

1 **Characteristic submucosal alteration in biliary carcinogenesis of**  
2 **pancreaticobiliary maljunction with a focus on inflammasome**  
3 **activation**

4

5 Shoko Yamashita<sup>1,2</sup>, Chie Takasu<sup>1</sup>, Yuji Morine<sup>1</sup>, Hiroki Ishibashi<sup>1</sup>, Tetsuya Ikemoto<sup>1</sup>,  
6 Hiroki Mori<sup>1</sup>, Shinichiro Yamada<sup>1</sup>, Takeshi Oya<sup>3</sup>, Koichi Tsuneyama<sup>2</sup>, and Mitsuo  
7 Shimada<sup>1</sup>

8

9 <sup>1</sup>Department of Surgery, Tokushima University, Kuramoto-cho, Tokushima 770-8503,  
10 Japan

11 <sup>2</sup>Department of Pathology and Laboratory Medicine, Tokushima University,  
12 Kuramoto-cho, Tokushima 770-8503, Japan

13 <sup>3</sup>Department of Molecular Pathology, Tokushima University, Kuramoto-cho,  
14 Tokushima 770-8503, Japan

15

16

17

18 **Word count of abstract:** 193

19 **Word count of abstract + main text:** 3,751

20 **Number of figures and tables:** 7 figures and 0 tables

21

22

23

24 **Correspondence to:**

25 Yuji Morine, MD, PhD

26 Department of Surgery, Institute of Biomedical Sciences, Tokushima University

27 Graduate School, 3-18-15 Kuramoto-cho, Tokushima 770-8503, Japan

28 Tel: +81-88-633-9276

29 Fax: +81-88-631-9698

30 E-mail: [ymorine@tokushima-u.ac.jp](mailto:ymorine@tokushima-u.ac.jp)

31

32

33

1 **ABSTRACT**

2 **Background**

3 This study investigated submucosal alterations in biliary carcinogenesis of  
4 pancreaticobiliary maljunction (PBM).

5 **Methods**

6 Thirty-three patients with PBM (including seven with gallbladder [GB] cancer), four  
7 with neither biliary tract cancer nor PBM who underwent pancreaticoduodenectomy  
8 (controls), and seven with chronic cholecystitis without PBM were enrolled. Protein  
9 expression of  $\alpha$ -smooth muscle actin ( $\alpha$ SMA), CD68, and CD204 in the GB lamina  
10 propria and that of NLRP3 and caspase 1 in the GB epithelium and lamina propria were  
11 examined.

12 **Results**

13 Compared with the control and cholecystitis groups,  $\alpha$ SMA expression was higher in  
14 the cancerous part (stroma) of the GB in patients with GB cancer + PBM and in the  
15 lamina propria of patients with PBM. The CD204/CD68 ratio in the lamina propria was  
16 higher in the PBM group than in the control and cholecystitis groups. NLRP3 and  
17 caspase 1 expression in both the lamina propria and epithelium was higher in the PBM

1 than control group. In the PBM group, NLRP3- and caspase 1-positive cells in the  
2 lamina propria were located near the epithelium.

### 3 **Conclusion**

4 Activated fibroblasts and M2 macrophages in the GB lamina propria may be associated  
5 with biliary carcinogenesis of PBM, possibly through inflammasome activation.

6

### 7 **Keywords**

8 Biliary cancer, activated fibroblast, M2 macrophage, NLRP3, caspase 1

9

**1 Abbreviations**

2 PBM: pancreaticobiliary maljunction

3 GB: gallbladder

4 TAM: tumor-associated macrophage

5 CAF: cancer-associated fibroblast

6 TME: tumor microenvironment

7  $\alpha$ SMA:  $\alpha$ -smooth muscle actin

8 NLRP3: Nod-like receptor pyrin domain containing 3

9 PBS: phosphate-buffered saline

10 IL: interleukin

11 DAMPs: damage-associated molecular patterns

12

## 1 INTRODUCTION

2 Pancreaticobiliary maljunction (PBM) is defined as abnormal union of the  
3 biliopancreatic ducts. PBM is a risk factor for biliary cancer, and the occurrence rate of  
4 PBM-associated biliary cancer is much higher than that of biliary cancer without PBM <sup>1</sup>.  
5 The mechanism underlying biliary carcinogenesis of PBM has been linked to the  
6 stagnation of bile mixed with pancreatic juice in the bile duct and gallbladder (GB).  
7 Studies of PBM-associated carcinogenesis have revealed *K-ras* and *p53* gene mutations  
8 in epithelial cells of the GB <sup>2</sup>. In patients with PBM, the sequence of  
9 hyperplasia–dysplasia–carcinoma is regarded as the prevailing mechanism underlying  
10 the development of biliary cancer. Research has shown that the mechanism of biliary  
11 carcinogenesis of PBM involves only changes in the biliary epithelium. However, the  
12 carcinogenic alteration in the lamina propria of the GB in patients with PBM remains  
13 unknown.

14 Tumor-associated macrophages (TAMs) and cancer-associated fibroblasts  
15 (CAFs) in the tumor microenvironment (TME) promote the malignancy of cancer cells  
16 and therapeutic resistance of multiple cancer types. CAFs are an activated form of  
17 fibroblasts and are distinct from fibroblasts in their expression of  $\alpha$ -smooth muscle actin

1 (SMA)<sup>3-5</sup>. Macrophages are classified into two types: 1) the classically activated,  
2 proinflammatory M1 macrophage and 2) the alternatively activated, anti-inflammatory  
3 M2 macrophage. M2 macrophages exhibit low antigen presentation efficiency and  
4 produce high levels of anti-inflammatory cytokines<sup>3-5</sup>. TAMs exhibit characteristics  
5 similar to those of M2 macrophages and are characterized by M2 macrophage-specific  
6 markers such as CD163 and CD204<sup>5</sup>. The relationship between stromal changes and  
7 carcinogenesis has been the subject of investigation in several types of cancer. M2  
8 macrophage infiltration has been observed in the submucosal tissue of ulcerative colitis  
9 and human papillomavirus-infected cervical cells<sup>6,7</sup>. Crosstalk between senescent  
10 breast cells and activated fibroblasts contributes to the carcinogenesis of breast cancer<sup>8</sup>.  
11 However, no study has been performed to examine the influence of M2 macrophages  
12 and activated fibroblasts on PBM carcinogenesis.

13 Nod-like receptor pyrin domain containing 3 (NLRP3), a member of the NLR  
14 protein family, is an important component of the inflammasome<sup>9</sup>. Furthermore, NLRP3  
15 may play a pathological role in tumorigenesis by its modulation of innate and adaptive  
16 immunity, apoptosis, and differentiation<sup>9-11</sup>. We hypothesized that NLRP3 is a major  
17 molecule in PBM-associated carcinogenesis because NLRP3 is a major component of

1 inflammatory carcinogenesis. However, to the best of our knowledge no study has been  
2 performed to examine NLRP3 expression in patients with PBM.

3           In this study, we investigated both the epithelium and lamina propria of the GB  
4 to elucidate the mechanism of biliary carcinogenesis of PBM by focusing on activated  
5 fibroblasts, M2 macrophages, and inflammasome activation.

6

1 **MATERIAL AND METHODS**

2 **Patient selection**

3           This study involved a total of 44 patients. The PBM group comprised 33  
4 patients with PBM who underwent either total excision of the extrahepatic biliary tract  
5 (including the GB) combined with biliary reconstruction or cholecystectomy alone from  
6 1992 to 2020. Of these 33 patients, 7 had PBM-associated GB cancer. Among these  
7 seven patients with PBM-associated GB cancer, four had only cancerous tissue in the  
8 surgical specimens for immunostaining, whereas three had both cancerous tissue and  
9 non-cancerous tissue. The control group comprised four patients with neither biliary  
10 tract cancer nor PBM who underwent pancreaticoduodenectomy. Finally, the  
11 cholecystitis group comprised seven patients with chronic cholecystitis who were  
12 selected as representative cases of simple biliary inflammation without PBM.

13 Immunohistochemical staining of the GB was performed in the above all patients. This  
14 study was approved by the Ethics Committee of Tokushima University (TOCMS ID:  
15 3010-1).

16  
17 **Immunohistochemical staining and assessment**

1           Immunohistochemical staining was performed as previously described <sup>12</sup>.  
2   Briefly, 4- $\mu$ m-thick tissue sections from each sample were deparaffinized and  
3   dehydrated. The sections were treated with 0.3% hydrogen peroxide and methanol for  
4   20 minutes to halt peroxidase activity, and this was followed by heat treatment. The  
5   sections were then incubated overnight at 4°C with a primary mouse monoclonal  
6   antibody to  $\alpha$ SMA (ab7817, 1:100 dilution in phosphate-buffered saline [PBS]; Abcam,  
7   Cambridge, UK) and primary rabbit polyclonal antibodies to NLRP3 (ab214185, 1:200  
8   dilution in PBS; Abcam) and caspase 1 (GTX101322, 1:100 dilution in PBS; Genetex,  
9   Irvine, CA, USA). Finally, the sections were treated with a secondary antibody  
10   (EnVision™+ Dual Link System-HRP; Dako, Glostrup, Denmark) for 1 hour at room  
11   temperature.

12           To evaluate CAFs, the samples were analyzed using 200 $\times$  magnification. The  
13   area fraction of CAFs was defined as the ratio of the  $\alpha$ SMA-positive area to the total  
14   area on the microscopic field, determined using ImageJ software version 1.53a  
15   (National Institutes of Health, Bethesda, MD, USA) <sup>5</sup>.

