



OPEN

## High growth temperature for AlN by jet stream gas flow metalorganic vapor phase epitaxy

Kentaro Nagamatsu<sup>1,2</sup>, Takumi Miyagawa<sup>2</sup>, Atsushi Tomita<sup>2</sup>, Hideki Hirayama<sup>1,3</sup>, Yuusuke Takashima<sup>2</sup> & Yoshiki Naoi<sup>1,2</sup>

Deep ultraviolet light-emitting diodes have attracted considerable attention for realizing virus inactivation applications. The UV-LEDs use the AlN underlying layer and the plane sapphire substrate. However, the low growth temperature in AlN underlying layer is grown by limited growth temperature in conventional MOVPE, and high temperature is preferable for AlN growth. Furthermore, the AlN underlying layer has many dislocations owing to the active layer in the device region when the flat sapphire substrate was used with a dislocation value of  $>10^9 \text{ cm}^{-2}$ . We showed the high-temperature crystal growth of AlN with a temperature of 1700 °C by high temperature and gas flow velocity MOVPE. The achieved dislocation density was  $\sim 4 \times 10^8 \text{ cm}^{-2}$ . Additionally, this data means the low dislocation densities in the AlN layer with a growth time of only 15 min and a dislocation density of  $<1 \times 10^9 \text{ cm}^{-2}$  are obtained. The AlN growth temperature exceeding 1550 °C decreases the growth rate. These results indicate desorption from the surface of the substrate in a hydrogen atmosphere. Furthermore, the characteristic dislocation behavior of AlN in high-temperature growth at 1700 °C was elucidated from TEM images.

AlGaN-based ultraviolet LEDs in the UVC region have attracted interest for viral inactivation and sterilizing applications, which are expected to be the largest market in UV-LEDs<sup>1,2</sup>. Recently, numerous groups found an inactivation impact for SARS-CoV-2; the LEDs are anticipated to increase demand<sup>3-5</sup>. However, the cooling systems are vital for heat generation from LEDs because the LED efficiency in the UVC region is very low compared with that of the visible region<sup>2,6-8</sup>. Therefore, the major advantages of LEDs' compact size have been compromised. Furthermore, nonradiative recombination, which is impacted by dislocation, is a cause of heat generation<sup>9,10</sup>. Most dislocations are through the active layer in devices without generating loops for each other due to incomplete growth conditions in the AlN underlying layer<sup>11,12</sup>. Therefore, many institutes have proposed several approaches to enhance the crystalline quality of AlN underlying layers, including migration enhancement growth, ammonia pulse growth, and low-ammonia supply growth<sup>13-17</sup>. The migration enhancement growth is efficient for minimizing parasitic reactions; however, a high growth rate is challenging in principles<sup>15,18,19</sup>. Nevertheless, ammonia pulse growth and low-ammonia supply growth have a trade-off between parasitic reactions and growth temperature, which is influenced by the growth conditions selected<sup>11,14,16</sup>. Additionally, the previously found AlN growth is low growth temperature for AlN material, and it is not verified as reducing the growth rate by typical desorption as observed in other materials<sup>20</sup>. Meanwhile, Miyake et al. reported low dislocation AlN growth by the sputter-anneal method, which method realized high-temperature with the temperature of 1700 °C by separating in AlN deposition and crystallization<sup>21,22</sup>. However, this approach cannot be continuously grown in the device structure and requires renewal by eliminating it from the chamber. Recently, our group developed a growth approach with a low-parasitic reaction at a temperature of 1500 °C by metal-organic vapor phase epitaxy (MOVPE) imitating jet gas stream<sup>23</sup>.

