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Title: Acute accumulation of PIM2 and NRF2 and recovery of β 5 subunit activity mitigate multiple myeloma cell susceptibility to proteasome inhibitors

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Keywords: multiple myeloma, proteasome inhibitor, β 5 subunit activity, PIM2, NRF2

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4 **Abstract**

5 Resistance to proteasome inhibitors (PIs) has emerged as an important clinical issue. We
6 investigated the mechanisms underlying multiple myeloma (MM) cell resistance to PIs.
7 To mimic their pharmacokinetic/pharmacodynamic (PK/PD) profiles, MM cells were
8 treated with bortezomib and carfilzomib for 1 hour at concentrations up to 400 and 1,000
9 nM, respectively. Susceptibility to these PIs markedly varied among MM cell lines.
10 Pulsatile treatments with PIs suppressed translation, as demonstrated by incorporation of
11 puromycin at 24 hours in PI-susceptible MM.1S cells, but not PI-resistant KMS-11 cells.
12 Inhibition of $\beta 5$ subunit activity decreased at 24 hours in KMS-11 cells, even with the
13 irreversible PI carfilzomib, but not under suppression of protein synthesis with
14 cycloheximide. Furthermore, the proteasome-degradable pro-survival factors PIM2 and
15 NRF2 acutely accumulated in MM cells subjected to pulsatile PI treatments.
16 Accumulated NRF2 was trans-localized into the nucleus to induce the expression of its
17 target gene, *HMOX1*, in MM cells. PIM and Akt inhibition restored the anti-MM effects
18 of PIs, even against PI-resistant KMS-11 cells. Collectively, these results suggest that
19 increased synthesis of $\beta 5$ proteasome subunit and acute accumulation of PIM2 and NRF2
20 reduce the anti-MM effects of PIs.
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31 (187 words)
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Introduction

The ubiquitin proteasome system (UPS) is a major target of treatment for multiple myeloma (MM), and proteasome inhibitors (PIs) are currently the therapeutic backbone for MM treatment. However, MM cell resistance to PIs has emerged as an insurmountable clinical issue. UPS degrades a wide variety of proteins, and, thus, the inhibition of proteasomal degradation may lead to the accumulation of proteins that are vital for MM cell growth and survival, which may, in turn, contribute to MM cell resistance to PIs. We previously reported that the serine/threonine kinase PIM2 was highly expressed in MM cells and played a critical role in their growth and survival [1, 2]. PIM2 was also found to be up-regulated in MM cells interacting with the bone marrow microenvironment and, thus, is regarded as an important therapeutic target. Consistent with previous findings showing the proteasomal degradation of the PIM2 protein [3], we demonstrated that a treatment with bortezomib (BTZ) and carfilzomib (CFZ) rapidly induced the accumulation of high PIM2 protein levels in MM cells to blunt the cytotoxic effects of PIs against MM cells [4]. The inhibition of PIM kinase enhanced the anti-MM effects of PIs. Therefore, the targeting of MM cell growth and survival factors that accumulate with the inhibition of proteasomes may augment the therapeutic efficacy of PIs.

The transcription factor (TF) nuclear factor erythroid 2-related factor 2 (NRF2) acts as a major cellular defense factor against oxidative stress and plays a critical role in cancer resistance to chemotherapeutic agents. NRF2 is also subject to proteasomal degradation. Under normal conditions, NRF2 binds to Kelch-like ECH-associated protein 1 (KEAP1), an adaptor protein of the cullin-RING ubiquitin ligase complex, to be ubiquitinated and rapidly degraded [5]. When oxidative stress is induced by external stress, such as anticancer agents, KEAP1 releases NRF2, which allows NRF2 to enter the nucleus [6]. The proteasomal degradation of NRF2 may also be mediated by glycogen synthase kinase (GSK)3 β in a KEAP1-independent manner [7-9]. In cancer cells, the phosphatidylinositol 3-kinases (PI3K)/Akt pathway is activated to prevent the GSK3 β -mediated degradation of NRF2 [10, 11]. When NRF2 localizes to the nucleus, it binds to an antioxidant response element as a heterodimer with a small Maf protein and initiates the transcription of antioxidant genes to protect cells from excessive reactive oxygen species (ROS) [12]. NRF2 systems mediate antioxidant defenses in cancer cells, and the up-regulation of NRF2 is widely accepted as an important mechanism of cancer cell resistance to anti-cancer agents.

The PK/PD profiles of PIs showed a high C_{max} with short $T_{1/2}$ in patients with MM treated with PIs. However, previous *in vitro* studies on PIs were mostly conducted with continuous exposure to low concentrations of PIs. To more accurately simulate the PK/PD

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2 profiles of PIs, the effects of pulsatile treatments with PIs need to be examined. Therefore,
3 we herein assessed the impact of pulsatile treatments with PIs at high concentrations on
4 the viability of MM cells and their β 5 subunit activity to elucidate the mechanisms
5 underlying their resistance to PIs. The results obtained demonstrated that the recovery of
6 β 5 subunit activity and the robust accumulation of PIM2 and NRF2 proteins in MM cells
7 after pulsatile treatments with PIs compromised the anti-MM effects of PIs, and also that
8 the inhibition of PIM and Akt restored these effects.
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14 **Materials and Methods**

15 **Reagents**

16 The following reagents were purchased from the indicated manufacturers: a rabbit anti-
17 PIM2 antibody (Ab) (#4730), horseradish peroxidase (HRP)-conjugated goat anti-rabbit
18 IgG Ab (#7074S), HRP-conjugated goat anti-mouse IgG Ab (#7076S), and BTZ from
19 Cell Signaling Technology (Beverly, MA, USA); mouse anti- β -actin Ab (#A5451) and
20 puromycin from Sigma-Aldrich (St Louis, MO, USA); anti-puromycin Ab (EQ0001)
21 from Kerfast, Inc. (Boston, MA); SMI-16a from Calbiochem (Darmstadt, Germany);
22 cycloheximide (CHX) from Nacalai Tesque (Kyoto, Japan), CFZ from ChemieTek
23 (Indianapolis, IN, USA); rabbit anti-NRF2 Ab (#GTX103322) from Gene Tex (Los
24 Angeles, USA); mouse anti-Nuclear matrix protein p84 Ab (#ab487) from Abcam
25 (Cambridge, UK); and MK-2206 from Selleck Biotech (Tokyo, Japan). Phycoerythrin
26 (PE)-labelled mouse monoclonal antibody against P-glycoprotein from Beckman Coulter
27 Inc. (Brea, CA); PE-labelled mouse monoclonal antibody against breast cancer resistant
28 protein (BCRP) and PE-labelled mouse IgG₁ from BioLegend (San Diego, CA); mouse
29 monoclonal antibody against multidrug resistance-associated protein 1 (MRP1) (#sc-
30 53130) from SantaCruz Biotechnology (Dallas, TX); Mouse IgG1 for control was from
31 Agilent (Santa Clara, CA); and Fluorescein Isothiocyanate (FITC) -labelled Goat anti-
32 Mouse IgG Secondary Antibody from Thermo Fisher Scientific Inc. (Waltham, MA).
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47 **Cells**

48 The human MM cell lines, RPMI 8226, MM.1S, U266, and H929 were obtained from the
49 American Type Culture Collection (Manassas, VA). The OPM-2 cell line was purchased
50 from the German Collection of Microorganisms and Cell Cultures (Braunschweig,
51 Germany). The KMS-11 cell line was obtained from the Japanese Collection of Research
52 Bioresources Cell Bank (Osaka, Japan). Cells were cultured in RPMI 1640 medium
53 (Sigma-Aldrich) supplemented with 5% fetal bovine serum (Thermo Fisher Scientific,
54 Waltham MA, USA), 100 U/mL penicillin (Sigma-Aldrich), and 100 μ g/mL streptomycin
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3 (Sigma-Aldrich).