16           To evaluate NLRP3 and caspase 1 expression in the GB epithelium, the  
17   H-score was determined for each sample <sup>10</sup>. The H-score was calculated by multiplying

1 the staining percentage (0%–100%) by the staining intensity (1: weak, 2: moderate, and  
2 3: strong), with a score ranging from 0 to 300<sup>10</sup>. In accordance with the NLRP3 and  
3 caspase 1 expression in the lamina propria of the GB, as reported previously,  
4 spindle-shaped non-neoplastic cells (excluding macrophages and lymphocyte-like round  
5 cells) were recognized as fibroblasts, and cytoplasmic expression of NLRP3 and  
6 caspase 1 in these cells was considered positive<sup>11,12</sup>. Cells positive for NLRP3 and  
7 caspase 1 were identified by screening the entire area in a low-power (100×) field and  
8 selecting the three areas with the highest density of stained cells. The mean number of  
9 positive cells in three independent high-power (400×) fields was calculated.

10 All immunostained sections were evaluated by a pathologist blinded to the  
11 patients' information.

12

### 13 **Immunofluorescence staining**

14 Immunofluorescence staining was performed as previously reported<sup>3</sup>. The  
15 primary antibodies were rabbit polyclonal antibodies to CD204 (TK022, 1:50 dilution in  
16 PBS; TransGenic Inc., Kobe, Japan), CD68 (ab955, 1:100 dilution in PBS; Abcam),  
17 NLRP3 (NBP2-12446, 1:50 dilution in PBS; Novus Biologicals LLC, Centennial, CO,

1 USA), caspase 1 (NBP2-12446, 1:500 dilution in PBS; Genetex), and  $\alpha$ SMA (ab7817,  
2 1:100 dilution in PBS; Abcam). The secondary antibodies were anti-rabbit Alexa 488  
3 (1:500, A-11008; Life Technologies, Carlsbad, CA, USA) and anti-mouse Alexa 594  
4 (1:500, A-11005; Life Technologies).

5 For CD204 and CD68 double staining, a dual immunofluorescence method was  
6 used as previously described <sup>13</sup> with some modifications. Briefly, the sections were  
7 incubated overnight with the anti-CD68 antibody at 4°C. They were then incubated with  
8 Alexa 594 anti-mouse IgG as the secondary antibody for 1 hour and then blocked with  
9 5% mouse serum and 5% anti-mouse IgG Fab (Jackson ImmunoResearch Laboratories,  
10 West Grove, PA, USA) for 1 hour each. Next, the sections were incubated with the  
11 anti-CD204 antibody overnight at 4°C, followed by Alexa 488 anti-mouse IgG for 1  
12 hour. Expression was determined by analyzing three randomly selected fields at high  
13 magnification ( $\times 400$ ) <sup>14</sup>.

14

### 15 **Statistical analysis**

16 All statistical analyses were performed using statistical software (JMP 11.2.0; SAS  
17 Institute, Cary, NC, USA). Comparisons of several parameters were analyzed with

- 1 one-way analysis of variance followed by Bonferroni's post-hoc correction. Statistical
- 2 significance was defined as  $P < 0.05$ .

1 **RESULTS**

2 **Activated fibroblasts and M2 macrophages in the lamina propria of the GB in**  
3 **patients with PBM**

4 To examine the significance of activated fibroblasts and M2 macrophages in  
5 biliary carcinogenesis of PBM, we performed immunohistochemical staining of  $\alpha$ SMA  
6 and dual immunofluorescence staining of CD68 and CD204. Immunohistochemical  
7 staining of GB tissues from patients with PBM revealed spindle-shaped cells expressing  
8  $\alpha$ SMA in addition to vascular smooth muscle cells (Figure 1). Dual  
9 immunofluorescence staining revealed CD68-positive round cells with or without  
10 CD204 positivity (Figure 2) in the lamina propria. In the lamina propria of the GB in the  
11 control group,  $\alpha$ SMA was also expressed in vascular smooth muscle cells, but low  
12 levels of  $\alpha$ SMA expression were found in the spindle-shaped cells. Similarly, few  
13 CD204-positive round cells were detected in the lamina propria of the GB in the control  
14 and cholecystitis groups.

15 Figure 3a shows the frequency of  $\alpha$ SMA-positive cells. The percentage of cells  
16 expressing  $\alpha$ SMA in the cancerous part (stroma) of the GB in patients with concurrent  
17 GB cancer and PBM was significantly higher than that in the lamina propria of the GB

1 in all patients with PBM (with and without GB cancer) as well as in the non-cancerous  
2 part (lamina propria) of the GB in patients with concurrent GB cancer and PBM ( $P <$   
3  $0.05$ ). There was no significant difference in the number of  $\alpha$ SMA-positive cells  
4 between the lamina propria of the GB in patients with PBM and the non-cancerous part  
5 (lamina propria) of the GB in patients with concurrent GB cancer and PBM ( $P =$   
6  $0.2771$ ). Additionally, the PBM group showed more  $\alpha$ SMA-positive cells in the lamina  
7 propria of the GB than did the control group ( $P < 0.05$ ) and cholecystitis group ( $P =$   
8  $0.057$ ). Figure 3b and c shows the frequency of cells expressing CD204 and CD68,  
9 respectively. Expression of CD204, an M2 macrophage marker, in the lamina propria of  
10 the GB was significantly higher in the PBM than control group ( $P < 0.05$ ) and tended to  
11 be higher than that in the cholecystitis group ( $P = 0.072$ ). Expression of CD68, an M1  
12 and M2 macrophage marker, was highest in the lamina propria of the GB in the  
13 cholecystitis group and tended to be higher than that in the control group ( $P = 0.055$ );  
14 however, there was no significant difference among all three groups. Figure 3d shows  
15 that the CD204/CD68 ratio, which indicates the proportion of M2 macrophages, was  
16 significantly higher in the lamina propria of the GB in the PBM group than in the  
17 control and cholecystitis groups ( $P < 0.05$ ). Additionally, there was no significant

1 difference in the CD204/CD68 ratio in the lamina propria of the GB in patients with  
2 PBM versus the cancerous part (stroma) and non-cancerous part (lamina propria) of the  
3 GB in patients with concurrent GB cancer and PBM.

4

### 5 **Expression of NLRP3 and caspase 1 in the GB epithelium of patients with PBM**

6 Figure 4 shows representative immunohistochemical staining for NLRP3 and  
7 caspase-1 expression in GB samples from patients with PBM. NLRP3 and caspase-1  
8 were detected in the cytoplasm of cells within the GB epithelium.

9 Figure 5a and b shows the mean H scores of five groups: control, cholecystitis,  
10 PBM, GB cancer with PBM (cancerous part), and GB cancer with PBM (non-cancerous  
11 part). The H scores for NLRP3 were  $65 \pm 55$ ,  $193 \pm 31$ ,  $215 \pm 14$ ,  $179 \pm 34$ , and  $93 \pm 29$ ,  
12 respectively, and those for caspase 1 were  $91 \pm 31$ ,  $143 \pm 31$ ,  $171 \pm 16$ ,  $209 \pm 33$ , and  
13  $100 \pm 50$ , respectively. Epithelial NLRP3 expression was significantly higher in the  
14 cholecystitis, PBM, and GB cancer with PBM groups than in the control group ( $P <$   
15  $0.05$ ). Furthermore, epithelial NLRP3 expression was significantly higher in the PBM  
16 group than in the GB cancer with PBM (non-cancerous) group ( $P < 0.05$ ).

17 Epithelial caspase 1 expression tended to be higher in the PBM group than in

1 the control group ( $P = 0.06$ ) and was significantly higher in the GB cancer with PBM  
2 group than in the control group ( $P < 0.05$ ).

3           Additionally, 15 (57.8%) of 26 GB samples in the PBM group showed  
4 epithelial hyperplasia. Six (23.1%) cases showed dysplasia, and three of these cases  
5 were combined with hyperplasia. Five (19.2%) cases showed neither epithelial  
6 hyperplasia nor dysplasia. NLRP3 expression in the normal epithelium, hyperplastic  
7 epithelium, and dysplastic epithelium (with or without hyperplasia) groups was  $225 \pm$   
8  $26$ ,  $219 \pm 19$ , and  $220 \pm 35$ , respectively, and caspase 1 expression was  $157 \pm 40$ ,  $198 \pm$   
9  $24$ , and  $228 \pm 37$ , respectively. There were no significant differences among the three  
10 groups. However, NLRP3 expression in the epithelium of the GB in patients with PBM  
11 with or without hyperplastic and dysplastic regions was higher than that in the normal  
12 epithelium of the control group. One hyperplastic region in the PBM group had  
13 significantly higher caspase 1 expression than the normal epithelium in the control  
14 group ( $P < 0.05$ ).

15

16 **Expression of NLRP3 and caspase 1 in the lamina propria of the GB in patients**  
17 **with PBM**

1           In the lamina propria of the GB in patients with PBM, NLRP3 and caspase 1  
2 expression was detected in both macrophage-like round cells and fibroblast-like  
3 spindle-shaped cells (Figure 4).