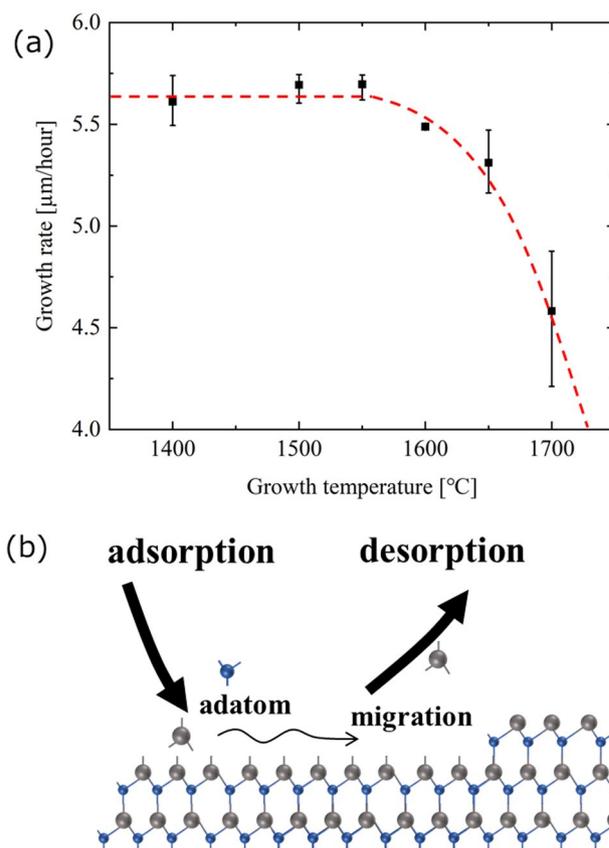
This study evaluated high-temperature growth in AlN by Jet stream gas flow MOVPE. Furthermore, the dislocation behavior of the grown AlN was analyzed by Transmission Electron Microscope (TEM).

<sup>1</sup>Institute of Post-LED Photonics, Tokushima University, 2-1 Minami-Josanjima, Tokushima 770-8506, Japan. <sup>2</sup>Graduate School of Advanced Technology and Science, Tokushima University, 2-1 Minami-Josanjima, Tokushima 770-8506, Japan. <sup>3</sup>Institute of Physical and Chemical Research, RIKEN, Wako 351-0198, Japan. ✉email: nagamatsu@tokushima-u.ac.jp

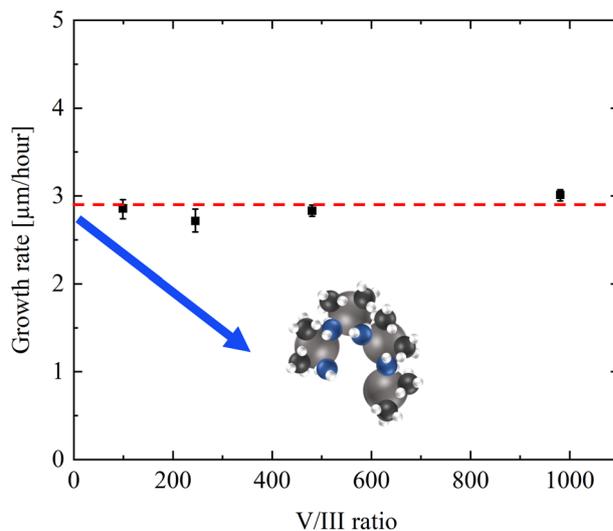
## Results and discussion

**The realization of high temperature for AlN growth by MOVPE.** To realize high temperatures for AlN growth on the c-plane sapphire substrate, we used Jet stream gas flow MOVPE<sup>23</sup>. Firstly, optimized growth temperature in AlN was explored. AlN was grown at different growth temperatures from 1500 to 1700 °C following the grown AlN buffer layer. Trimethyl aluminum (TMA) and ammonia were used as the precursors. The TMA flow rate was 209  $\mu\text{mol}/\text{min}$ , and the V/III ratio is 213 during the AlN layer growth. The AlN growth in 1400 °C is used 2-step growth after obtaining a step flow surface with the growth temperature of 1500 °C for surface morphology. Figure 1(a) shows the AlN growth rate as a function of growth temperature. From 1550 °C, the growth rate decreased with increasing temperature despite nearly a similar growth rate with less than 1550 °C. This finding can be elucidated by two phenomena shown below. Rising temperatures cause a parasitic reaction in the vapor phase, which is a phenomena<sup>23–27</sup>. The TMA raw material may accelerate the reaction with ammonia during transit by increasing the growth temperature<sup>28</sup>. Subsequently, these reactions are not contributed to an AlN growth in case of the formation of polymerization<sup>23,24</sup>. Moreover, the other one is the desorption of the Al adatom. During crystal development, the adatom undergoes adsorption and migration is integrated at the atomic step layer in the nearby solid state<sup>29</sup>. Additionally, the adatom is deserted if the surface energy is higher than that (Fig. 1b)<sup>30</sup>. Generally, crystal growth is preferred closer to an equilibrium condition due to superior crystalline quality, albeit the growth rate becomes slightly slower. Therefore, the influence of the parasitic reaction on AlN growth at 1700 °C was evaluated. If the growth rate affects the parasitic response, the higher V/III ratio is more vulnerable to the parasitic effect<sup>28,31</sup>. Subsequently, the TMA supply decreased to 91  $\mu\text{mol}/\text{min}$ , and the V/III ratio was widely investigated with several ammonia flow rates. Therefore, the growth rate is confirmed not to decrease as the V/III ratio from 98 to 980 (Fig. 2). These results show that the growth method is stable with the unaffected parasitic reaction at 1700 °C. Hence, the decreased AlN growth rate over 1600 °C is indicated by the desorption of adatom on the surface. Additionally, the desorption starting temperature result is almost the same value as the previous report by calculations<sup>32,33</sup>.