4 Peripheral blood mononuclear cells (PBMCs) were collected from normal donor
5 samples as previously described [13]. Mononuclear cells were separated from peripheral
6 blood or bone marrow aspirates using Ficoll-Paque PLUS (GE Healthcare Bio-Sciences
7 AB, Uppsala, Sweden). Primary CD138-positive cells were purified using anti-CD138
8 magnetic activated cell separation microbeads (Miltenyi Biotec, San Diego, CA) from
9 mononuclear cells isolated from the bone marrow aspirates of patients with MM. The
10 collection of human samples was conducted under written informed consent according to
11 the Declaration of Helsinki and the protocols of the Institutional Review Board (3842 in
12 Tokushima University Hospital and 16-8 in Tokushima Prefectural Central Hospital).
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20 **Cell viability and ATP quantification assays**

21 Cell viability and ATP quantification were performed using Cell Counting Kit-8 (CCK-
22 8) (Dojindo Laboratories, Kumamoto, Japan) and the Cell Titer-Glo assay, respectively.
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26 **Proteasome β 5 subunit activity assays**

27 Proteasome β 5 subunit activity was analyzed as described in a previous study [14]. In
28 brief, MM cells were washed twice using ice-cold phosphate-buffered saline (PBS) and
29 centrifuged for 5 min. Cell pellets were resuspended in proteolysis buffer (50 mM Tris
30 HCl, 25 mM KCl, 10 mM NaCl, 1 mM MgCl₂, and 0.1 mM 1,4-dithiothreitol (DTT)) and
31 subjected to a 5-min freeze/5-min thaw cycle 3 times using dry ice and a water bath.
32 Samples were then centrifuged at 13,000 rpm for 10 min to pellet debris and the
33 supernatant was collected for protein quantification. Protein concentrations were assessed
34 by the BCA assay (Bio-Rad). Three micrograms of protein from samples were loaded into
35 96-well black plates containing proteolysis buffer and 20 μ M 7-amino-4-methylcoumarin
36 (AMC) substrate to a final volume of 100 μ L per well. The AMC substrate Suc-LLVY-
37 AMC (Enzo Life Sciences, Farmingdale, NY, USA) was used to measure chymotrypsin-
38 like activity exhibited by the β 5 subunit. Fluorescence was measured using the
39 SpectraMax i3 microplate reader (Molecular Device, Tokyo, Japan) at excitation and
40 emission wavelengths of 355 and 444 nm, respectively. Each sample was run in triplicate.
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51 **Western blot analysis**

52 Whole cell lysates were lysed in radioimmunoprecipitation buffer with protease inhibitor
53 cocktail solution (Sigma-Aldrich), phosphatase inhibitor (FUJIFILM Wako Pure
54 Chemical Corporation), 1 mmol/l phenylmethylsulfonyl fluoride (FUJIFILM Wako Pure
55 Chemical Corporation), and DTT (FUJIFILM Wako Pure Chemical Corporation).
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2 Nuclear proteins were extracted using the NE-PER^{NT} nuclear and cytoplasmic extraction
3 reagent kit (Thermo Fisher Scientific, Rockford, IL, USA). Protein concentrations were
4 measured using the PierceTM BCA Protein Assay Kit (Bio-Rad). Mixtures of cell lysates
5 and 3ME-sample buffer (FUJIFILM Wako Pure Chemical Corporation) were heated at
6 95°C for 5 min. Samples were electrophoresed on 5-20% gradient gels and transferred to
7 polyvinylidene difluoride membranes (Bio-Rad). After blocking with 3% bovine serum
8 albumin (FUJIFILM Wako Chemicals Corporation) or 3% non-fat dry milk in tris-
9 buffered saline with 0.01% Tween 20 (TBS-T), membranes were incubated with primary
10 antibodies at 4°C overnight, followed by HRP-conjugated secondary Abs for 1 hour.
11 Protein bands were visualized using Immobilon Forte Western HRP substrates (Millipore,
12 MA, USA).
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22 **Plasmids**

23 *NFE2L2* pLKO.1 short hairpin RNA (shRNA) vectors were purchased from Sigma-
24 Aldrich. The target sequences of the respective vectors were as follows: #1;
25 AGTTTGGGAGGAGCTATTATC (TRCN0000273494) and #2;
26 AGAGCAAGATTTAGATCATT (TRCN0000281950). A luciferase pLKO.1 shRNA
27 vector (shLuc) was used as a negative control of transduction. To induce human *NFE2L2*
28 complementary DNA (cDNA) in MM cells, a plasmid pHAGE-*NFE2L2*, which was
29 kindly provided by Gordon B. Mills (Addgene plasmid #116765), was used.
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36 **Quantitative real-time PCR (qRT-PCR) analysis**

37 RNAs were extracted from cells using TRI REAGENT (Molecular Research Center,
38 Cincinnati, Ohio). cDNAs were synthesized from RNAs extracted using the PrimeScript
39 RT Master Mix (Takara Bio Inc., Shiga, Japan). qRT-PCR was performed using a Quant
40 Studio 3 Real-Time PCR System (Thermo Fisher Scientific) with the following
41 temperature protocol: at 95°C for 10 min, followed by 40 cycles at 95°C for 30 sec and
42 60°C for 1 min. In qRT-PCR, each cDNA sample was mixed with 10 µL SYBR® qPCR
43 mix α (NIPPON GENE CO., LTD, Japan), a forward (Fwd) primer, reverse (Rev) primer,
44 template cDNA, and nuclease-free water. The total volume was 20 µL/reaction. The
45 following primers were used:
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51 *GAPDH*; Fwd: TGTCTTCACCACCATGGAGAAGG, Rev:
52 GTGGATGCAGGGATGATGTTCTG, *NFE2L2*; Fwd: GTTGCCACATTCCCAAATC,
53 Rev: TGAAGTAAACGTAGCCGAAGAA,
54 *PSMB5* Fwd: TGCTTGCCAACATGGTGTATC, Rev: TGAAATCCGGTTCCTTCAC,
55 *PIM2*; Fwd: CCATTCCCGTGGAGTTGT, Rev: GAAGCAGGGCACCAGAAC
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2 *HMOX1*; Fwd: AGTGCCACCAAGTTCAAGCA, Rev:
3 CAGCTCCTGCAACTCCTCAA, and, *PPIE*; Fwd: TGGACGTACAATTCGTGTCAA,
4 Rev: GGCTCTGACCCTTCTTCCTC.
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7 8 9 **Transduction**

10 Lentiviral production was performed as previously described [15]. In brief, pLKO.1-
11 based plasmids or the pHAGE-*NFE2L2* plasmid were transfected into 293T cells in
12 combination with pCMV-dvpr and VSV-G for lentiviral packaging using TransIT-LT1
13 Transfection Reagent (Mirus Bio, Madison, WI). Virus-containing media were then
14 harvested according to previous methods [15]. MM cells were cultured with virus-
15 containing media in the presence of polybrene (Santa-Cruz) for 5 hours. After 24 hours,
16 shRNA-induced MM cells were selected using 1 µg/mL puromycin (Sigma-Aldrich) for
17 48 hours. cDNA-induced MM cells, which expressed EGFP, were sorted by flow
18 cytometry. The selected cells were used in subsequent experiments.
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26 27 **Flow cytometry**

28 Cells were incubated with PBS containing 1% BSA and stained with PE-labelled
29 antibodies or isotype control for 30 minutes on ice. For detection of MRP expression,
30 cells were incubated with primary antibody or IgG as above. After washing, the cells were
31 washed and resuspended with an FITC-labeled secondary antibody for 30 minutes on ice.
32 After washing, the cells were analyzed with CytoFLEX (BECKMAN COULTER, CA).
33 Data were edited using FlowJo software (BD Biosciences San Jose, CA).
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39 40 **Statistical analysis**

41 Statistical analyses were performed with EZR (Saitama Medical Center, Jichi Medical
42 University, Saitama, Japan). Data distributions were analyzed using the Shapiro-Wilk test.
43 The significance of differences was evaluated using the Student's *t*-test for two normally
44 distributed groups and the Tukey-Kramer multiple comparison test for multiple normally
45 distributed groups. The Mann-Whitney U test was used for the two non-normally
46 distributed groups and the Steel-Dwass test for multiple non-normally distributed groups.
47 P < 0.05 was considered to be significant.
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53 54 **Results**