4           Figure 5c and d shows the expression of NLRP3 and caspase 1 in  
5 spindle-shaped cells, which were regarded as activated fibroblasts, in the control,  
6 cholecystitis, PBM, GB cancer with PBM (cancerous part), and GB cancer with PBM  
7 (non-cancerous part) groups. NLRP3 expression in these five groups was  $3.0 \pm 0.9$ ,  $26.8$   
8  $\pm 3.3$ ,  $24.4 \pm 3.5$ ,  $20.7 \pm 2.4$ , and  $23.7 \pm 7.0$ /hpf, respectively, and caspase 1 expression  
9 was  $7.8 \pm 3.2$ ,  $15.5 \pm 3.6$ ,  $21.8 \pm 2.2$ ,  $18.6 \pm 3.4$ , and  $20.3 \pm 2.7$ /hpf, respectively. There  
10 was no difference in NLRP3 or caspase 1 expression in the lamina propria of the GB in  
11 patients with PBM versus the cancerous part (stroma) or non-cancerous part (lamina  
12 propria) of the GB in patients with concurrent GB cancer and PBM. The number of  
13 NLRP3- and caspase 1-positive spindle-shaped cells was higher in the PBM than  
14 control group ( $P < 0.05$ ). Interestingly, regarding the distribution of NLRP3- and  
15 caspase 1-positive spindle-shaped cells in patients with PBM, we observed the  
16 likelihood that some spindle-shaped cells, which expressed NLRP3 and caspase 1,  
17 existed near epithelial cells, which highly expressed both molecules (Figure 6).

1    Conversely, few cells that did not express these molecules were detected near the  
2    epithelium.

3            We next performed dual immunofluorescence staining of GB tissue from  
4    patients with PBM. We detected both NLRP3 and caspase-1 expression in activated  
5    fibroblasts, which were identified as  $\alpha$ SMA-positive cells, in the lamina propria of the  
6    GB in patients with PBM (Figure 7).

7

1 **DISCUSSION**

2           In this study, we found high infiltration of  $\alpha$ SMA-positive activated fibroblasts  
3 and CD204-positive M2 macrophages in the lamina propria of the GB in patients with  
4 PBM. Additionally, NLRP3 and caspase-1 expression was elevated not only in the  
5 epithelium but also in fibroblasts in patients with PBM. These data suggest that  
6 infiltration of activated fibroblasts and M2 macrophages into the lamina propria of the  
7 GB in patients with PBM is comparable to that observed in patients with GB cancer and  
8 might be related to PBM-associated biliary carcinogenesis, possibly through crosstalk of  
9 NLRP3 activation between epithelial and stromal cells.

10           Regarding the role of stromal cells in carcinogenesis, some studies have  
11 revealed that stromal changes also occur in healthy tissues and progressively evolve to a  
12 neoplastic state, contributing to malignant transformation of epithelial cells<sup>6-8</sup>.  
13 Infiltration of both activated fibroblasts and M2 macrophages has been reported as a  
14 preneoplastic state of the pancreas in patients with chronic pancreatitis<sup>15</sup>. In terms of  
15 the effect of activated fibroblasts on carcinogenesis, a major role of crosstalk between  
16 senescent breast luminal cells and activated fibroblasts has been suggested in promoting  
17 the inflammatory/carcinogenic microenvironment via a senescence-associated secretory

1 phenotype in an interleukin (IL)-8-dependent manner<sup>8</sup>. Regarding the link between M2  
2 macrophages and carcinogenesis, Kvorjak et al.<sup>6</sup> reported that M2 macrophages might  
3 contribute to regulation of MUC1 glycosylation, a specific event during malignant  
4 transformation in colitis-associated colon cancer. Swangphon et al.<sup>7</sup> reported that a  
5 high density of infiltrating CD163<sup>+</sup> monocytes was associated with the severity of  
6 human papillomavirus-infected cervical lesions. Furthermore, Xue et al.<sup>15</sup> reported  
7 infiltration of M2 macrophages and pancreatic stellate cells into the pancreatic tissue of  
8 patients with chronic pancreatitis, indicating a neoplastic state of pancreatic cancer. The  
9 authors stated that crosstalk between these two stromal cell types via the secretion of  
10 cytokines, such as IL-4 and IL-13, might contribute to pancreatic fibrosis progression.<sup>15</sup>  
11 In our study, the number of CD204-positive macrophages in the lamina propria of the  
12 GB was higher in the PBM group than in the control and cholecystitis groups, and it  
13 was not significantly different regardless of the presence of GB cancer in patients with  
14 PBM. However, the number of CD68-positive macrophages was most substantially  
15 increased in patients with cholecystitis. These data suggest that proinflammatory M1  
16 macrophages are increased in cholecystitis because of the inflammatory status of the GB  
17 tissue. Similarly, our study revealed infiltration of M2 macrophages and activated

1 fibroblasts into the lamina propria of the GB in patients with PBM, suggesting the role  
2 of these cells in the malignant transformation of the epithelium in patients with PBM.

3 NLRP3 is involved in innate immunity, and its activation has been identified in  
4 various pathological conditions, including myocardial ischemia and fibrosis <sup>16,17</sup>. A  
5 relationship among NLRP3, carcinogenesis, and cancer progression was recently  
6 demonstrated <sup>9-11,18</sup>. Son et al. <sup>18</sup> reported elevation of NLRP3 expression in the colonic  
7 epithelium of patients with colitis and colitis-associated colon cancer and suggested that  
8 once tumor formation is initiated, NLRP3 inflammasome-induced IL-1 $\beta$  and IL-18  
9 modulate immunity in the TME and promote cancer progression. In the biliary  
10 epithelium of patients with PBM, the incidence and degree of hyperplasia are  
11 characteristic <sup>19</sup>. These changes lead to a hyperplasia–dysplasia–carcinoma sequence  
12 that differs from the sequence in sporadic biliary tract cancer <sup>19</sup>. *K-ras* gene mutations,  
13 which are related to PBM carcinogenesis, have been observed not only in the cancerous  
14 region of patients with PBM but also in hyperplastic epithelia of the biliary tract of  
15 patients who have PBM without cancer <sup>19,20</sup>. In our study, NLRP3 expression in the GB  
16 epithelium of patients with PBM either with or without hyperplastic and dysplastic  
17 regions, and even in one patient in the PBM group without hyperplastic or dysplastic

1 regions, was higher than that in the normal epithelium of the control group. Therefore,  
2 this finding suggests that NLRP3 activation is a relatively very early step in PBM  
3 carcinogenesis.

4       Regarding the pro-cancerous role of NLRP3 in activated fibroblasts, Ershaid et  
5 al.<sup>11</sup> reported tumor-promoting functions of CAFs in breast cancer in sensing  
6 tumor-induced tissue damage, including releasing damage-associated molecular patterns  
7 (DAMPs) and activating the NLRP3 inflammasome pathway, which promotes tumor  
8 progression and metastasis. NLRP3 activation in M2 macrophages is also reportedly  
9 involved in cancer migration<sup>21</sup>. However, no report has examined whether NLRP3  
10 activation in stromal cells influences carcinogenesis. In our study, activation of the  
11 NLRP3 inflammasome was detected in patients with PBM, not only in the GB  
12 epithelium but also in the GB lamina propria (especially in activated fibroblasts),  
13 compared with the control group. Additionally, NLRP3- and caspase 1-positive  
14 activated fibroblasts and M2 macrophages were distributed immediately beneath the  
15 relative molecule-positive epithelium. This result suggests crosstalk between epithelial  
16 and stromal cells during PBM carcinogenesis. Regarding the relationship between  
17 NLRP3 activation and stromal changes, Alyaseer et al.<sup>22</sup> reported that the maturation of

1 IL-1 $\beta$  through NLRP3 and caspase-1 activation in epithelial cells promotes transcription  
2 of the *TGF- $\beta$*  gene in an autocrine, paracrine, or endocrine manner. Because TGF- $\beta$  is  
3 an indicator of CAFs, NLRP3 activation in epithelial cells might lead to CAF  
4 infiltration. Guo et al.<sup>23</sup> reported that the NLRP3 inflammasome/IL-1 $\beta$  pathway  
5 promotes the recruitment of TAMs in the TME of breast cancer. NLRP3 activation also  
6 induces pyroptosis, a proinflammatory mode of cell death. In this process, the cell  
7 membrane is ruptured and IL-1 $\beta$  and IL-18, inflammatory DAMPs, are released to  
8 propagate proinflammatory responses<sup>24</sup>. Our data suggest that NLRP3 activation affects  
9 carcinogenesis of the GB epithelium in patients with PBM. Furthermore, previous  
10 studies suggest crosstalk between epithelial and stromal cells via NLRP3 activation,  
11 TGF- $\beta$  secretion, and DAMP release.

12 This study has two main limitations. First, we defined spindle-shaped cells as  
13 fibroblasts and quantified the number of NLRP3- and caspase 1-positive cells. However,  
14 several types of cells other than fibroblasts and macrophages may express these  
15 molecules, such as lymphocytes. In some reports, the total number of stromal cells was  
16 counted instead of the number of each type of cell, such as fibroblasts and macrophages;  
17 other reports used the same approach as our method, and spindle cells were defined as

1 fibroblasts. Thus, the number of NLRP3- and caspase 1-positive fibroblasts might have  
2 been overcounted. Additionally, the lamina propria of the cholecystitis group was very  
3 thin because of inflammation and submucosal edema. The area of the lamina propria of  
4 the GB in the cholecystitis group, in which NLRP3-positive fibroblasts were counted,  
5 was larger than that in the other groups. Although NLRP3 expression in the lamina  
6 propria of the GB in the cholecystitis group was much higher, the finding of edema in  
7 patients with cholecystitis is a possible reason, and some area corrections are needed in  
8 further studies. Second, our analysis was limited to an immunohistochemical approach.  
9 An in vitro PBM model was previously established using a cholangiocyte cell line and  
10 lysophosphatidylcholine, which is elevated in patients with PBM<sup>25</sup>. Metabolomics  
11 analysis of bile samples from patients with PBM in our institute also revealed  
12 lysophosphatidylcholine as a carcinogenic candidate<sup>26</sup>. More in vitro studies on the  
13 stromal changes during PBM carcinogenesis are needed.