**The analyzed dislocation densities and behavior of dislocations in high temperature AlN growth.** As shown in Figs. 1 and 2, the high temperature for the AlN is achieved by MOVPE at 1700 °C. Subsequently, the efficiency of reducing dislocation density is investigated with AlN growth at 1700 °C. The AlN buffer layer is grown at 300 °C, and the 1st AlN layer is grown at a temperature of 1700 °C for 15 min. The



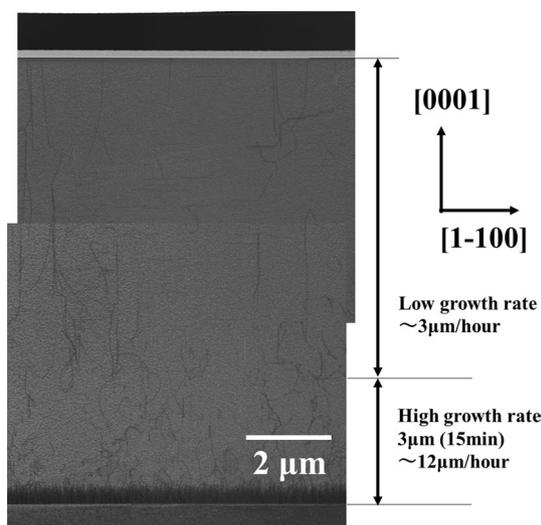
**Figure 1.** The dependences on growth temperature for the growth rate. (a) The average AlN growth rate with several growth temperatures at in-plane 5-point data. The error bars in each data are maximum and minimum data. The data of 1700 °C is obtained from the 3 points without 0 (center) and 5 mm points. (b) The schematic image of crystal growth in the vicinity of the surface.