55 **Cytotoxic activities of pulsatile PI treatments vary among MM cell lines**

56 In terms of the experimental conditions for exposure to BTZ or CFZ, we followed a
57 previous study with experiments modeling the anticipated *in vivo* pharmacokinetics of
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3 drug exposure in patients with MM [17]. We set experimental conditions with a 1-hour
4 pulsatile treatment with BTZ or CFZ at concentrations between 50 and 400 and between
5 125 and 1,000 nM, respectively. Continuous treatments with BTZ and CFZ at more than
6 50 nM were effective against all the MM cell lines tested (Fig. S1). However, while BTZ
7 and CFZ dose-dependently induced cell death in MM.1S and U266 cells at concentrations
8 between 50 and 400 and between 125 and 1,000 nM, respectively, KMS-11, OPM-2, and
9 RPMI8226 cells were resistant to the exposure to BTZ at these concentrations and CFZ
10 at 125 nM (Fig. 1A). KMS-11 cells were the most resistant against these PIs among the
11 MM cell lines tested, whereas MM.1S cells were the most susceptible. Consistently, the
12 production of ATP was reduced more in MM.1S cells than in OPM-2 and KMS-11 cells
13 by the pulsatile treatment with CFZ (Fig. 1B). Excessive endoplasmic reticulum (ER)
14 stress induced by PIs is considered to be an essential mechanism for the induction of the
15 anti-MM effects of PIs, in which eIF2 α is phosphorylated to trigger the global inhibition
16 of protein translation in cells [18]. Therefore, we investigated protein translation after the
17 pulsatile treatment with PIs. Puromycin is an analog of the 3' end of aminoacyl-tRNA,
18 which may be incorporated into translated nascent proteins [19]. The incorporation
19 of puromycin was assessed after a 1-hour pulsatile treatment with CFZ. Puromycin
20 incorporation was reduced in MM.1S cells and slightly in OPM-2 cells, but not in KMS-
21 11 cells 12 and 24 hours after the pulsatile treatment with CFZ (Fig. 1C), suggesting a
22 relationship between the suppression of translation in MM cells and the cytotoxic effects
23 of CFZ.
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37 **Basal β 5 subunit activity and durability of its inhibition after pulsatile PI treatments**

38 Since the susceptibilities of the MM cell lines to a pulsatile treatment with BTZ or CFZ
39 markedly varied (Fig. 1A), we examined the baseline enzymatic activity of a β 5
40 subunit of the proteasome, a major target of BTZ and CFZ, in MM cells. KMS-11 cells
41 exhibited the highest catalytic activity of the β 5 subunit, and the susceptibilities of the
42 respective MM cell lines to these PIs were inversely associated with their β 5 subunit
43 activity levels at the baseline (Fig. 2A). We also investigated the time course of β 5 subunit
44 activity in MM cells after the treatment with BTZ or CFZ. MM.1S cells were susceptible
45 to pulsatile treatments for 1 hour with BTZ and CFZ at 50 and 100 nM, while KMS-11
46 cells were completely resistant to these concentrations (Fig. 1A). β 5 subunit activity was
47 immediately suppressed and at 9 hours after the pulsatile treatment in KMS-11, MM1.S
48 and OPM-2 cell lines. However, β 5 subunit activity mostly recovered after 24 hours in
49 PI-resistant KMS-11 (Fig. 2B) and OPM-2 cells (Fig. S2A), but remained low in MM.1S
50 cells (Fig. 2B) treated with BTZ at 100 nM and CFZ at 50 and 100 nM. We then examined
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2 the recovery of $\beta 5$ subunit activity in KMS-11 cells subjected to the pulsatile treatment
3 for 1 hour with higher concentrations of these PIs. KMS-11 cells were insensitive to the
4 pulsatile treatment with BTZ up to 400 nM, but were partially susceptible to CFZ at 500
5 and 1,000 nM (Fig. 1A). At 24 hours, $\beta 5$ subunit activity in KMS-11 cells was almost
6 completely restored to control levels upon the treatment with BTZ at 400 nM and partially
7 with CFZ at 400 and 1,000 nM (Fig. S2B). These results suggest that baseline levels and
8 the durability of the inhibition of $\beta 5$ subunit activity in MM cells correlated with the
9 cytotoxic activity of PIs.

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11 Since CFZ is an irreversible inhibitor of a $\beta 5$ proteasome subunit, we assumed
12 that a newly synthesized $\beta 5$ proteasome subunit may contribute to the recovery of
13 proteasomal activity from immediate suppression in PI-resistant KMS-11 cells after the
14 pulsatile CFZ treatment. Therefore, we investigated the recovery of $\beta 5$ subunit activity
15 24 hours after the pulsatile treatment with CFZ in the presence or absence of CHX, an
16 inhibitor of protein synthesis at the translational level. Under the suppression of protein
17 synthesis with CHX, $\beta 5$ subunit activity did not recover and remained low in KMS-11
18 cells 24 hours after the pulsatile treatment with CFZ (Fig. 2C), indicating that protein
19 synthesis was required for the recovery of $\beta 5$ subunit activity after the PI treatment.
20 Collectively, these results suggest that strong basal $\beta 5$ subunit activity and the robust
21 production of a $\beta 5$ subunit compromised MM cell susceptibility to PIs.

22 23 24 25 26 27 28 29 30 31 32 33 34 **Immediate accumulation of PIM2 and NRF2 proteins in MM cells after the PI** 35 **treatments**

36 Similar to the findings of our previous study on the effects of continuous treatments with
37 BTZ and CFZ [4], the pulsatile treatment with BTZ or CFZ induced the accumulation of
38 the PIM2 protein in MM cells (Fig. 3A). The up-regulation of the ROS reliever NRF2 is
39 one of the major protective mechanisms in cancer cells against anti-cancer cytotoxic
40 agents. NRF2 is rapidly degraded by proteasomes [20]. In the present study, NRF2
41 accumulated in parallel with PIM2 in MM cells after pulsatile PI treatments (Fig. 3A).
42 Although the pulsatile treatment with CFZ markedly increased PIM2 and NRF2 protein
43 levels, these changes were mostly abolished under the suppression of protein synthesis
44 with CHX (Fig. 3B). However, the pulsatile PI treatment reduced *PIM2* expression and
45 slightly increased *NFE2L2* (*NRF2*) expression in MM cells at the mRNA level (Fig. 3C).
46 These results suggest that PIM2 and NRF2 were robustly produced in MM cells to a
47 sufficient amount to overcome their rapid proteasomal degradation, and also that these
48 proteins markedly accumulated in MM cells after proteasomal inhibition.

NRF2 is a critical pro-survival mediator in MM cells

When NRF2 evades its proteasomal degradation, it localizes to the nucleus and up-regulates the transcription of its target antioxidant genes, such as the heme oxygenase-1 gene (*HMOX1*), to protect cells from excessive oxidative stress [12]. The present results revealed the marked accumulation of NRF2 in MM cells subjected to the pulsatile PI treatments (Fig. 3A, B). We then examined the nuclear localization of NRF2 and its target *HMOX1* gene expression in MM cells following the pulsatile PI treatments. The nuclear localization of NRF2 was observed in MM.1S, OPM-2 and KMS-11 cells immediately and 3 hours after the pulsatile PI treatments for 1 hour (Fig. 4A), and this was followed by the induction of *HMOX1* gene expression (Fig. 4B). The knockdown of *NFE2L2* markedly reduced the cell viability of KMS-11 cells (Fig. 4C), indicating that NRF2 functions as a vital survival factor. Conversely, its overexpression compromised the cytotoxicity of PIs against MM cells (Fig. 4D). These results suggest that the PI treatments induced the accumulation of NRF2 to compromise PI-induced cell death in MM cells.

PIM and Akt inhibition potentiate anti-MM effects of PIs

We previously reported that the inhibition of PIM enhanced the anti-MM effects of PIs, while PIs induced the accumulation of PIM2 in MM cells [4]. Akt activation in cancer cells has been shown to suppress the β -transducin repeat containing protein (β -TrCP)-mediated proteasomal degradation of NRF2. Therefore, we investigated the effects of the inhibition of PIM and Akt in combination with the pulsatile PI treatment. The PIM inhibitor SMI-16a and Akt inhibitor MK-2206 cooperatively reduced the cell viability of KMS-11 and OPM-2 cells (Fig. 5A) and primary MM cells (Fig. S3) in the absence of PIs. The inhibition of PIM and Akt also potentiated the cytotoxic effects of BTZ and CFZ against MM cells. Although KMS-11 and OPM-2 cells were resistant to the pulsatile treatment with BTZ at the concentrations up to 400 nM and CFZ at 100 and 250 nM (Fig. 1A), the PIM and Akt inhibitors in combination with PIs induced cytotoxic effects on these cells. The pulsatile treatment with CFZ at 250 nM markedly increased NRF2 protein levels along with the expression of *HMOX1*, its target gene (Fig. 5B); however, the Akt inhibitor MK-2206 added after CFZ reduced NRF2 and *HMOX1* levels. MK-2206 alone reduced NRF2 levels in MM.1S and OPM-2 cells, upon the pulsatile treatment with CFZ (Fig. 5C), indicating the role of Akt in increasing NRF2 protein levels in MM cells. These results suggest that the inhibition of PIM and Akt restored and potentiated the anti-MM effects of PIs.