14 In conclusion, our results show that activated fibroblasts and M2 macrophages  
15 may be associated with carcinogenesis of PBM, possibly through NLRP3  
16 inflammasome activation.

17

1 **ACKNOWLEDGMENTS**

2 We thank Gabrielle White Wolf, PhD; Mitchell Arico; and Angela Morben, DVM, ELS  
3 from Edanz (<https://jp.edanz.com/ac>) for editing a draft of this manuscript.

4

5 **CONFLICT OF INTEREST**

6 Dr. M. Shimada received a research grant from Taiho Pharmaceutical Co., Ltd. No other  
7 authors have a conflict of interest.

8

9 **FUNDING**

10 This work was partly supported by a collaborative research grant from Taiho  
11 Pharmaceutical Co., Ltd. (Tokyo, Japan) and the Japan Society for the Promotion of  
12 Science KAKENHI [grant numbers 20K08957 and 21K08671].

1 **REFERENCES**

- 2 1. Morine Y, Shimada M, Takamatsu H, Araida T, Endo I, Kubota M, *et al.* Clinical  
3 features of pancreaticobiliary maljunction: update analysis of 2nd  
4 Japan-nationwide survey. *J Hepatobiliary Pancreat Sci.* 2013; **20**(5):472-80.
- 5 2. Matsubara T, Sakurai Y, Zhi LZ, Miura H, Ochiai M, Funabiki T. K-ras and p53  
6 gene mutations in noncancerous biliary lesions of patients with pancreaticobiliary  
7 maljunction. *J Hepatobiliary Pancreat Surg.* 2002; **9**(3):312-21.
- 8 3. Tokuda K, Morine Y, Miyazaki K, Yamada S, Saito Y, Nishi M, *et al.* The  
9 interaction between cancer associated fibroblasts and tumor associated  
10 macrophages via the osteopontin pathway in the tumor microenvironment of  
11 hepatocellular carcinoma. *Oncotarget.*, 2021; **12**(4):333-343.
- 12 4. Chen S, Morine Y, Tokuda K, Yamada S, Saito Y, Nishi M, *et al.*  
13 Cancer-associated fibroblast-induced M2-polarized macrophages promote  
14 hepatocellular carcinoma progression via the plasminogen activator inhibitor-1  
15 pathway. *Int J Oncol.* 2021; **59**(2):59.
- 16 5. Hashimoto O, Yoshida M, Koma Y, Yanai T, Hasegawa D, Kosaka Y, *et al.*  
17 Collaboration of cancer-associated fibroblasts and tumour-associated macrophages

- 1 for neuroblastoma development. *J Pathol.*, 2016; **240**(2):211-23.
- 2 6. Kvorjak M, Ahmed Y, Miller ML, Sriram R, Coronello C, Hashash JG, *et al.*
- 3 Cross-talk between Colon Cells and Macrophages Increases ST6GALNAC1 and
- 4 MUC1-sTn Expression in Ulcerative Colitis and Colitis-Associated Colon Cancer.
- 5 *Cancer Immunol Res.*, 2020; **8**(2):167-178.
- 6 7. Swangphon P, Pientong C, Sunthamala N, Bumrunghai S, Azuma M, Kleebkaow
- 7 P, *et al.* Correlation of Circulating CD64<sup>+</sup>/CD163<sup>+</sup> Monocyte Ratio and
- 8 stroma/peri-tumoral CD163<sup>+</sup> Monocyte Density with Human Papillomavirus
- 9 Infected Cervical Lesion Severity. *Cancer Microenviron* 2017; **10**(1-3):77-85.
- 10 8. Al-Khalaf HH, Ghebeh H, Inass R, Aboussekhra A. Senescent Breast Luminal
- 11 Cells Promote Carcinogenesis through Interleukin-8-Dependent Activation of
- 12 Stromal Fibroblasts. *Mol Cell Biol.* 2019; **39**(2):e00359-18.
- 13 9. Karki R, Kanneganti TD. Diverging inflammasome signals in tumorigenesis and
- 14 potential targeting. *Nat Rev Cancer.* 2019; **19**(4):197-214.
- 15 10. Yu S, Yin JJ, Miao JX, Li SG, Huang CZ, Huang N, *et al.* Activation of NLRP3
- 16 inflammasome promotes the proliferation and migration of esophageal squamous
- 17 cell carcinoma. *Oncol Rep.* 2020; **43**(4):1113-1124.

- 1 11. Ershaid N, Sharon Y, Doron H, Raz Y, Shani O, Cohen N, *et al.* NLRP3  
2 inflammasome in fibroblasts links tissue damage with inflammation in breast  
3 cancer progression and metastasis. *Nat Commun.* 2019; **10**(1):4375.
- 4 12. Morine Y, Imura S, Ikemoto T, Iwahashi S, Saito Y, Shimada M. CD44  
5 Expression Is a Prognostic Factor in Patients with Intrahepatic  
6 Cholangiocarcinoma After Surgical Resection. *Anticancer Res.* 2017;  
7 **37**:5701-5705.
- 8 13. Nakagawa M, Karim MR, Izawa T, Kuwamura M, Yamate J. Immunophenotypical  
9 Characterization of M1/M2 Macrophages and Lymphocytes in Cisplatin-Induced  
10 Rat Progressive Renal Fibrosis. *Cells.* 2021; **10**(2):257.
- 11 14. Ogawa H, Azuma M, Uehara H, Takahashi T, Nishioka Y, Sone S, *et al.* Nerve  
12 growth factor derived from bronchial epithelium after chronic mite antigen  
13 exposure contributes to airway hyperresponsiveness by inducing hyperinnervation,  
14 and is inhibited by *in vivo* siRNA. *Clin Exp Allergy.* 2012; **42**(3):460-470.
- 15 15. Xue J, Sharma V, Hsieh MH, Chawla A, Murali R, Pandol SJ, *et al.*  
16 Alternatively activated macrophages promote pancreatic fibrosis in chronic  
17 pancreatitis. *Nat Commun.* 2015; **6**:7158.

- 1 16. Kawaguchi M, Takahashi M, Hata T, Kashima Y, Usui F, Morimoto H, *et al.*  
2 Inflammasome activation of cardiac fibroblasts is essential for myocardial  
3 ischemia/reperfusion injury. *Circulation*. 2011; **123**(6):594-604.
- 4 17. Artlett CM. The Role of the NLRP3 Inflammasome in Fibrosis. *Open Rheumatol*  
5 *J.* 2012; **6**:80-6.
- 6 18. Son HJ, Sohn SH, Kim N, Lee HN, Lee SM, Nam RH, *et al.* Effect of Estradiol  
7 in an Azoxymethane/Dextran Sulfate Sodium-Treated Mouse Model of Colorectal  
8 Cancer: Implication for Sex Difference in Colorectal Cancer Development. *Cancer*  
9 *Res Treat*. 2019; **51**(2):632-648.
- 10 19. Funabiki T, Matsubara T, Miyakawa S, Ishihara S. Pancreaticobiliary maljunction  
11 and carcinogenesis to biliary and pancreatic malignancy. *Langenbecks Arch Surg*.  
12 2009; **394**(1):159-69.
- 13 20. Tanno S, Obara T, Fujii T, Mizukami Y, Shudo R, Nishino N, *et al.* Proliferative  
14 potential and K-ras mutation in epithelial hyperplasia of the gallbladder in patients  
15 with anomalous pancreaticobiliary ductal union. *Cancer*. 1998; **83**(2):267-75.
- 16 21. Zhang Q, Wang H, Mao C, Sun M, Dominah G, Chen L, *et al.* Fatty acid  
17 oxidation contributes to IL-1 $\beta$  secretion in M2 macrophages and promotes

- 1 macrophage-mediated tumor cell migration. *Mol Immunol.* 2018; **94**:27-35.
- 2 22. Alyaseer AAA, de Lima MHS, Braga TT . The Role of NLRP3 Inflammasome  
3 Activation in the Epithelial to Mesenchymal Transition Process During the  
4 Fibrosis. *Front Immunol.* 2020; **11**:883.
- 5 23. Guo B, Fu S, Zhang J, Liu B, Li Z. Targeting inflammasome/IL-1 pathways for  
6 cancer immunotherapy. *Sci Rep.* 2016; **6**:36107.
- 7 24. Kayagaki N, Dixit VM. Rescue from a fiery death: A therapeutic endeavor.  
8 *Science.* 2019; **366**(6466):688-689.
- 9 25. Shimizu R, Kanno K, Sugiyama A, Ohata H, Araki A, Kishikawa N, *et al.*  
10 Cholangiocyte senescence caused by lysophosphatidylcholine as a potential  
11 implication in carcinogenesis. *J Hepatobiliary Pancreat Sci.* 2015; **22**(9):675-82.
- 12 26. Mori H, Morine Y, Mawatari K, Chiba A, Yamada S, Saito Y, *et al.* Bile  
13 Metabolites and Risk of Carcinogenesis in Patients With Pancreaticobiliary  
14 Maljunction: A Pilot Study. *Anticancer Res.* 2021; **41**(1):327-334.

15 **FIGURE LEGENDS**

16 **Figure 1.** Immunostaining staining of  $\alpha$ SMA in the lamina propria of the gallbladder.

1 Spindle-shaped cells positive for  $\alpha$ SMA were seen in the lamina propria of the  
2 gallbladder of patients with PBM ( $\times 400$  magnification). Conversely, few  
3 positively stained cells were detected in the lamina propria of the gallbladder  
4 in the control group.