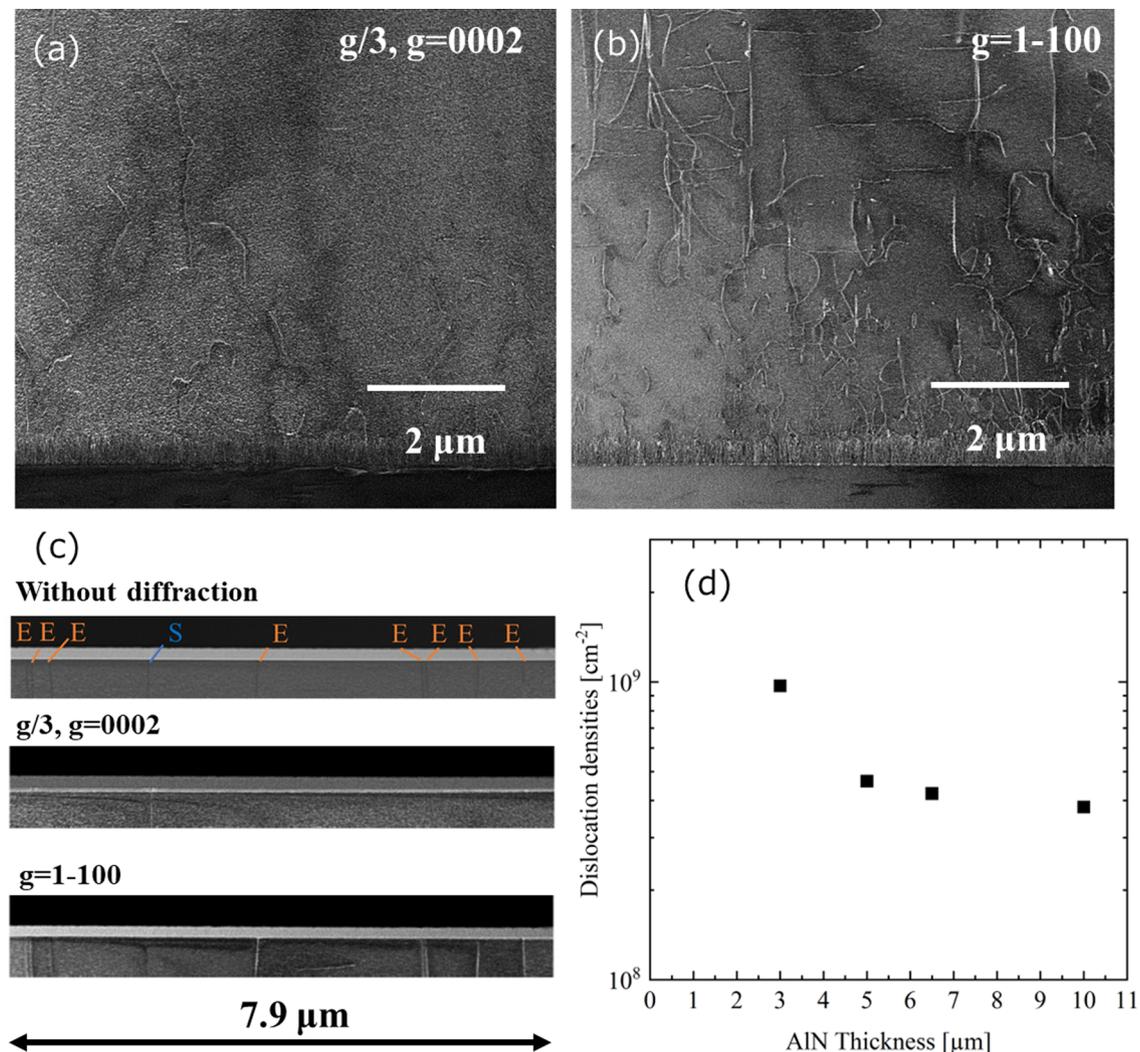
**Figure 2.** The AlN growth rate as the function of the V/III ratio: The growth rate decreases when the parasitic reaction in the vapor phase, including in the blue arrow. These data indicate the AlN growth at 1700 °C is unaffected by the parasitic response in this experiment.

thickness of the buffer layer is assumed to be about 10 nm by the growth time from the same growth condition thick layer. The 2nd AlN layer is grown at 1700 °C for 150 min. The TMA flow rates of the 1st and 2nd AlN layers are 341 and 91  $\mu\text{mol}/\text{min}$ , respectively. Figure 3 shows a cross-sectional TEM image at 1700 °C grown AlN on a *c*-plane sapphire substrate. The images are superimposed on two pictures to indicate the overall structure because the AlN thickness was approximately 10  $\mu\text{m}$ . Several dislocations were clearly reduced at initial 300 nm. Moreover, the dislocations also seemed active to be crooked from 300 nm to 5  $\mu\text{m}$ . Accordingly, the dislocation density is reduced by the rising temperature at 1700 °C. For detail discussion, dislocations were measured under two-beam conditions with  $g/3$ ,  $g = [0002]$  (Fig. 4a), and  $g = [1-100]$  (Fig. 4b) by dark-field TEM images. These dislocations in the  $g$  vector axis  $[0002]$  are few compared with the  $g$  vector axis  $[1-100]$ . Additionally, both types of dislocation were found to be well flexed. Particularly, the dislocation of the upper side over 3  $\mu\text{m}$  with the low growth rate region is verified to grow the *m*-axis.