Expression of ATP-binding cassette (ABC) transporters on MM cells.

Because the upregulation of ABC transporters can cause drug resistance, we analyzed the expression of ABC transporters, P-glycoprotein, breast cancer resistant protein (BCRP) and multidrug resistance-associated protein 1 (MRP1) in RPMI8226, OPM-2 and KMS-11 cells. P-glycoprotein and MRP1 were marginally expressed in RPMI8226, OPM-2 and KMS-11 cells (Fig. 6). BCRP was expressed in RPMI8226 and KMS-11 cells. The expression of these ABC transporters was not apparently affected after the pulsatile treatment with CFZ.

Discussion

Proteasomes control the equilibrium between protein synthesis and degradation, and maintain cellular function and survival. The proteasome load versus capacity balance affects the apoptotic sensitivity of MM cells to PIs [21]. Consistent with this notion, $\beta 5$ proteasome subunit activity was higher in PI-resistant KMS-11 cells than in PI-sensitive MM.1S cells. The mechanisms underlying the up-regulation of proteasome $\beta 5$ subunit production and activity in MM cells are suggested to be multifaceted [22-24] and include NRF1/2 activation [25-28]; however, they remain largely unknown. Furthermore, $\beta 5$ activity recovered more in PI-resistant KMS-11 cells than in PI-sensitive MM.1S cells, even with the irreversible PI CFZ. However, the blockade of translation by CHX suppressed the recovery of $\beta 5$ activity after the CFZ treatment. Therefore, the production of large amounts of the $\beta 5$ proteasome subunit may restore $\beta 5$ subunit activity, even following a treatment with the irreversible inhibitor CFZ, and thereby compromise the cytotoxic effects of PIs against MM cells. These results suggest that the capacity of $\beta 5$ subunit production and the recovery of $\beta 5$ subunit activity confer the resistance of MM cells to PIs.

Proteasome inhibition results in the accumulation of misfolded and functional proteins in the lumen of the ER and cytosol, thereby leading to ER overload, ROS overproduction, functional intracellular protein disorders, and apoptosis in MM cells [29-31]. However, PIs block the degradation of a wide variety of proteins, including those favoring MM cell growth and survival, thereby contributing to drug resistance in MM. We previously identified the anti-apoptotic mediator PIM2 as an example of this [1, 2, 4]. In addition to PIM2, we showed the marked accumulation of NRF2, a master TF for redox homeostasis, following the pulsatile treatment. NRF2 activation in cancer cells promotes disease progression [32-34] and metastasis [35], and also confers resistance to chemo- and radiotherapies [36, 37]. Accumulated NRF2 was promptly trans-localized to the nucleus (Fig. 4A) in order to induce the transcription of its target antioxidant gene,

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HMOX1 (Fig. 4B). The knockdown of *NFE2L2* alone reduced cell viability in KMS-11 cells (Fig. 4C), suggesting an important role of NRF2 in cell survival. Hypoxia is considered to be among major causes of the drug resistance in MM cells. The NRF2-*HMOX1* axis has been reported to contribute to hypoxia-induced resistance to PIs in vitro and in vivo [38]. Given the increase of NRF protein levels in MM cells under hypoxic condition [38], the pulsatile treatment of PIs is suggested to more robustly increase NRF2 in MM cells under hypoxic condition, which further contribute to the resistance of MM cells to PIs. These results indicate that PIM2 and NRF2 are robustly synthesized and subjected to $\beta 5$ subunit-mediated degradation in MM cells, and also that treatments with PIs induce the accumulation of PIM2 and NRF2 to mitigate their anti-MM effects.

The PI3K/Akt pathway is aberrantly activated in many cancers. NRF2 was previously shown to be activated by the PI3K/Akt pathway in order for cancer cells to acquire resistance to chemotherapeutic agents [33, 34]. GSK3 β binds to the SKP1-CUL1-RBX1 E3 ubiquitin ligase complex and ubiquitylates NRF2. The activation of the PI3K/Akt pathway inhibits GSK3 β , thereby allowing NRF2 to be degraded by β -TrCP-mediated proteasomal degradation [7, 8]. Akt inhibition has been shown to enhance NRF2 degradation in cancer cells by relieving GSK3 β from its phosphorylation-mediated repression. In the present study, the inhibition of Akt reduced NRF2 levels in MM cells (Fig. 5B, C). In addition, PIM2 and phosphorylated Akt cooperatively activate mTORC1, which initiates translation. The addition of the PIM inhibitor SMI-16a and the Akt inhibitor MK-2206 after pulsatile treatments with PIs cooperatively enhanced anti-MM effects, even against PI-resistant MM cells (Fig. 5A). These results provide a rationale for novel combinations of PIs with PIM and Akt inhibitors to overcome MM cell resistance to PIs. The mechanisms underlying the recovery of $\beta 5$ activity after PI treatments warrant further study with special reference to NRF2-mediated stress adaptation.

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Conflict of Interest

T.H. received research funding from GlaxoSmithKline. M.A. received research funding

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5 financial interests.
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10 **Authors' Contributions**

11 KS, SN, TH, and MA contributed to the study design. KS, YH, OA, and TM conducted
12 experiments. SN, HM, RS, MO, MT, MN, YM, SF, KK, SO, and TH contributed to the
13 collection of clinical samples. TH, HY, KK, IE, KA, EN, MH, and TJ reviewed data. KS,
14 SN, TH, and MA wrote and revised the manuscript. All authors were involved in the
15 analyses and interpretation of data. All authors read and approved the submitted version
16 of the manuscript.
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3 **Figure legends**