5 PBM: pancreaticobiliary maljunction

6

7 **Figure 2.** Dual immunofluorescence of CD204 and CD68 in gallbladder tissue.

8 Round cells positive for CD204/68 were found in the lamina propria of the  
9 gallbladder in patients with PBM. Conversely, few positively stained cells were  
10 detected in the lamina propria of the gallbladder in the control and cholecystitis  
11 groups.

12 Arrow: CD204/68 double-positive cell, PBM: pancreaticobiliary maljunction

13

14 **Figure 3.** Quantification of immunohistochemical staining.

15 (a)  $\alpha$ SMA, (b) CD204, (c) CD68, and (d) ratio of CD204/CD68 expression.

16 \*P < 0.05, GB: gallbladder, PBM: pancreaticobiliary maljunction

17

1 **Figure 4.** Immunostaining for NLRP3 and caspase 1 in gallbladder tissue.

2 (a) NLRP3 (400× magnification). (b) Caspase 1 (400× magnification).

3 Macrophage-like round cells are shown by black arrows and fibroblast-like

4 spindle cells by arrows. NLRP3- and caspase 1-positive activated fibroblasts

5 (arrowhead) were distributed immediately beneath the relative

6 molecule-positive epithelium.

7 NLRP3: Nod-like receptor pyrin domain containing 3

8

9 **Figure 5.** Quantification of immunohistochemical staining for NLRP3 and caspase 1 in

10 the epithelium and stroma.

11 (a) NLRP3 (H-score) and (b) caspase 1 (H-score) in the epithelium. (c) NLRP3

12 (cell number) and (d) caspase 1 (cell number) in the stroma.

13 \*P < 0.05, GB: gallbladder, PBM: pancreaticobiliary maljunction, NLRP3:

14 Nod-like receptor pyrin domain containing 3

15

16 **Figure 6.** Distribution of NLRP3 and caspase 1-positive stromal cells in PBM.

17 (a) NLRP3. (b) Caspase 1.

1 +1 and 2 are intensity scores in the H-score evaluation.

2 NLRP3: Nod-like receptor pyrin domain containing 3, PBM: pancreaticobiliary

3 maljunction

4

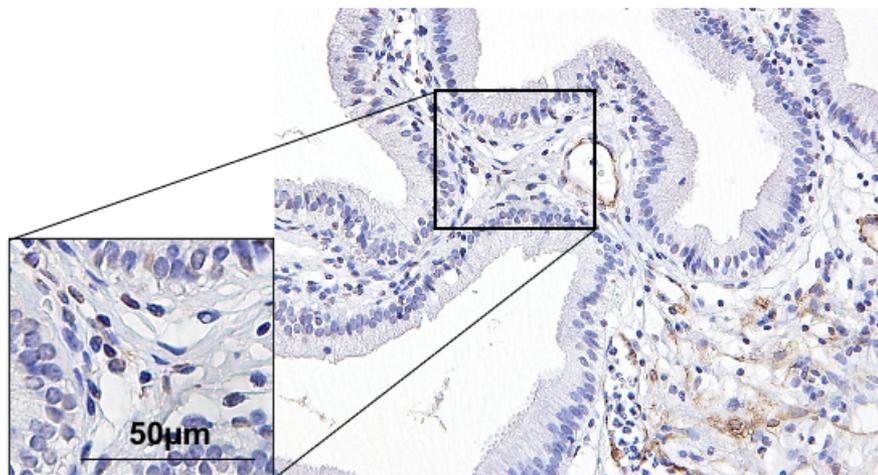
5 **Figure 7.** Dual immunofluorescence staining of gallbladder tissue in PBM.

6 (a) NLRP3 (green) and  $\alpha$ SMA (red). (b) Caspase 1 (green) and  $\alpha$ SMA (red).

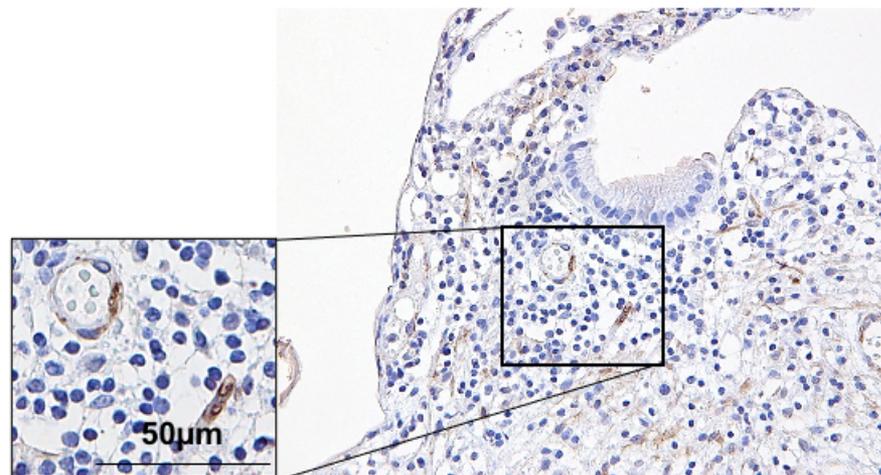
7 Arrows indicate NLRP3- or caspase 1-positive activated fibroblasts.