Specifically, the dislocation is counted for each diffraction axis from the TEM image to observe dislocation densities, as shown in Fig. 4(c). This sample's thickness at the depth direction is processed at 300 nm for measuring TEM images. The edge and screw type dislocations are  $3.4 \times 10^8$  and  $4.2 \times 10^7 \text{ cm}^{-2}$ , respectively. From the result, the total threading dislocation density in the top surface of AlN is  $3.8 \times 10^8 \text{ cm}^{-2}$ . Due to understanding dislocation information as the depth axis, the dislocation densities were measured at several thicknesses of 3,



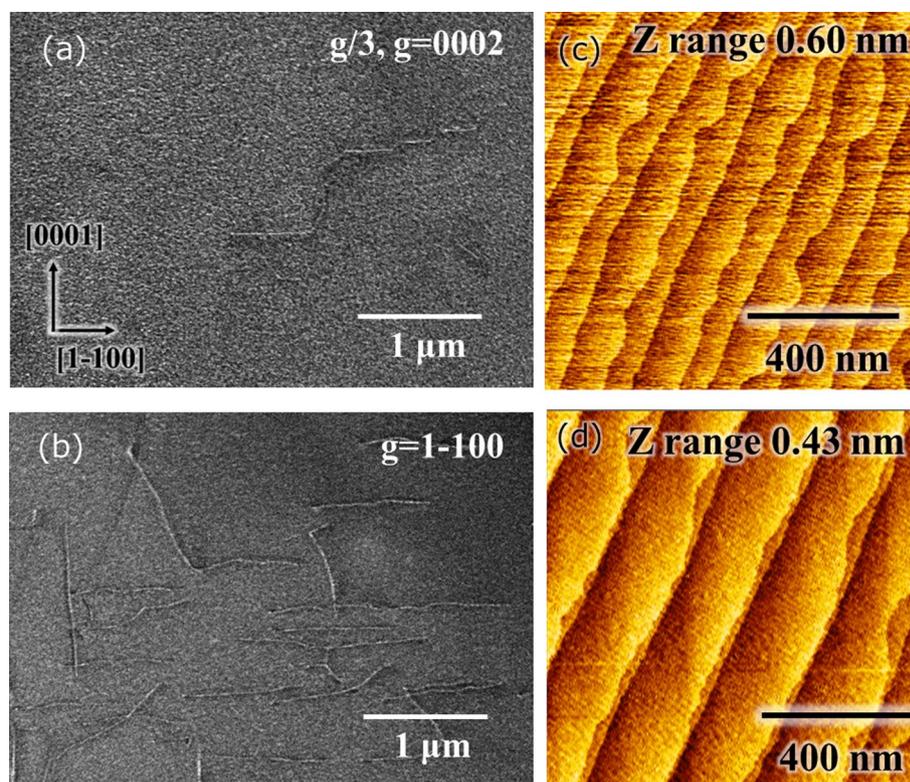
**Figure 3.** The cross-sectional TEM image from sapphire to AlN top surface at bright field overall. The depth and width sizes are 300 nm and 7.9  $\mu\text{m}$ , respectively, in each picture. From the sapphire, the initial 3  $\mu\text{m}$  and from that until the surface are different growth conditions at the high and low growth rates.



**Figure 4.** The measurement of the dislocation densities by cross-sectional TEM images under two-beam conditions at weak excitation. (a) The cross-sectional TEM image at the dark field with the  $g/3$  and  $g=[0002]$  axis. (b) The cross-sectional TEM image at the dark field with the  $g=[1-100]$  axis. The TEM images in (a) and (b) are measured in the same regions. The measurement volume is  $7.9 \mu\text{m} \times 300 \text{nm} \times 7.2 \mu\text{m}$ , respectively. (c) The analysis method in dislocation densities and the dislocation type. This TEM image is focused on the close to the surface region. (d) The total dislocation density including the screw, edge, and mixed dislocation profiles from the TEM images.