4 **Figure 1. Cytotoxic activities of pulsatile treatments with PIs vary among MM cell**
5 **lines.** (A - C) MM cell lines were treated with bortezomib (BTZ) or carfilzomib (CFZ) at
6 the indicated concentrations for 1 hour. Cells were washed with PBS twice and then
7 subjected to each assay. Cell viability was assessed using (A) CCK-8 and (B) Cell Titer-
8 Glo 24 hours after the pulsatile treatment. (A, B) Results represent relative changes from
9 the baseline (100%) with the mean \pm SD ($n = 3$). *** $P < .001$ significantly different
10 between MM.1S and KMS-11 cells under 100 and 1000 nM CFZ-treated conditions; the
11 Tukey-Kramer multiple comparison test. (C) After incubating treated cells for 0, 12, or
12 24 hours, cells were then exposed to puromycin for 15 minutes. The whole cell lysates
13 extracted were subjected to Western blotting in order to detect the incorporation of
14 puromycin. β -actin served as a loading control.
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23 **Figure 2. Durability of the inhibition of $\beta 5$ subunit activity in MM cells after the**
24 **pulsatile treatments with PIs.** (A - C) Cell lysates were extracted from the indicated
25 MM cell lines and peripheral blood mononuclear cells (PBMCs), followed by the $\beta 5$
26 subunit activity assay as described in the Materials and Methods. (B) KMS-11 and
27 MM.1S cells were treated with BTZ or CFZ at the indicated concentrations for 1 hour.
28 Cells were washed with PBS twice and then subjected to the assay at 0, 9, and 24 hours.
29 Data are shown as relative changes from the baseline (100%) of PI-naïve KMS-11 cells
30 at each time point. (C) KMS-11 cells were treated with CFZ at 50 or 250 nM for 1 hour.
31 Cells washed with PBS twice were cultured for 24 hours in the presence or absence of
32 cycloheximide (CHX), followed by the $\beta 5$ subunit activity assay. Relative changes from
33 the baseline (100%) are shown. Data represent mean \pm SD ($n = 3$).
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42 **Figure 3. Immediate accumulation of PIM2 and NRF2 in MM cells after pulsatile**
43 **treatments with PIs.** (A - C) MM.1S, OPM-2, and KMS-11 cells were treated with (A)
44 BTZ or CFZ, (A - C) CFZ at the indicated concentrations for 1 hour. After washing cells
45 with PBS twice, cells were cultured for 6 hours (B) in the presence or absence of CHX.
46 (A, B) The lysates extracted were subjected to Western blotting. β -actin served as the
47 loading control. (C) Total RNAs extracted were subjected to quantitative real-time PCR
48 (qRT-PCR). *GAPDH* served as an endogenous control to normalize each sample. Relative
49 changes from the baseline are shown. Data represent the mean \pm SD ($n = 3$).
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56 **Figure 4. NRF2 is a critical pro-survival mediator in MM cells.** (A) MM.1S , KMS-
57 11 and OPM-2 cells were treated with or without 200 nM BTZ or 500 nM CFZ for 1 hour.
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3 Treated cells were washed with PBS twice, followed by the extraction of nuclear and
4 cytoplasmic proteins immediately (0 hour) and 3 hours after the pulsatile treatment. The
5 lysates extracted were subjected to Western blotting. p84 and GAPDH served as loading
6 controls for nucleic and cytoplasmic lysates, respectively. (B) MM.1S, KMS-11 and
7 OPM-2 cells were treated with or without CFZ at 50 and 250 nM for 1 hour, respectively.
8 Treated cells were washed with PBS twice, followed by the extraction of total RNAs
9 before (Pre) and after the pulsatile treatment at the indicated time points. Total RNAs
10 extracted were subjected to qRT-PCR. *PPIE* served as an endogenous control to
11 normalize each sample. Relative changes from the baseline are shown. (C) KMS-11 cells
12 were transduced with either *shNFE2L2* (#1 and #2) or *shLuc* as a control. After
13 puromycin selection for 48 hours, cell viability for 24 hours was assessed using CCK-8
14 and the whole cell lysates extracted were subjected to Western blotting. (D) RPMI 8226
15 cells were transduced with *NFE2L2* cDNA or Empty. After GFP-positive selection by
16 flow cytometry, cells were treated with or without BTZ or CFZ at the indicated
17 concentrations for 1 hour. Cells washed with PBS twice were cultured for 24 hours and
18 then subjected to the CCK-8 assay. The whole cell lysates extracted were subjected to
19 Western blotting. β -actin served as a loading control. % changes from the baseline are
20 shown. Data represent the means \pm SD ($n = 3$). *** $P < .001$ significantly different
21 between the groups; (B, C) the Tukey-Kramer multiple comparison test and (C) Student's
22 *t*-test. ns; not significant.

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36 **Figure 5. PIM and Akt kinase inhibition potentiates anti-MM effects of PIs.** (A, B)
37 KMS-11 and OPM-2 cells were treated with or without BTZ (100 nM) or CFZ (250 nM)
38 for 1 hour. After washing cells twice with PBS, they were treated with or without SMI-
39 16a (50 μ M), MK-2206 (5 μ M), or their combination for 48 hours and then subjected to
40 (A) the CCK-8 assay. % changes from the baseline of the non-treatment condition are
41 shown. Data represent means \pm SD ($n = 3$). (B) Whole cell lysates and total RNAs were
42 extracted from the treated cells at 12 hours, followed by Western blotting and qRT-PCR.
43 β -actin served as a loading control for Western blotting. *PPIE* served as an endogenous
44 control to normalize each sample in qRT-PCR. Relative changes from the baseline are
45 shown. Data represent the means \pm SD ($n = 3$). *** $P < .001$ significantly different between
46 the groups; the Tukey-Kramer multiple comparison test. (C) MM.1S and OPM-2 cells
47 were cultured in the presence or absence of CFZ at 50 and 250 nM for 1 hour, respectively.
48 After washing cells twice with PBS, MM.1S cells were treated with 2.5 μ M MK-2206 for
49 20 hours. OPM-2 cells were treated with 5 μ M MK-2206 for 24 hours. The whole cell
50 lysates extracted were subjected to Western blotting. β -actin served as a loading control.

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4 **Figure 6. Expression of ATP-binding cassette (ABC) transporters on MM cells.**

5 RPMI8226, OPM-2 and KMS-11 cells were incubated with or without CFZ at the indicated
6 concentrations for 1 hour. The expression of P-glycoprotein (P-GP), breast cancer
7 resistant protein (BCRP) and multidrug resistance-associated protein 1 (MRP1) was
8 analyzed followed by the flowcytometry at 24 hours. The background is shown in gray
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Figure