8 NLRP3: Nod-like receptor pyrin domain containing 3.

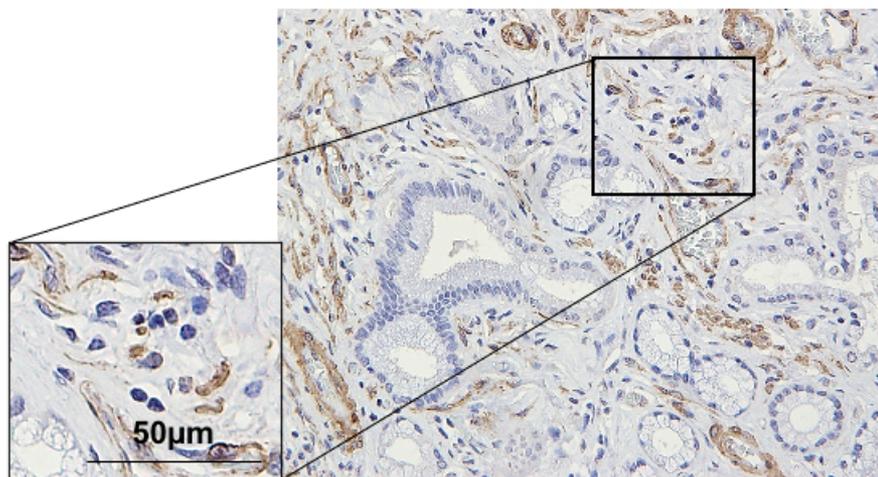
**Control**



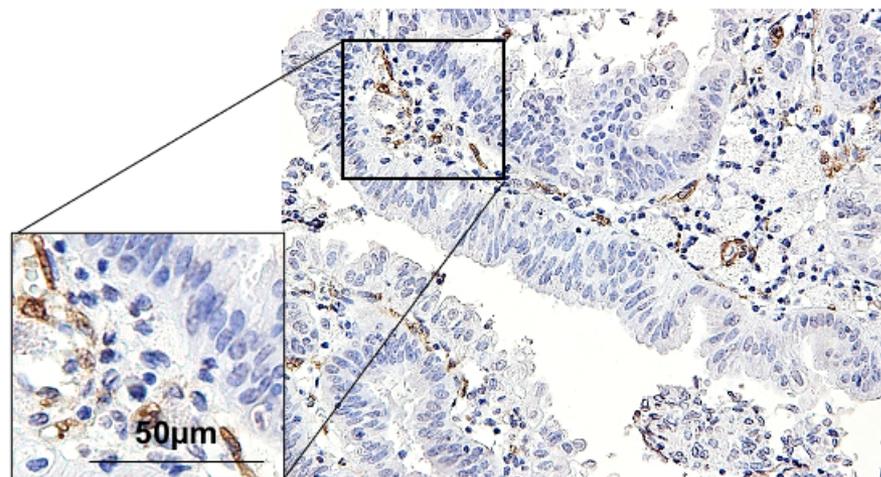
**Cholecystitis**



**PBM**



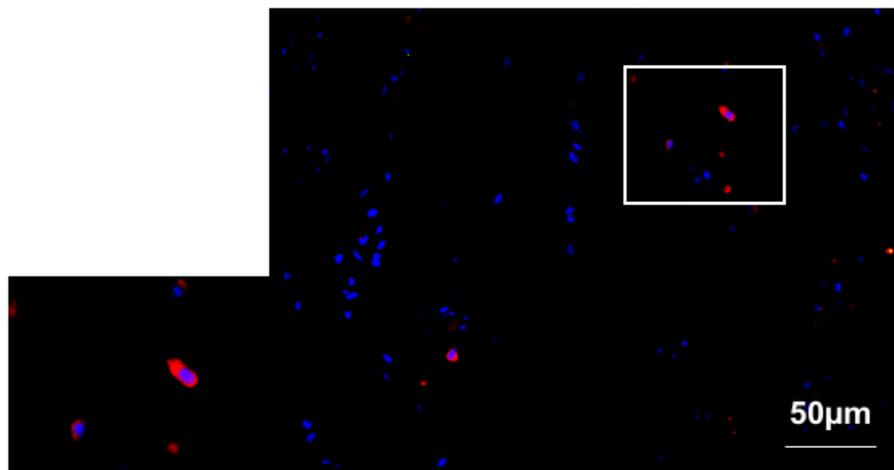
**Gallbladder ca. (with PBM)**



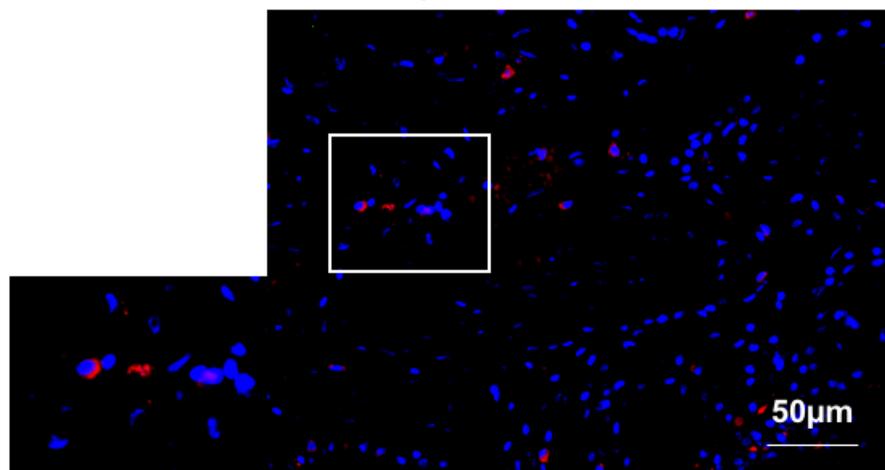
**Cancer tissue**

**Figure 1**

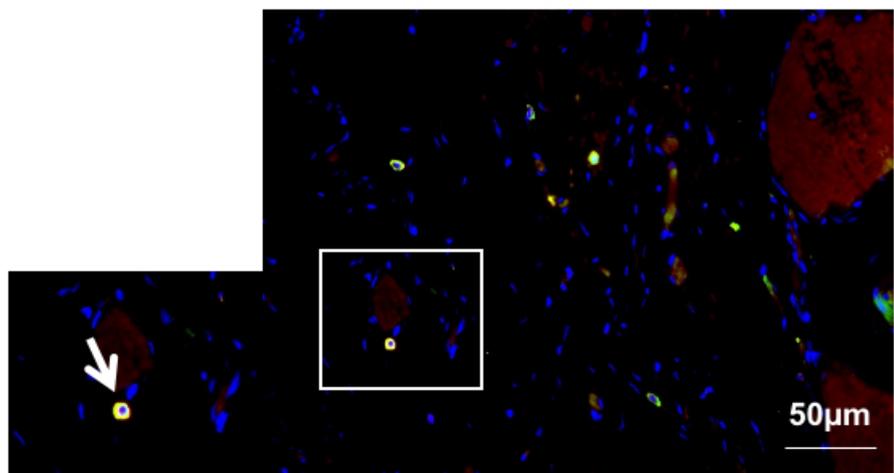