5, and  $6.5 \mu\text{m}$  in Fig. 4(d) at the same as Fig. 4(c). The dislocation density decreased as the growth thickness increased. The data of  $10 \mu\text{m}$  is the top surface of AlN. From the finding, the dislocation density of growth time at only 15 min is less than  $10^9 \text{cm}^{-2}$  from the value of  $3 \mu\text{m}$ . In addition, the full width at half maximum by XRC 0002 and 10–12 in this sample were 133 and 163 arcseconds, respectively. The XRC result is also indicated in the first half of  $10^8 \text{cm}^{-2}$ .

As shown in Fig. 4(b), there is a moving dislocation for the in-plane axis for the high-temperature growth at  $1700 \text{ }^\circ\text{C}$ , and there is a possibility of a basic technique for the reduction of the dislocation. Therefore, the characteristic region of the TEM dark-field image shown in Fig. 5(a, b) with  $g/3$ ,  $g=[0002]$  and  $g=[1-100]$ , respectively. Because the  $m$ -axis dislocation length is micron level, and the TEM sample thickness at the depth axis is  $300 \text{nm}$ , both types of the dislocations corresponding to burgers vectors visibly develop along the  $m$ -axis. According to the previous research, coupled grain by tiny grain at 3-dimensional growth in AlN growth is derived decrease of dislocation<sup>31</sup>; the dislocation behavior in high-temperature growth is regarded as characteristic in this study. Figure 5(c) indicates the atomic force microscopy (AFM) image in case of taking-off from the reactor after AlN growth at an initial  $3 \mu\text{m}$  by high growth rate. The surface is atomically flat, and an atomic step as one monolayer of AlN is observed. There are two types of steps with a high amount of energy in step edge<sup>30,34</sup>, namely the zigzag and linear steps (Fig. 5c). Figure 5(d) shows an AFM image of the AlN surface after  $10 \mu\text{m}$  growth, as shown in Fig. 3. The linearly diatomic layer step is confirmed by the growing zigzag type step. These findings in this study show that a growth temperature of  $1700 \text{ }^\circ\text{C}$  with a clarified temperature of adatom desorption for AlN growth is unique in the reducing dislocations, surface morphology, and growth mode.



**Figure 5.** The TEM images focus on the dislocation in grow m-axis and the AFM images. (a) The cross-sectional TEM image of a dark field with the  $g/3$ ,  $g=[0002]$  (b) The cross-sectional TEM image of a dark field with the  $g=[1-100]$  (c) AFM image in case of taking-off from the reactor after AlN growth at initial three  $\mu\text{m}$  by the high growth rate. The AFM image is a different sample from TEM images; the growth condition is the same as the initial 3  $\mu\text{m}$ . (d) AFM image in AlN top surface. This image is the same sample as TEM images. The measurement areas of these AFM images are 1  $\mu\text{m}^2$ .

## Summary

In conclusion, a high-quality AlN layer is proven to develop on the c-plane sapphire at a high temperature of 1700 °C via MOVPE with the parasitic interactions between TMA and ammonia resolved. Additionally, the desorption of adatom is verified over 1550 °C, which is considered crucial for crystal growth on MOVPE growth in AlN. Furthermore, the low dislocation density in AlN at only a quarter-hour is realized with a dislocation density of less than  $1 \times 10^9 \text{ cm}^{-2}$ . However, the dislocation grew along the m-axis at a low growth rate region of 1700 °C. Therefore, the dislocation density in increased AlN at 10  $\mu\text{m}$  is obtained at approximately  $4 \times 10^8 \text{ cm}^{-2}$ .

## Method

The MOVPE system reported in a previous study<sup>23</sup> was used. The TMA and ammonia were used as aluminum and nitrogen precursors. Hydrogen is used to remove the influence V/III ratio by nitrogen decomposition. The TEM images were estimated by Material Science and Technology of Japan (MST). The atomic layer and surface roughness in AlN were measured via AFM, a product of HITACHI corporation 5500 M. An optical interference film thickness meter was used to measure the thickness of the AlN layer.

## Data availability

The data that support the findings of this study are available from the corresponding author upon reasonable request.