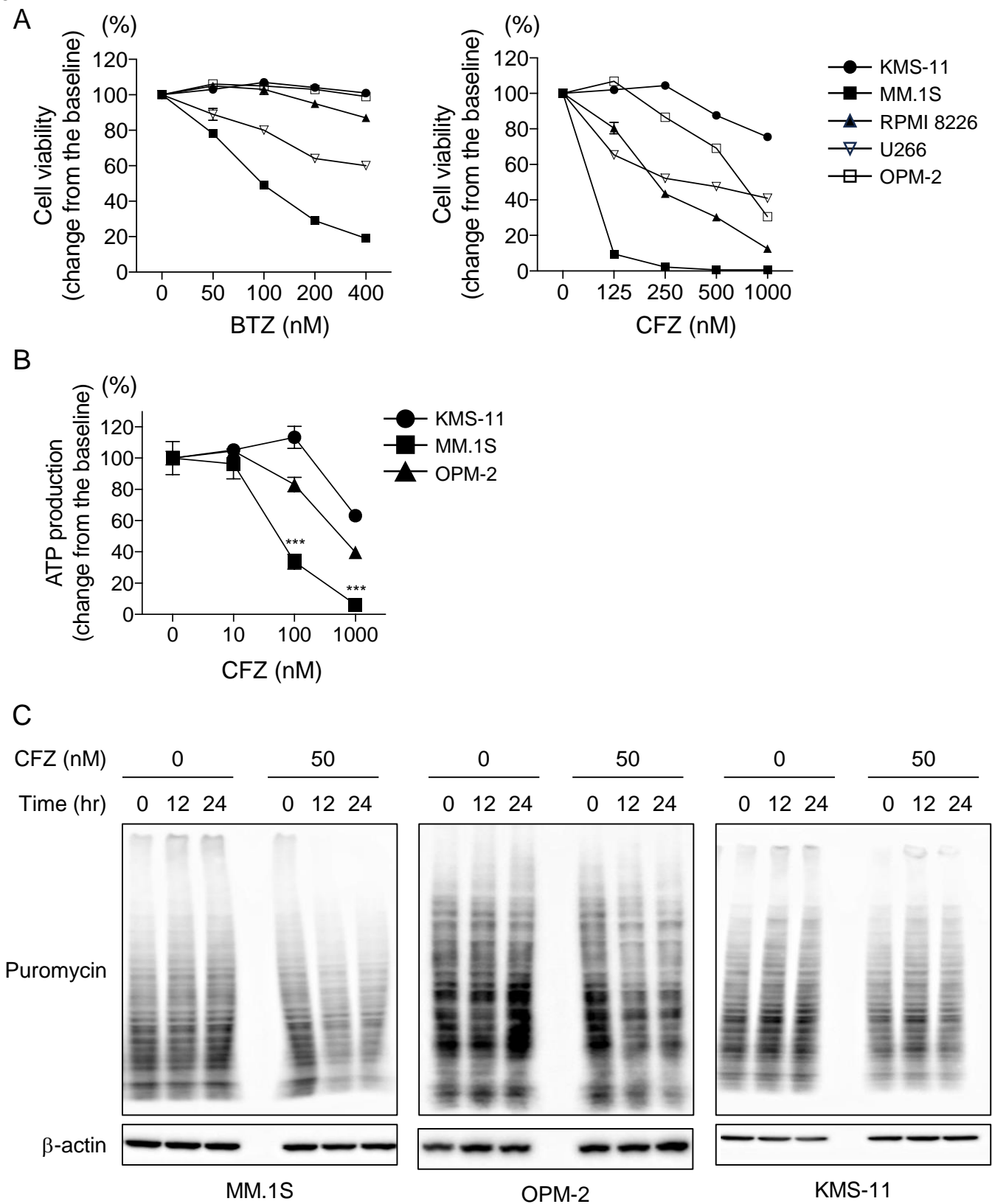


Figure 1

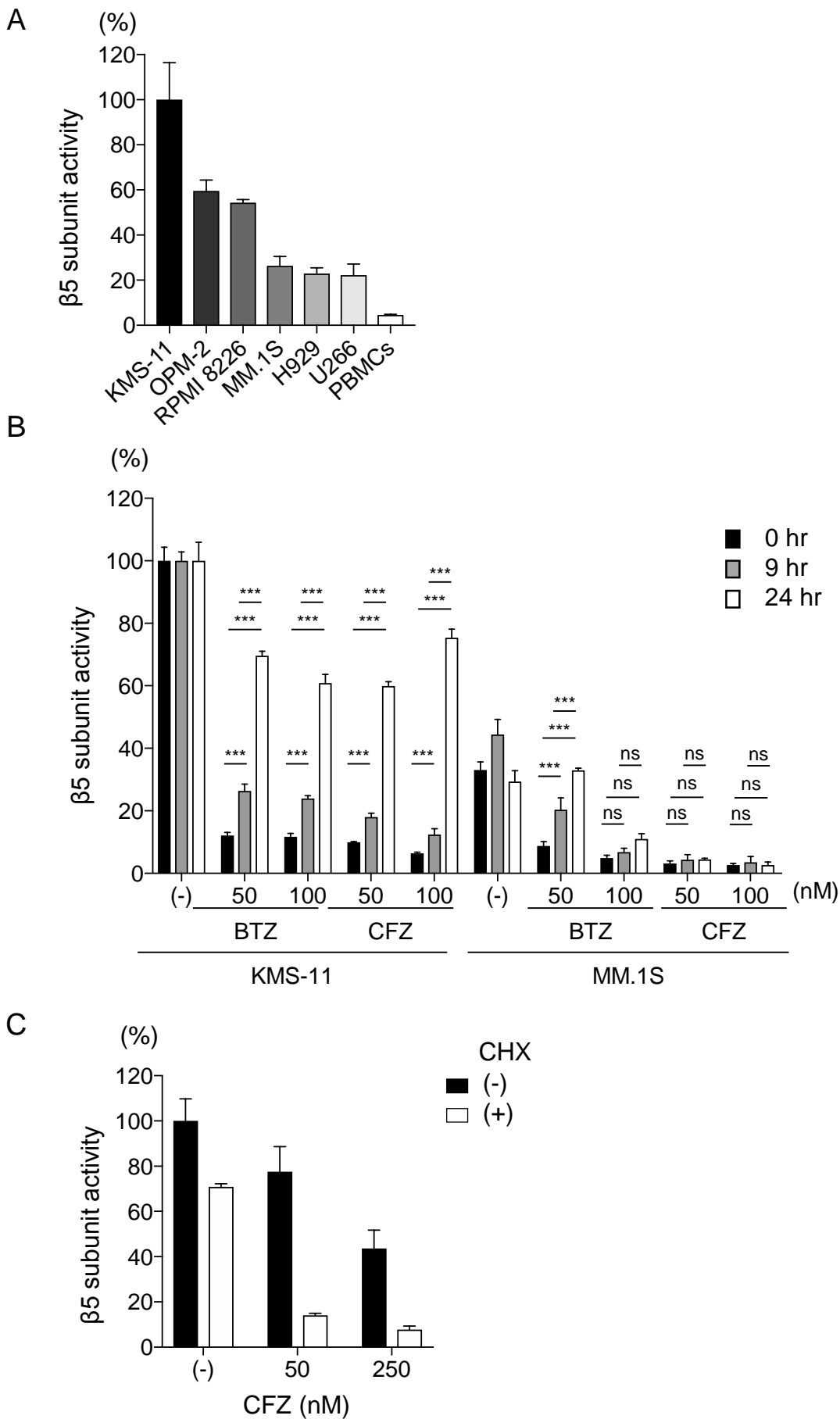


Figure 2

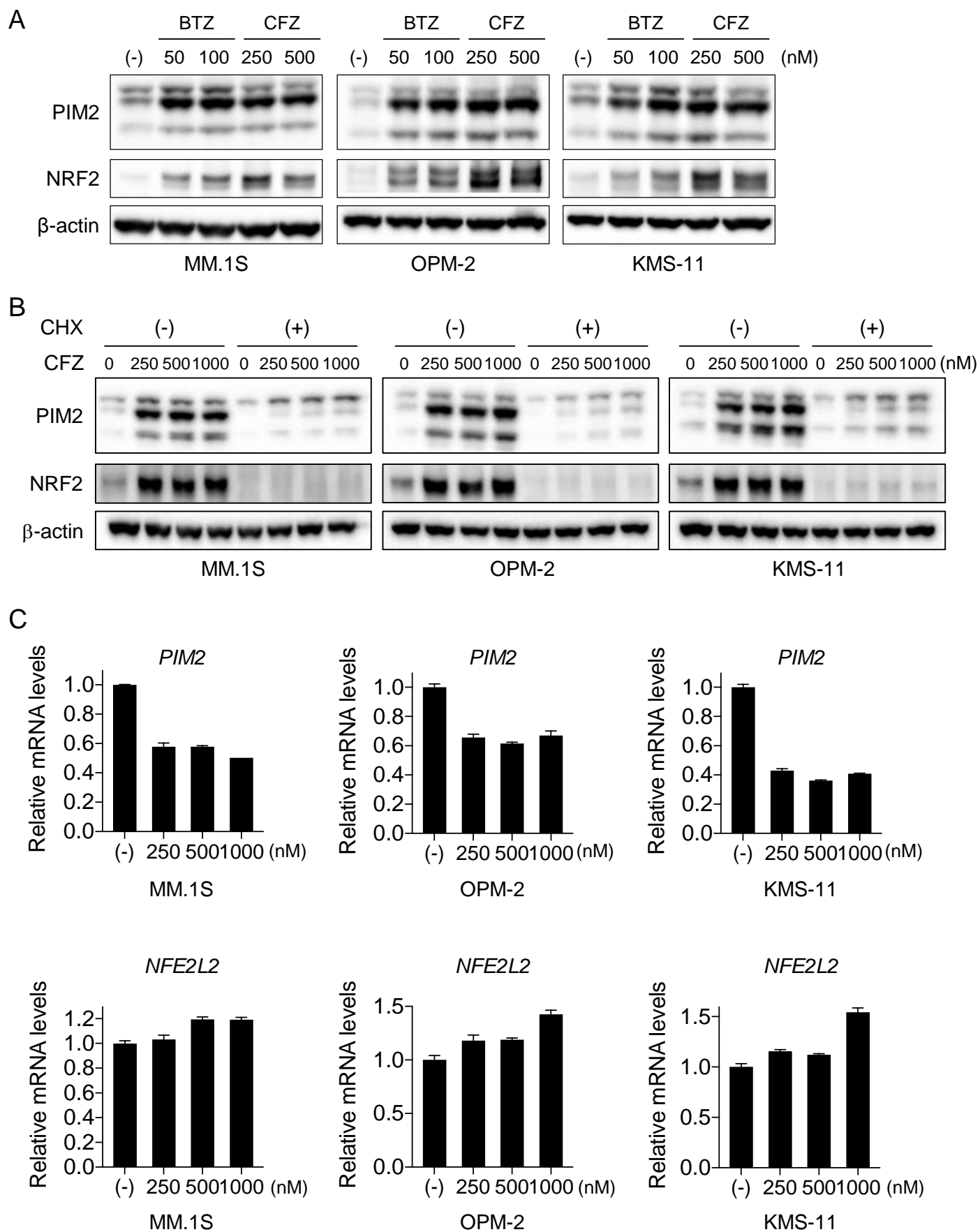


Figure 3

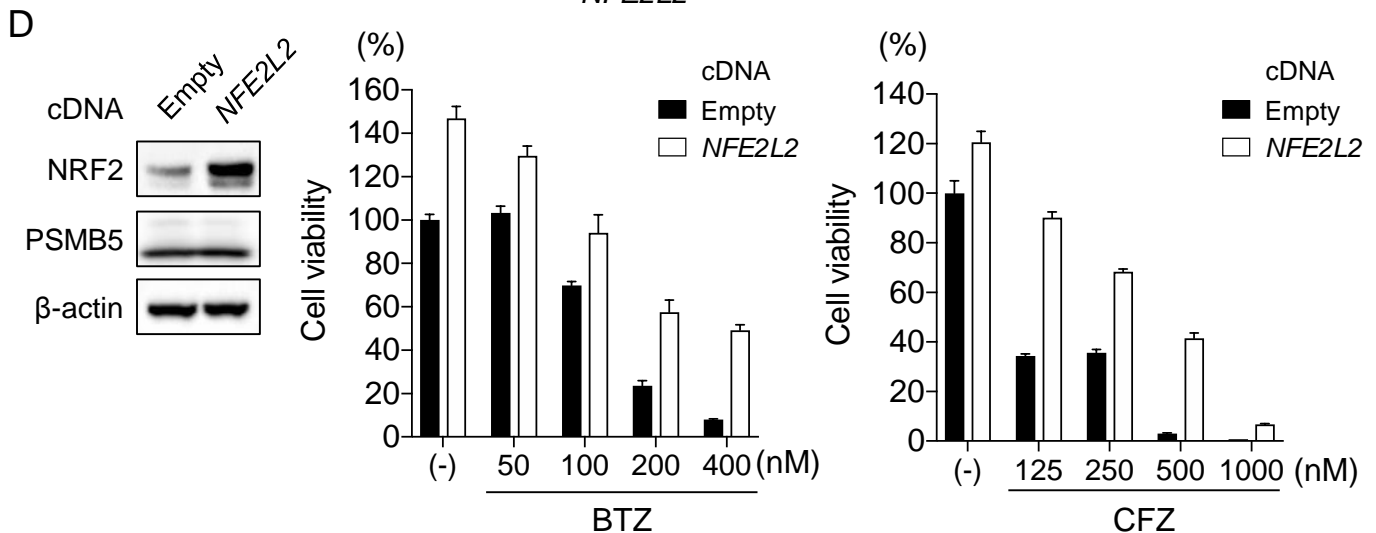
