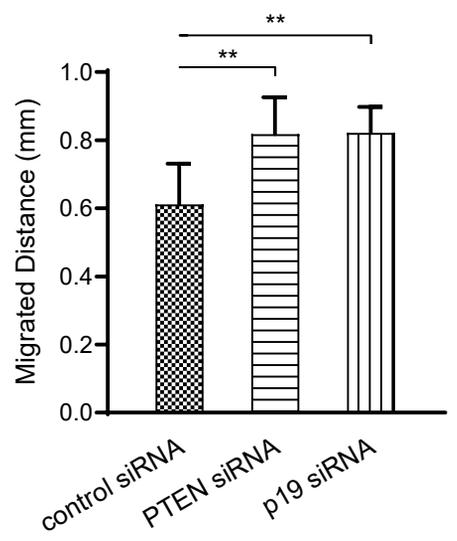
b



c



d



e