**Control**



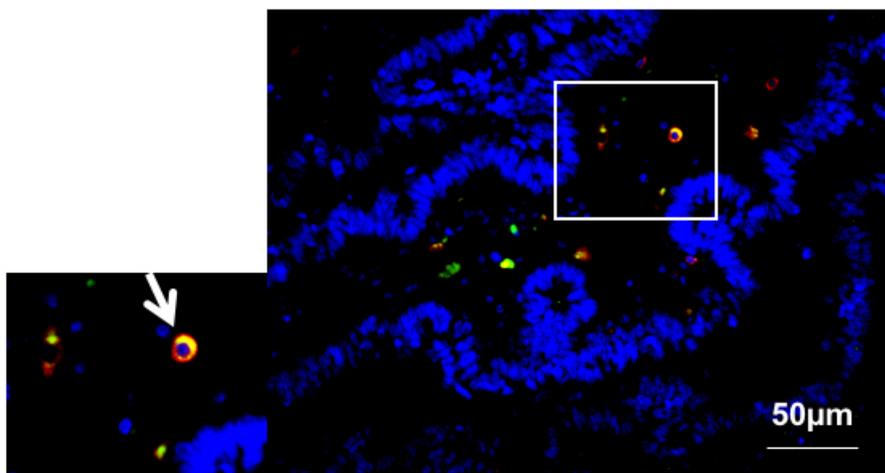
**Cholecystitis**



**PBM**

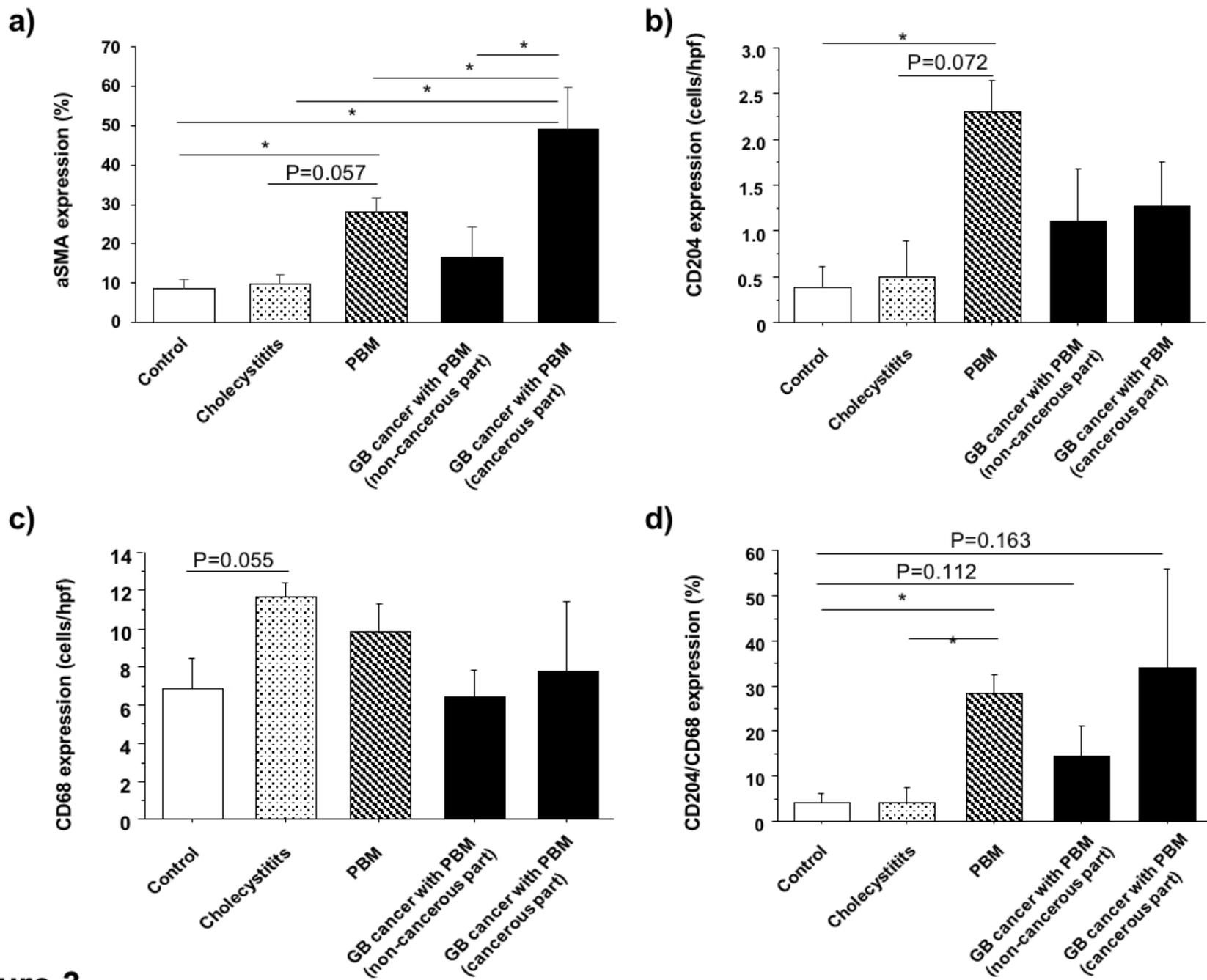


**Gallbladder ca. (with PBM)**

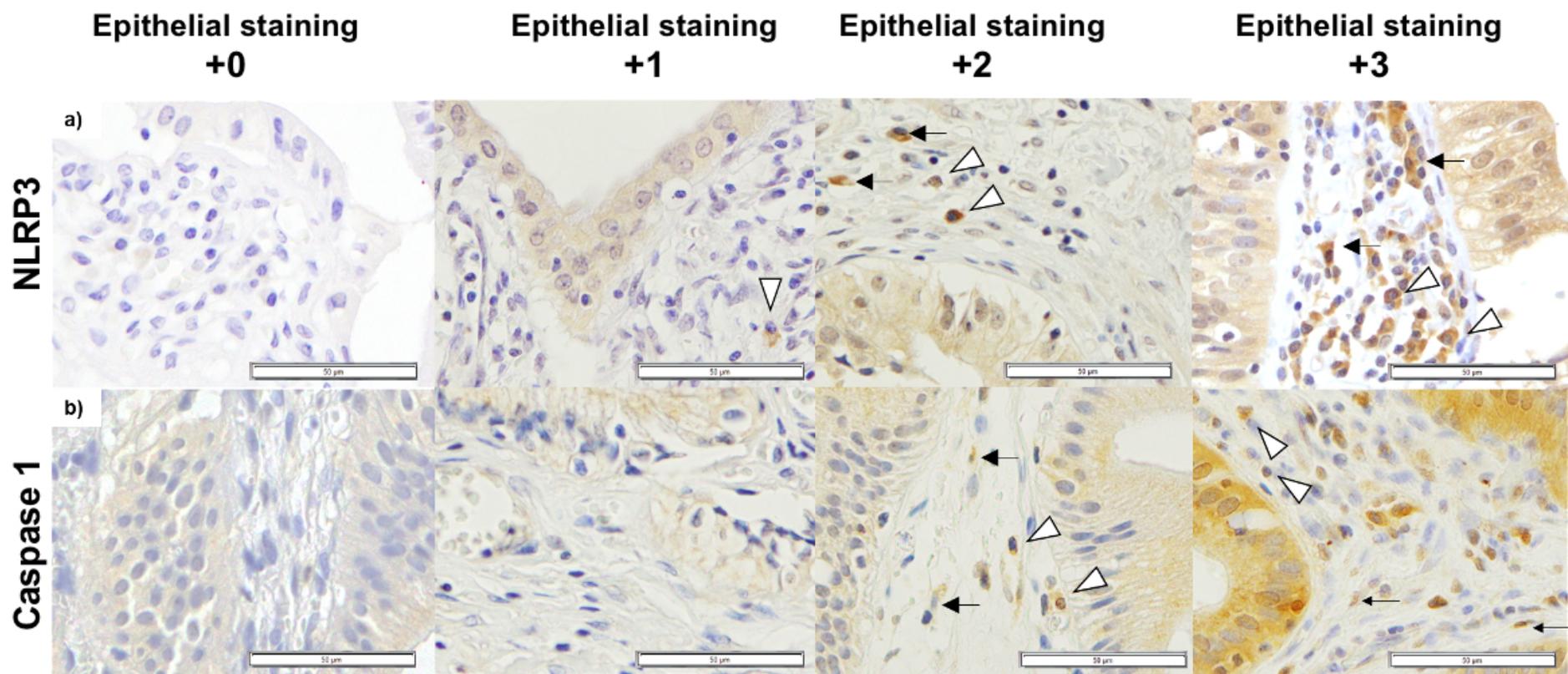


**Cancer tissue**

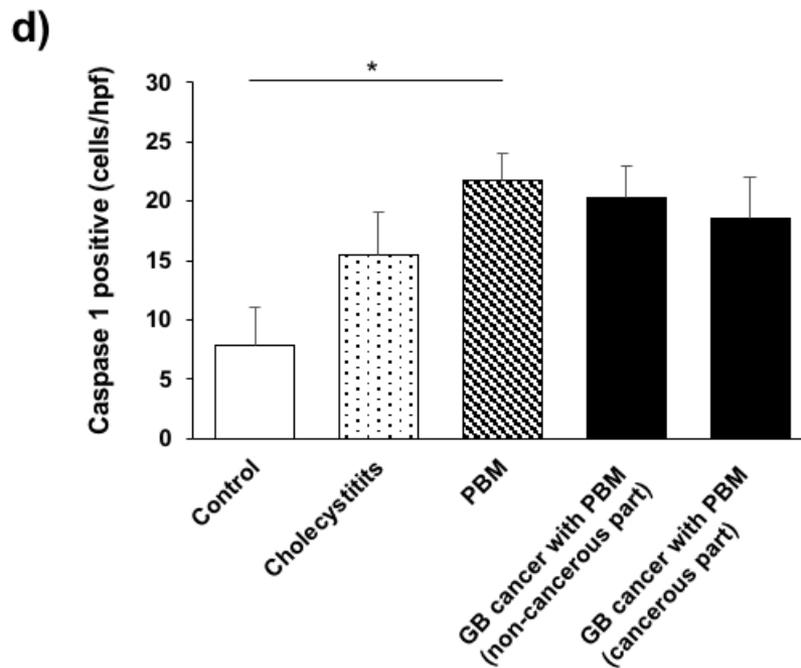
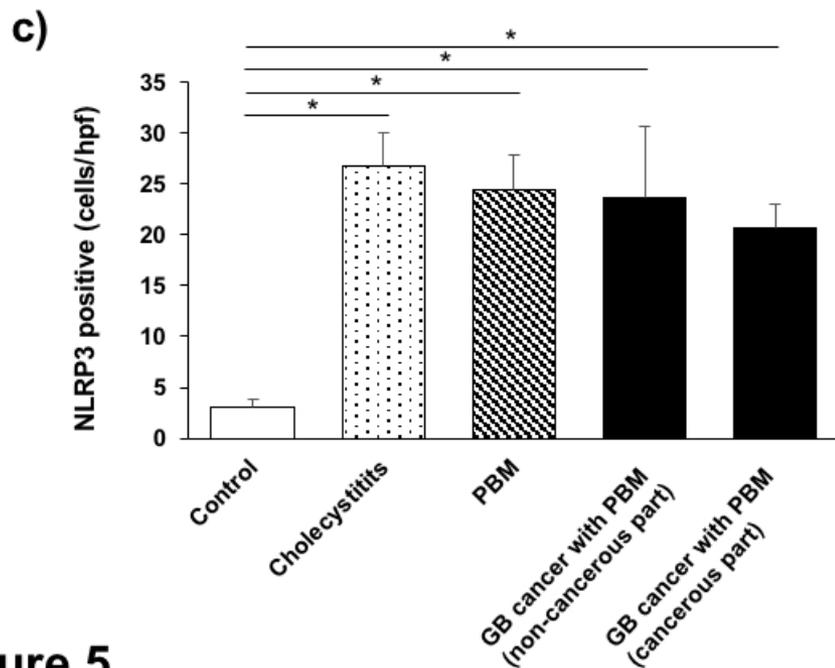
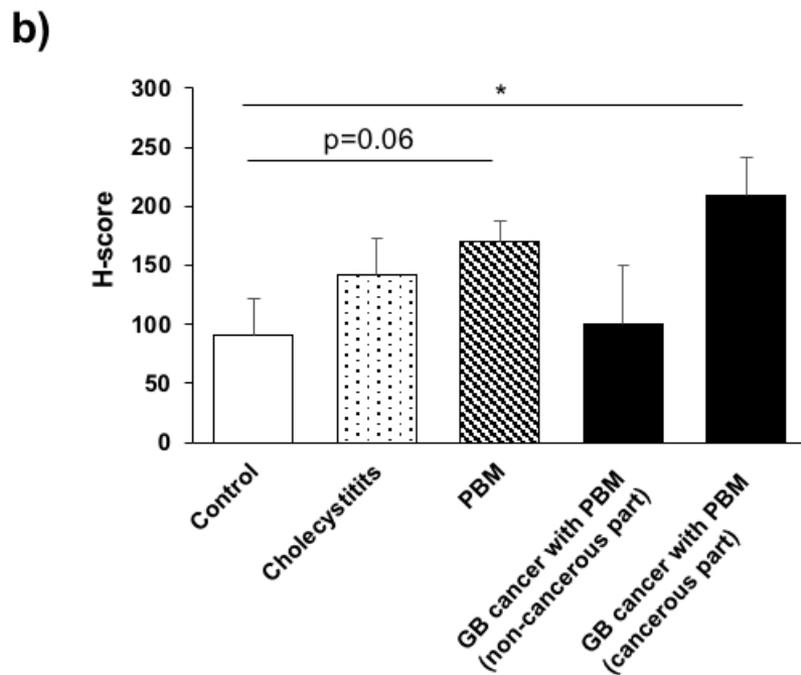
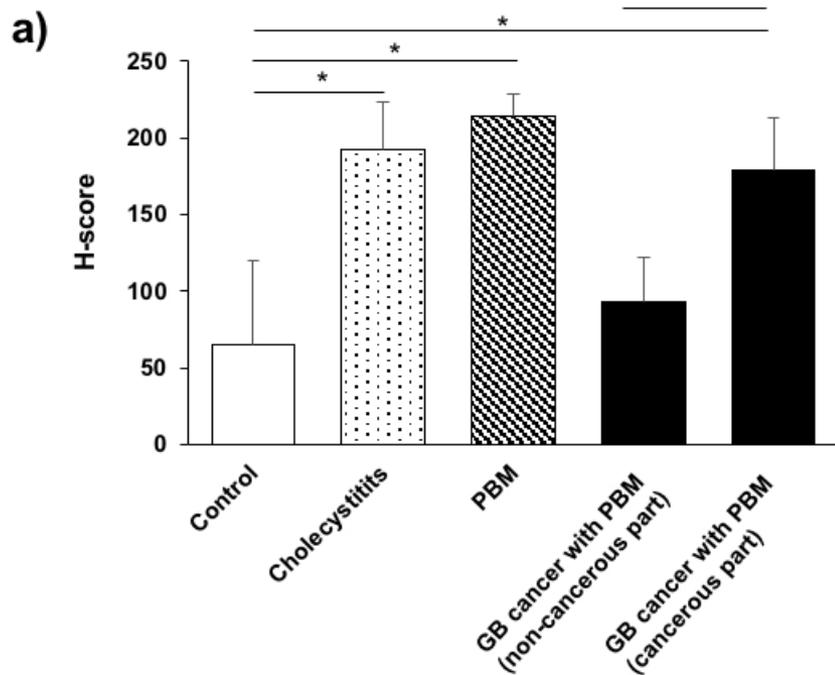
**Figure 2**