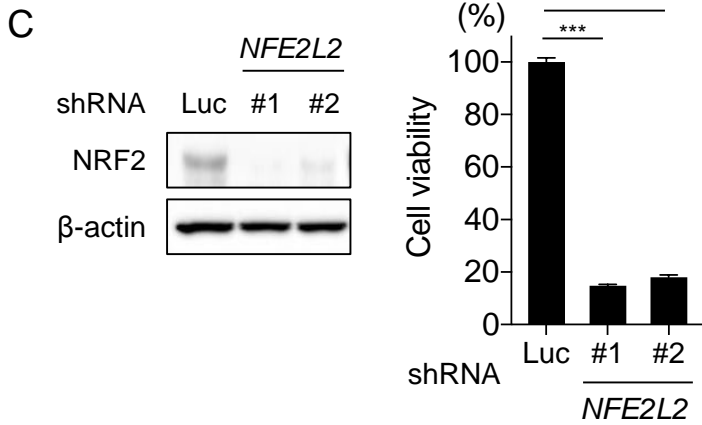
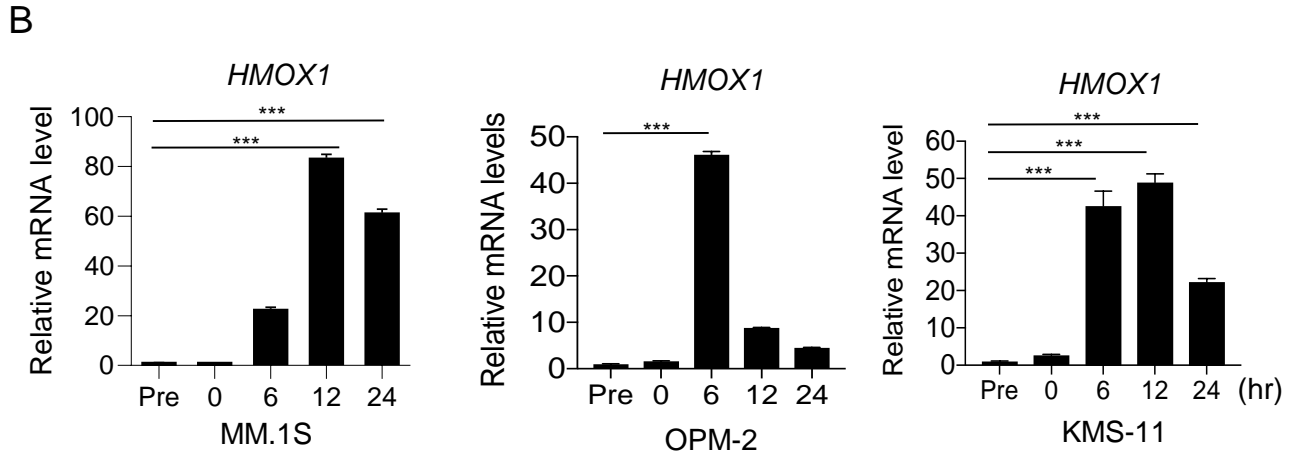
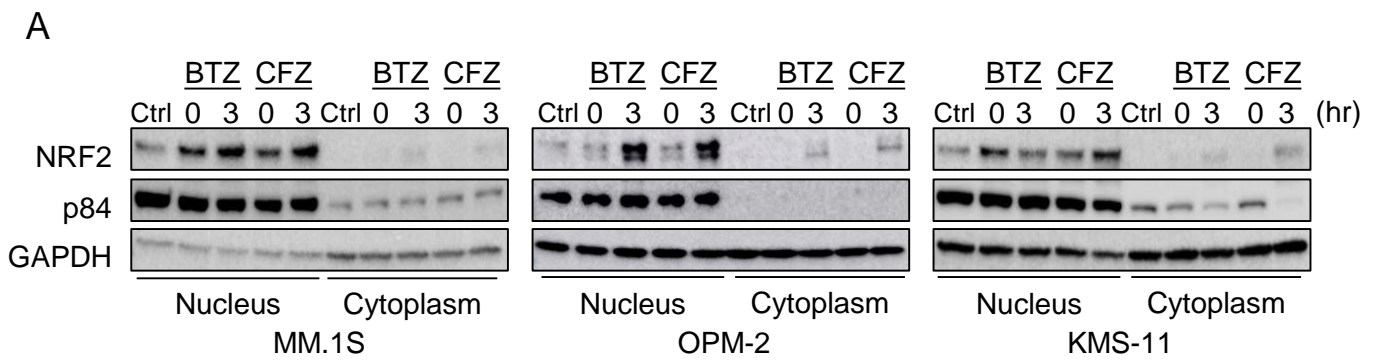
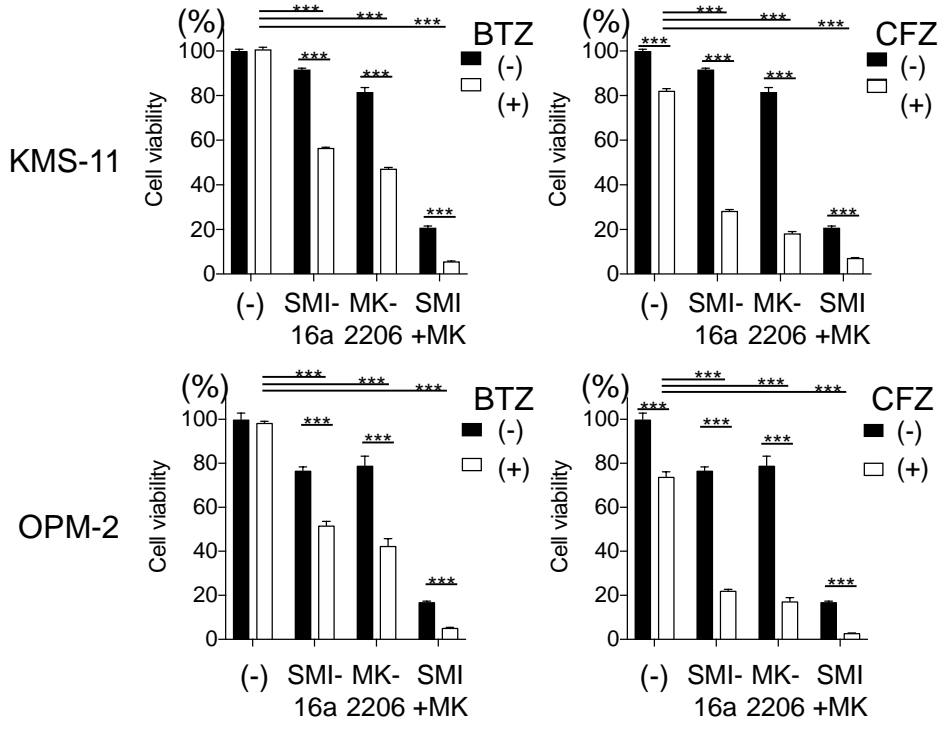
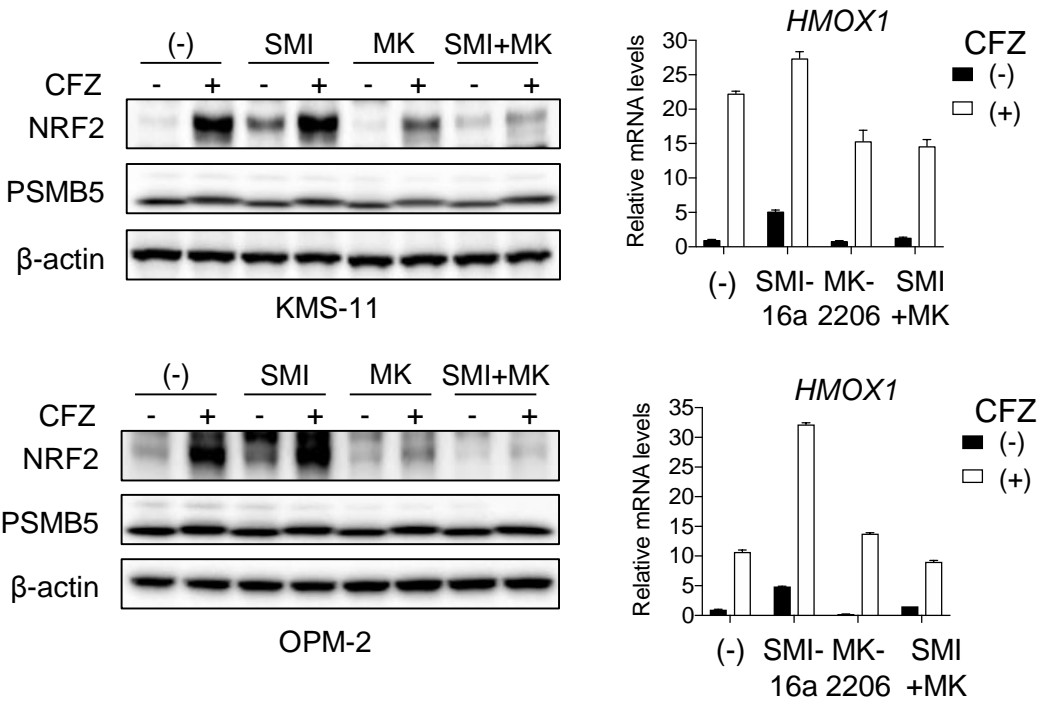