Received: 6 October 2022; Accepted: 31 January 2023

Published online: 10 February 2023

## References

- Hinds, L. M., O'Donnell, C. P., Akhter, M. & Tiwari, B. K. Principles and mechanisms of ultraviolet light emitting diode technology for food industry applications. *Innov. Food Sci. Emerg. Technol.* **56**, 102153 (2019).
- Hsu, T. C. *et al.* Perspectives on UVC LED: Its progress and application. *Photonics* **8**, 196 (2021).
- Minamikawa, T. *et al.* Quantitative evaluation of SARS-CoV-2 inactivation using a deep ultraviolet light-emitting diode. *Sci. Rep.* **11**, 1–9 (2021).
- Inagaki, H. *et al.* Rapid inactivation of sars-cov-2 variants by continuous and intermittent irradiation with a deep-ultraviolet light-emitting diode (Duv-led) device. *Pathogens* **10**, 2002106 (2021).

5. Trivellini, N. *et al.* Uv-based technologies for sars-cov2 inactivation: Status and perspectives. *Electronics* **10**, 1–19 (2021).
6. Amano, H. *et al.* The 2020 UV emitter roadmap. *J. Phys. D. Appl. Phys.* **53**, 503001 (2020).
7. Kneissl, M., Seong, T. Y., Han, J. & Amano, H. The emergence and prospects of deep-ultraviolet light-emitting diode technologies. *Nat. Photonics*. **13**, 233–244 (2019).
8. Fredes, P., Raff, U., Gramsch, E., Pascal, J. & Cuenca, J. Junction temperature control of UV-C LEDs based on a thermoelectric cooler device. *Microelectron. Reliab.* **98**, 24–30 (2019).
9. Kawakami, Y., Inoue, K., Kaneta, A., Okamoto, K. & Funato, M. Quantification of the internal quantum efficiency in GaN via analysis of the heat generated by non-radiative recombination processes. *J. Appl. Phys.* **117**, 1–8 (2015).
10. Shatalov, M. *et al.* AlGaIn deep-ultraviolet light-emitting diodes with external quantum efficiency above 10%. *Appl. Phys. Express* **5**, 10–13 (2012).
11. Imura, M. *et al.* Microstructure of thick AlN grown on sapphire by high-temperature MOVPE. *Phys. Stat. Sol. a* **203**, 1626–1631 (2006).
12. Nagamatsu, K., Liu, X., Uesugi, K. & Miyake, H. Improved emission intensity of uvc-leds from using strain relaxation layer on sputter-annealed aln. *Jpn. J. Appl. Phys.* **58**, SCCC07 (2019).
13. Imura, M. *et al.* Microstructure of epitaxial lateral overgrown AlN on trench-patterned AlN template by high-temperature metal-organic vapor phase epitaxy. *Appl. Phys. Lett.* **89**(22), 221901 (2006).
14. Imura, M. *et al.* Annihilation mechanism of threading dislocations in AlN grown by growth form modification method using V/III ratio. *J. Cryst. Growth* **300**, 136–140 (2007).
15. Zhang, J. *et al.* AlGaIn deep-ultraviolet light-emitting diodes. *Jpn. J. Appl. Phys. Part 2 Regul. Pap. Short Notes Rev. Pap.* **44**, 7250–7253 (2005).
16. Hirayama, H. *et al.* 222–282 nm AlGaIn and InAlGaIn-based deep-UV LEDs fabricated on high-quality AlN on sapphire. *Phys. Status Solidi Appl. Mater. Sci.* **206**, 1176–1182 (2009).
17. Takeuchi, M. *et al.* Al- and N-polar AlN layers grown on c-plane sapphire substrates by modified flow-modulation MOCVD. *J. Cryst. Growth* **305**, 360–365 (2007).
18. Banal, R. G., Funato, M. & Kawakami, Y. Initial nucleation of AlN grown directly on sapphire substrates by metal-organic vapor phase epitaxy. *Appl. Phys. Lett.* **92**, 1–4 (2008).
19. Nechaev, D. V. *et al.* Control of threading dislocation density at the initial growth stage of AlN on c-sapphire in plasma-assisted MBE. *J. Cryst. Growth* **378**, 319–322 (2013).
20. Fujimoto, N. *et al.* Growth of high-quality AlN at high growth rate by high-temperature MOVPE. *Phys. Status Solidi Curr. Top. Solid State Phys.* **3**, 1617–1619 (2006).