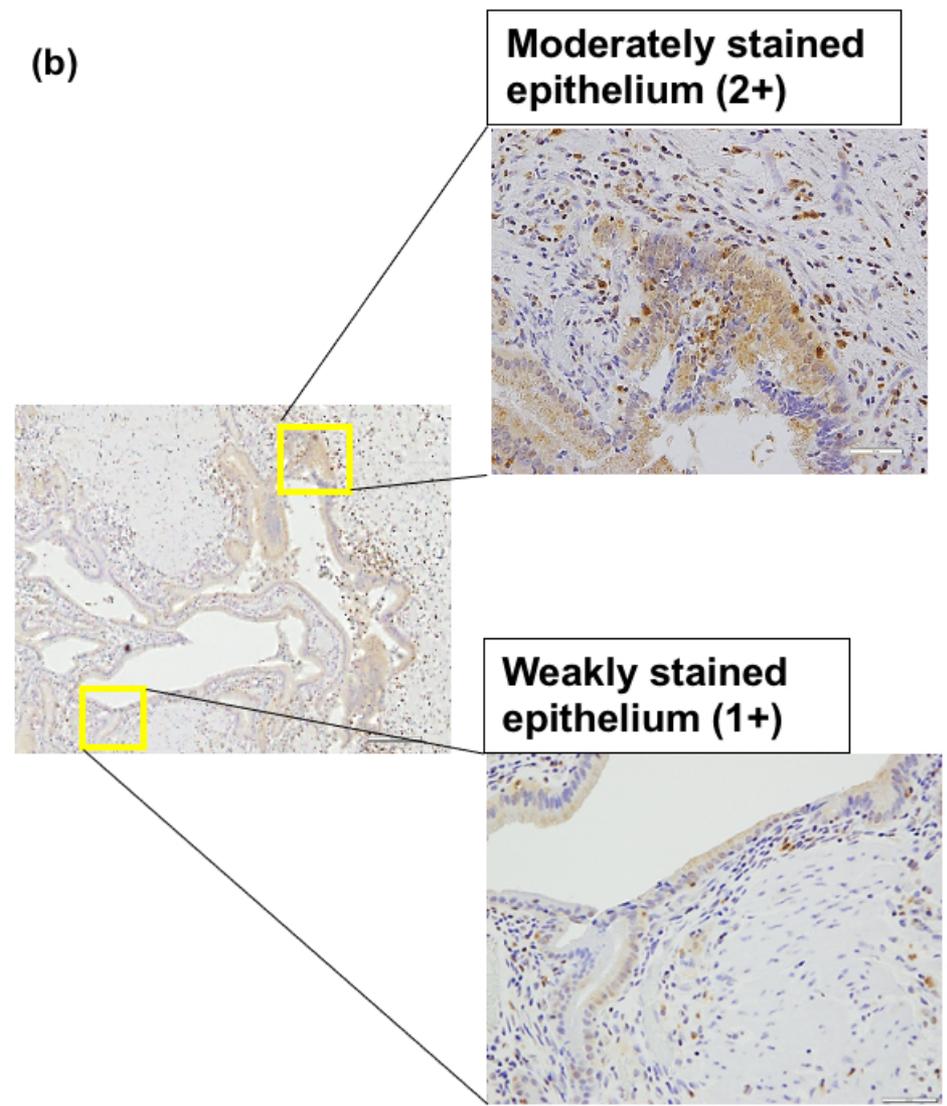
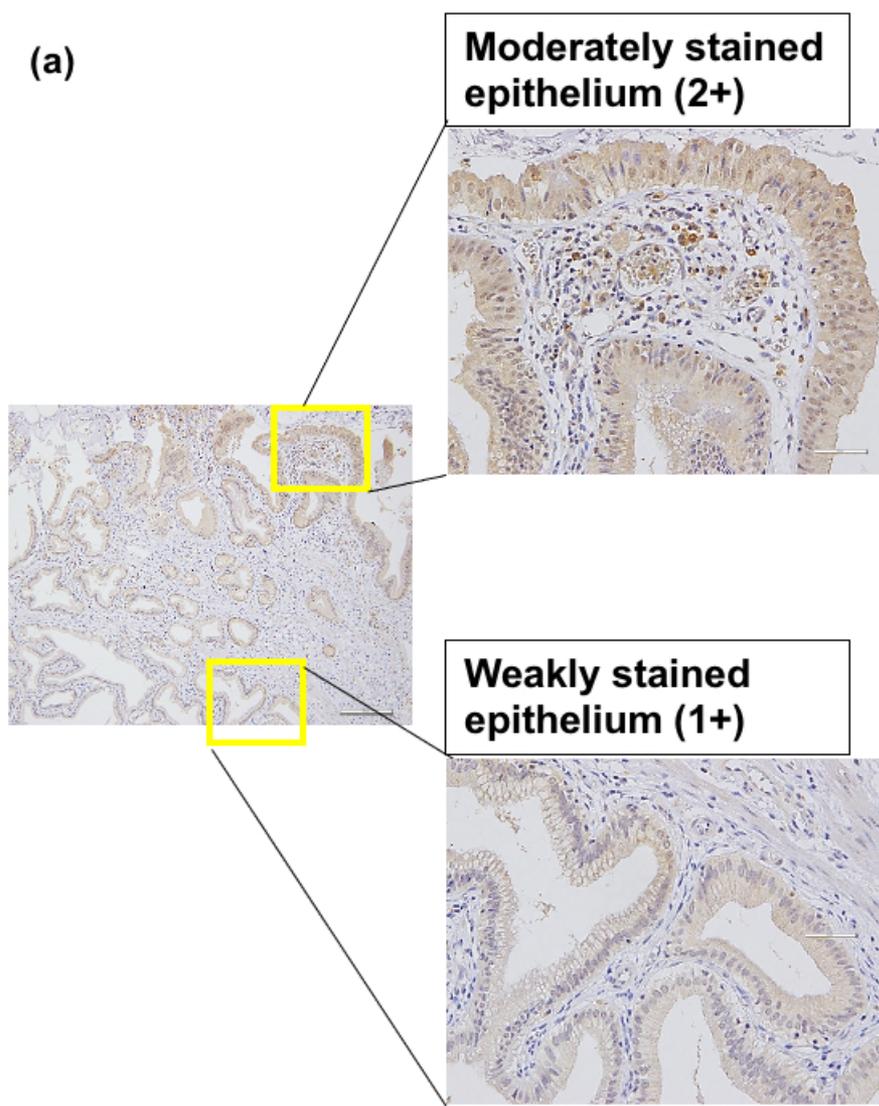
**Figure 3**



**Figure 4**

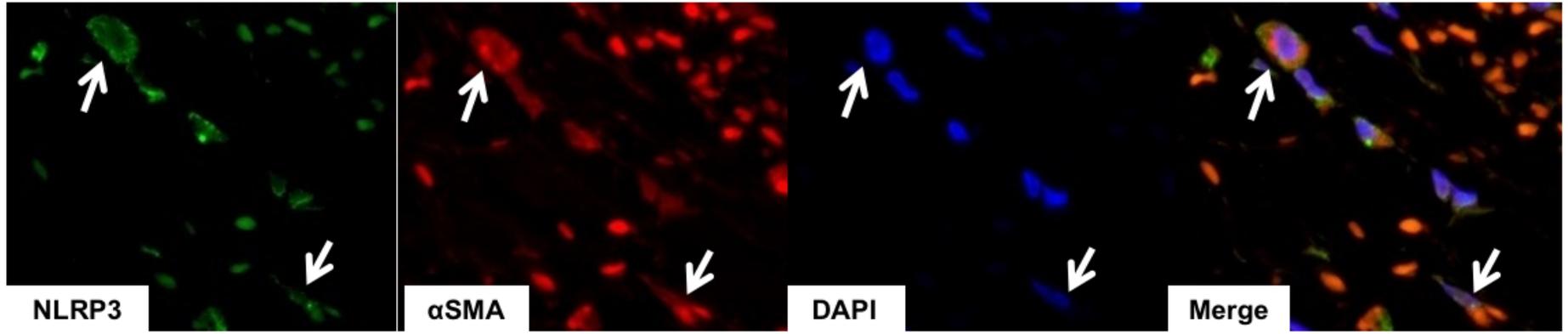


**Figure 5**



**Figure 6**

a)



b)

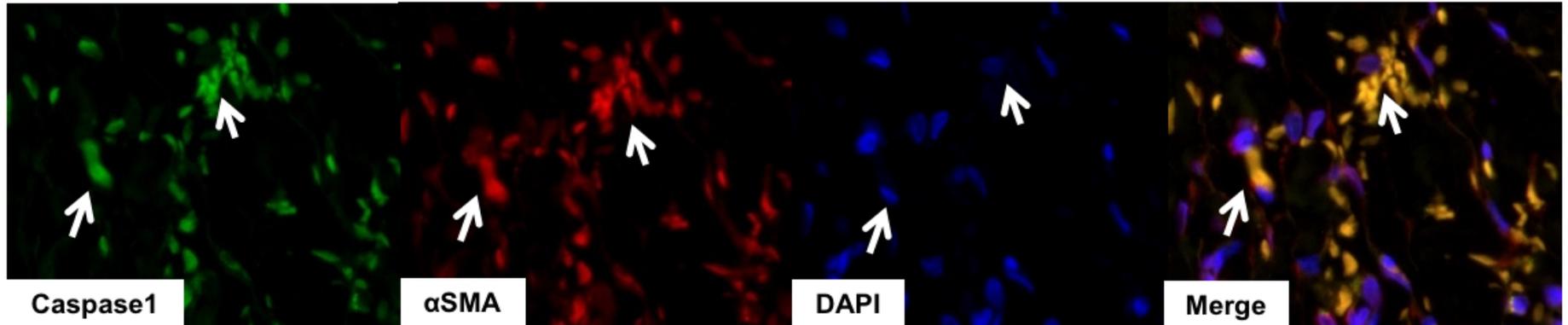


Figure 7