Figure 4

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B



C

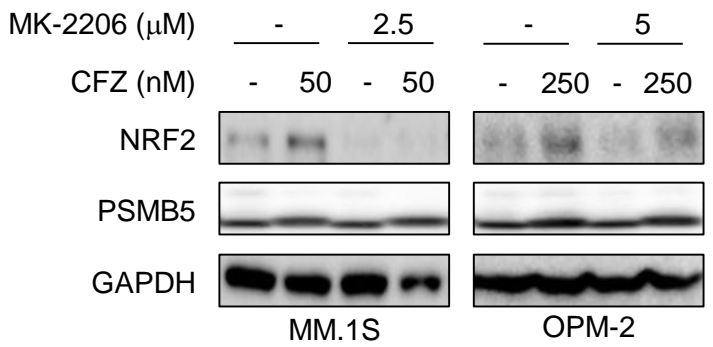


Figure 5

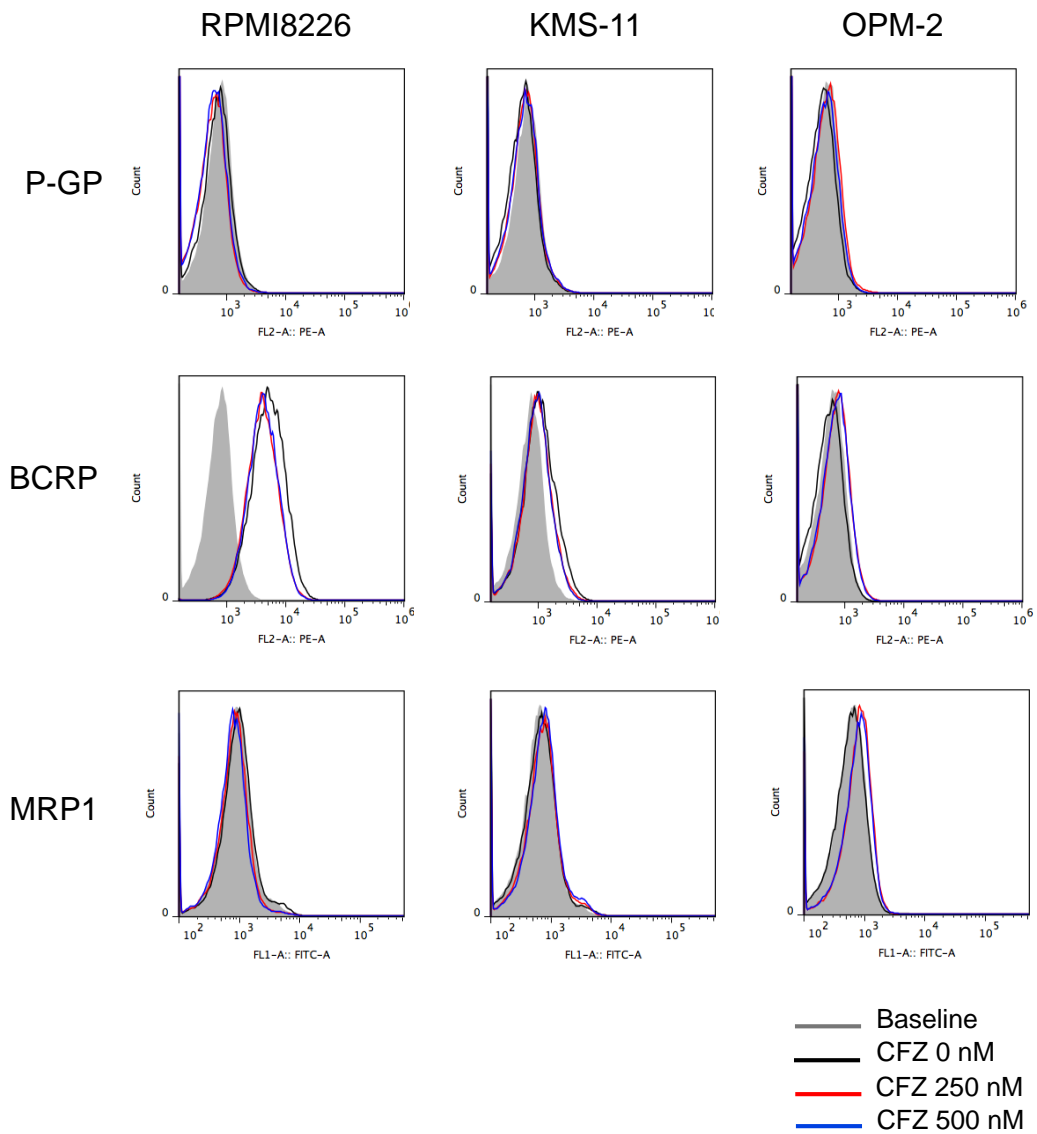
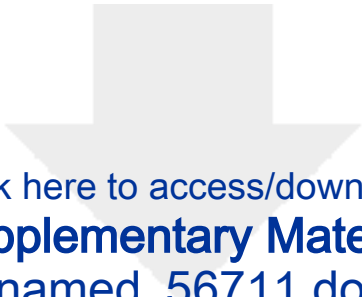


Figure 6



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Supplementary Material
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