21. Miyake, H., Lin, C. H., Tokoro, K. & Hiramatsu, K. Preparation of high-quality AlN on sapphire by high-temperature face-to-face annealing. *J. Cryst. Growth* **456**, 155–159 (2016).
22. Uesugi, K. & Miyake, H. Fabrication of AlN templates by high-temperature face-to-face annealing for deep UV LEDs. *Jpn. J. Appl. Phys.* **60**(12), 120502 (2021).
23. Nagamatsu, K. *et al.* Reduction of parasitic reaction in high-temperature AlN growth by jet stream gas flow metal-organic vapor phase epitaxy. *Sci. Rep.* **12**, 1–7 (2022).
24. An, J., Dai, X., Zhang, Q., Guo, R. & Feng, L. Gas-phase chemical reaction mechanism in the growth of AlN during high-temperature MOCVD: A thermodynamic study. *ACS Omega* **5**, 11792–11798 (2020).
25. Zhang, H., Zuo, R., Zhong, T. & Zhang, L. Quantum chemistry study on gas reaction mechanism in AlN MOVPE growth. *J. Phys. Chem. A* **124**, 2961–2971 (2020).
26. Mihopoulos, T. G., Gupta, V. & Jensen, K. F. A reaction-transport model for AlGaIn MOVPE growth. *J. Cryst. Growth* **195**, 733–739 (1998).
27. Sekiguchi, K. *et al.* Thermodynamic considerations of the vapor phase reactions in III-nitride metal organic vapor phase epitaxy. *Jpn. J. Appl. Phys.* **56**, 4CJ04 (2017).
28. Zhao, D. G. *et al.* Parasitic reaction and its effect on the growth rate of AlN by metalorganic chemical vapor deposition. *J. Cryst. Growth* **289**, 72–75 (2006).
29. Bryan, I. *et al.* Surface kinetics in AlN growth: A universal model for the control of surface morphology in III-nitrides. *J. Cryst. Growth* **438**, 81–89 (2016).
30. Akiyama, T., Ohka, T., Nakamura, K. & Ito, T. Ab initio study for adsorption and desorption behavior at step edges of GaN(0001) surface. *J. Cryst. Growth* **532**, 125410 (2020).
31. Imura, M. *et al.* High-temperature metal-organic vapor phase epitaxial growth of AlN on sapphire by multi transition growth mode method varying V/III ratio. *Jpn. J. Appl. Phys. Part 2 Regul. Pap.* **45**, 8639–8643 (2006).
32. Koukitu, A., Takahashi, N. & Seki, H. Thermodynamic study on metalorganic vapor-phase epitaxial growth of group III nitrides. *Jpn. J. Appl. Phys. Part 2 Lett.* **36**, 1136 (1997).
33. Shimizu, T. *et al.* Thermodynamic analysis for nonpolar III-nitride surfaces under metalorganic vapor-phase epitaxy conditions. *Jpn. J. Appl. Phys.* **59**, 28003 (2020).
34. Akiyama, T., Ohka, T., Nagai, K. & Ito, T. Effect of step edges on the adsorption behavior on vicinal AlN(0001) surface during metal-organic vapor phase epitaxy: An ab initio study. *J. Cryst. Growth* **571**, 126244 (2021).

## Acknowledgements

This work was partly supported by JSPS KAKENHI Grant Number 20K21006, 22H01973, and the Project on the Promotion of Regional Industries and Universities, Cabinet Office.

## Author contributions

K.N. designed the experiment and wrote the manuscript. K.N. and A.T. and T.M. performed the AFM measurement. K.N. and A.T. and T.M. performed the crystal growth by MOVPE. H.H. and Y.T. and Y.N. gave scientific advice. All the authors contributed through scientific discussion and reviewed the manuscript.

## Competing interests

The authors declare no competing interests.

## Additional information

**Correspondence** and requests for materials should be addressed to K.N.

**Reprints and permissions information** is available at [www.nature.com/reprints](http://www.nature.com/reprints).

**Publisher's note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.



**Open Access** This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit <http://creativecommons.org/licenses/by/4.0/>.

© The Author(s) 2023